WithumSmith+Brown, PC Certified Public Accountants and Consultants New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

> THE ELECTROCHEMICAL SOCIETY, INC. 65 S MAIN ST PENNINGTON, NJ 08534-2839

DEAR CLIENT,

ENCLOSED ARE THE ORIGINAL AND ONE COPY OF YOUR INCOME TAX RETURNS FOR THE PERIOD ENDED DECEMBER 31, 2013 FOR:

THE ELECTROCHEMICAL SOCIETY, INC. AS FOLLOWS...

2013 990 - RETURN OF ORGANIZATION EXEMPT FROM INCOME TAX 2013 990-T - EXEMPT ORGANIZATION BUSINESS INCOME TAX RETURN 2013 8879-EO - IRS E-FILE SIGNATURE AUTHORIZATION 2013 NEW JERSEY FORM CRI-300R - LONG FORM RENEWAL STATEMENT

EACH ORIGINAL SHOULD BE DATED, SIGNED AND FILED IN ACCORDANCE WITH THE FILING INSTRUCTIONS. THE COPY SHOULD BE RETAINED FOR YOUR FILES.

THE ENCLOSED RETURNS WERE PREPARED PRIMARILY FROM DATA AND INFORMATION WHICH YOU SUBMITTED. YOU SHOULD REVIEW THE RETURNS TO ENSURE THAT THERE ARE NO OMISSIONS OR MISSTATEMENTS.

VERY TRULY YOURS,

WITHUM SMITH + BROWN, PC

PAUL V GERGEL

A member of HLB International. A world-wide network of independent professional accounting firms and business advisors.

WithumSmith+Brown, PC Certified Public Accountants and Consultants New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

## INSTRUCTIONS FOR FILING THE ELECTROCHEMICAL SOCIETY, INC. FORM 8879-EO - IRS E-FILE SIGNATURE AUTHORIZATION FOR THE PERIOD ENDED DECEMBER 31, 2013

\*\*\*\*\*\*

SIGNATURE...

THE ORIGINAL IRS E-FILE SIGNATURE AUTHORIZATION FORM SHOULD BE SIGNED (USE FULL NAME) AND DATED BY THE TAXPAYER.

## FILING...

RETURN YOUR SIGNED FORM 8879-EO TO:

## WITHUM SMITH + BROWN PC 5 VAUGHN DR PRINCETON NJ 08540-6313

PAYMENT OF TAX... NO PAYMENT OF TAX IS REQUIRED.

FORM 8879-EO SERVES AS A REPLACEMENT FOR YOUR SIGNATURE THAT WOULD BE AFFIXED TO FORM 990 IF YOU PAPER FILED YOUR RETURN. PLEASE DO NOT SEPARATELY FILE FORM 990 WITH THE INTERNAL REVENUE SERVICE. DOING SO WILL DELAY THE PROCESSING OF YOUR RETURN.

WE MUST RECEIVE YOUR SIGNED FORM BEFORE WE CAN ELECTRONICALLY TRANSMIT YOUR RETURN WHICH IS DUE ON AUGUST 15, 2014. WE WOULD APPRECIATE YOUR RETURNING THIS FORM AS SOON AS POSSIBLE AS THIS WILL EXPEDITE THE PROCESSING OF YOUR RETURN. THE INTERNAL REVENUE SERVICE WILL NOTIFY US WHEN YOUR RETURN IS ACCEPTED. YOUR RETURN IS NOT CONSIDERED FILED UNTIL THE INTERNAL REVENUE SERVICE CONFIRMS THEIR ACCEPTANCE, WHICH MAY OCCUR AFTER THE DUE DATE OF YOUR RETURN.

5 Vaughn Drive | Princeton, NJ 08540-6313 USA • 609 520 1188 . fax 609 520 9882 • www.withum.com

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Form 8879-EO		OMB No. 1545-1878				
Department of the Treasury Internal Revenue Service	For calendar year 2013, or fiscal year beginning, 2013, and ending ▶ Do not send to the IRS. Keep for your records. ▶ Information about Form 8879-EO and its instructions is at www.irs.gov/form88		2013			
Name of exempt organization		Employer ident	ification number			
THE ELECTROC	HEMICAL SOCIETY, INC.	13-177	1269			
	DIRECTOR OF FINANCE					
Part I Type of R	eturn and Return Information (Whole Dollars Only)					
leave line 1b, 2b, 3b,	ik here       b       Total revenue, if any (Form 990-EZ, line 9)         neck here       b       Total tax (Form 1120-POL, line 22)         ik here       b       Tax based on investment income (Form 990-PF, Part VI, line 12)	<ul> <li>I -O- on the refined to the content of the</li></ul>	6,430,072.			
Under penalties of per organization's 2013 ele	n and Signature Authorization of Officer ury, I declare that I am an officer of the above organization and that I have exam actronic return and accompanying schedules and statements and to the best of complete. I further declare that the amount in Part I above is the amount shown o	mv knowledge	and haliaf thay			
organization's electron to send the organizatic the transmission, (b) th authorize the U.S. Trea financial institution acc return, and the financia Agent at 1-888-353-45 involved in the processs resolve issues related	is return. I consent to allow my intermediate service provider, transmitter, or ele n's return to the IRS and to receive from the IRS (a) an acknowledgement of receive e reason for any delay in processing the return or refund, and (c) the date of any asury and its designated Financial Agent to initiate an electronic funds withdrawa bount indicated in the tax preparation software for payment of the organization's f i institution to debit the entry to this account. To revoke a payment, I must conta 37 no later than 2 business days prior to the payment (settlement) date. I also a ing of the electronic payment of taxes to receive confidential information necess to the payment. I have selected a personal identification number (PIN) as my sign applicable, the organization's consent to electronic funds withdrawal.	ctronic return of eipt or reason refund. If app al (direct debit) ederal taxes act the U.S. Tro authorize the f authorize the f	originator (ERO) for rejection of licable, I entry to the bwed on this easury Financial inancial institutions			
Officer's PIN: check o	-	6 2 5 5	as my signature			
	ERO firm name Enter	five numbers, bu	as my signature			
ERO to enter r	ation's tax year 2013 electronically filed return. If I have indicated within this retu a state agency(ies) regulating charities as part of the IRS Fed/State program, I ny PIN on the return's disclosure consent screen.	also authorize	the aforementioned			
If I have indica	the organization, I will enter my PIN as my signature on the organization's tax y and within this return that a copy of the return is being filed with a state agency(in ate program, I will enter my PIN on the return's disclosure consent screen.	vear 2013 eleces) regulating	ctronically filed return. charities as part of			
Officer's signature	Date 🕨 🔿 5	/15/201	1			

	Dale > 05/15/2014
Part III Certification and Authentication	
<b>ERO's EFIN/PIN.</b> Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.	2 2 0 0 6 2 0 8 5 4 0
	do not enter all zeros
I certify that the above numeric entry is my PIN, which is my signature on the 2 indicated above. I confirm that I am submitting this return in accordance with the Information for Authorized IRS <i>e-file</i> Providers for Business Returns.	013 electronically filed return for the organization ine requirements of <b>Pub. 4163</b> , Modernized e-File (MeF)
ERO's signature 🕨	Date  05/15/2014
ERO Must Retain This Form - Se Do Not Submit This Form To the IRS Unles	
For Paperwork Reduction Act Notice, see back of form.	Form 8879-EO (2013)
	Point 0079-EO (2013)

V 13-4.9F 011189

Form	990
	nent of the Treasury Revenue Service

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) ► Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.

<sup>OMB No. 1545-0047</sup> 20**13** 

Open to Public
Inspection

AI	For tl	he 201	3 calendar year, or tax year begi	nning	, 2013	, and e	nding			, 20		on			
_			C Name of organization		· · · · · · · · · · · · · · · · · · ·		<u> </u>	D Employer id	entific						
Bo	heck if a	applicable:	THE ELECTROCHEMICAL	13-177	13-1771269										
	Addi		Doing Business As												
	Nam	e change	Number and street (or P.O. box if mail is	not delivered to street add	ress)	Room/s	uite	E Telephone number							
	Initia	al return	65 S MAIN ST					(609) 737-1902							
	Tern	ninated	City or town, state or province, country,	and ZIP or foreign postal c	ode	L									
	Ame	nded	PENNINGTON, NJ 08534-			G Gross receip	ots \$	9.	358	,535.					
		lication	F Name and address of principal officer:	ROQUE CALVO				H(a) Is this a gro			Yes	XNO			
			65 S MAIN ST PENNING	subordinates H(b) Are all subore		durlard?	Yes	No							
1	Tax-e	xempt st	······································	)  (insert no.)	4947(a)(1)	or	527	If "No," atta		L	1				
J	Webs	ite: 🕨	WWW.ELECTROCHEM.ORG	H(c) Group exem											
ĸ	Form	of organ	ization: X Corporation Trust	Association Other	•		ear of forma	tion: 1902 M			micile	NJ			
-	art I		mmary							Ji legal do	mene.				
_	1	Briefly	/ describe the organization's mission of	or most significant activit	ties ADVAN	CE TH	EORY &	PRACTICE	OF	ELECT	ROCI	TEME			
e			ID STATE SCIENCE, ETC.												
anc			TICAL ASSESSMENT, & DIS												
/ern	2		this box 🕨 🔄 if the organization of												
Governance	3	Numb	er of voting members of the governing	t body (Part VI line 1a)		ca or mo			3.			23.			
	4	Numb	er of independent voting members of	the governing body (Pa	rt VI line 1h)	• • • •	• • • • •	• • • • • • • •	4			23.			
ties	5	Total I	number of individuals employed in cal	endar vear 2013 (Part V	( line 2a)	• • • •	• • • • •		5		·····	29.			
Activities &	6	Total i	number of volunteers (estimate if neces	sarv)	, 1110 200,	• • • •	• • • • •		6			500.			
Ac	7a	Total (	unrelated business revenue from Part \	• • • • •	••••	7a		117	428.						
	b	Net ur	nrelated business taxable income from	Form 990-T line 34	•••••	• • • •	• • • • •	•••••	7b			158.			
********		······			<u>· · · · · · · ·</u>	* * * *	<u>····</u>	Prior Year			ent Y				
	8	Contri	butions and grants (Part VIII, line 1h)		23,69	36.	81,432.								
nu	9	Progra	am service revenue (Part VIII, line 2g)	• • • • • • • • • • • •	•••••	• • • •	••	6,059,31		5		,171.			
Revenue	10	Invest	ment income (Part VIII, column (A), lin	•• +	268,59		1,019,234								
£	11	Other	revenue (Part VIII, column (A), lines 5	6d 8c 9c 10c and 11	••••••••••••••••••••••••••••••••••••••	• • • •	· ·	96,70		<u> </u>		,235.			
	12	Total r	revenue - add lines 8 through 11 (mus	· ·	6,448,30		6,430,0								
*****	13	Grants	s and similar amounts paid (Part IX, col	umn (A) lines 1-3)	r (/ (), inte (12) .	<u></u>	••	58,18			-	,051.			
	14	Benefi	its paid to or for members (Part IX, colu	(A) line 4	•••••	· ·	00,2000				0011				
s	15	Salarie	es, other compensation, employee ben	efits (Part IX, column (A	) lines 5-10)	• • • •	· ·	2,299,573.		2.	100	,893.			
Expenses	16a	Profes	sional fundraising fees (Part IX, column	n (A) line 11e)	••	_,,_	0		100	0					
épei	Ь	Total f	ssional fundraising fees (Part IX, colum fundraising expenses (Part IX, column (	(D) line 25)	••										
ŵ	17	Other	expenses (Part IX, column (A), lines 1	1a-11d 11f-24e)				3,566,861.		3,608,		105			
	18	Total e	expenses. Add lines 13-17 (must equa	Part IX column (A) lin	• • • • • • • • • • • • • • • • • • •	• • • •	••	5,924,61				,049.			
	19	Reven	ue less expenses. Subtract line 18 fror	n line 12			••	523,69				023.			
20 Sec			T		• • • • • • •	<u></u>	Begir	ning of Current			of Yea				
land	20	Total a	assets (Part X, line 16)	3.	15,671,22				851.						
Net Assets or Fund Balances	21	Total I	iabilities (Part X, line 26)	• • • • • • • • • • • • •	••••	• • • •	· ·	2,468,45				804.			
Net	22		sets or fund balances. Subtract line 2				· ·	13,202,77				047.			
	rt II		nature Block				•• <u> </u>	,	[						
Un	der pei	nalties o	f perjury, I declare that I have examined th	is retum, including accord	npanying sched	ules and :	statements, a	and to the best of	f m v ku	nowledge	and be	lief it is			
true	e, corre	ect, and o	complete. Declaration of preparer (other that	n officer) is based on all in	formation of whi	ch prepa	rer has any k	nowledge.							
Sig			Signature of officer					Date							
He	re														
			Type or print name and title	······											
		Print/1	Type preparer's name	Preparer's signature		Date		Check	if P	TIN		*****			
Paic								self-employ		P0011	1656	3			
	parer	Firm's	name WITHUM SMITH + B	ROWN PC		I		Firm's EIN ► 22-2027092							
use	Only		address >5 VAUGHN DR PRING		0-6313			Phone no. 609-520-1188							
Мау	the II		cuss this return with the preparer show							X Ye	·····	No			
			Reduction Act Notice, see the separa				<u>· · · · · ·</u>	<u></u>	••••			(2013)			
			•									()			

(Rev. January 2014)

Department of the Treasury Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Х

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMCs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter mer sidenarying number, see msudcuons
Type or	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
print	THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269
File by the	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
due date for filing your	65 S MAIN ST	
return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
instructions.	PENNINGTON, NJ 08534-2839	

Application	Return	Application	Return		
ls For	Code	ls For	Code		
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07		
Form 990-BL	02	Form 1041-A	08		
Form 4720 (individual)	03	Form 4720 (other than individual)	09		
Form 990-PF	04	Form 5227	10		
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11		
Form 990-T (trust other than above)	06	Form 8870	12		

• The books are in the care of ► PAUL GROTE

Telephone No. 🕨	609 737-1902	FAX No. 🕨 609 737-2743

۰	If the organization does not have an office or place of business in the United States, check this box	
	If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)	If this is

		. 11 1115 15
or the whole group, check this bo	If it is for part of the group, check this box	and attach

a list with the names and EINs of all members the extension is for.

1	I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time
	until08/15_, 20_14_, to file the exempt organization return for the organization named above. The extension is
	for the organization's return for:
	► X calendar year 2013 or

▶ \_\_\_\_ tax year beginning \_\_\_\_\_\_, 20 \_ \_, and ending \_\_\_\_\_\_, 20 \_ \_.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a	If this	application i	s for Fo	orm 99	90-BL, 9	990-PF,	990-T,	4720,	or 606	9, ent	ter th	e tentative	tax, les	s any			 
	nonrefundable credits. See instructions.											3a	\$	0			
b	If this	application	is for	Form	990-PI	F. 990-'	T. 472	0. or	6069	enter	anv	refundable	credite	s and			

 estimated tax payments made. Include any prior year overpayment allowed as a credit.
 3b \$ 0

 c
 Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS

(Electronic Federal Tax Payment System). See instructions.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

3c |\$

n

THE	ELECTROCHEMICAL	SOCIETY,	INC.
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	Check if Schedule O contains a response or note to any line in this Part III
	Briefly describe the organization's mission: ATTACHMENT 1
-	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?
	Did the organization cease conducting, or make significant changes in how it conducts, any program services?
ŀ	If "Yes," describe these changes on Schedule O. Describe the organization's program service accomplishments for each of its three largest program services, as measur expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to o the total expenses, and revenue, if any, for each program service reported.
	(Code:) (Expenses \$2,127,074. including grants of \$) (Revenue \$2,660,730. ) PUBLISH 4 PEER REVIEW JOURNALS, SYMPOSIUM VOLUMES, MEETING
	ABSTRACTS, MEMBER MAGAZINES AND MONOGRAPH VOLUMES. ALL
	PUBLICATIONS WITH THE EXCEPTION OF MONOGRAPH VOLUMES ARE AVAILABLE
	IN DIGITAL FORM AND AVAILABLE IN THE ECS DIGITAL LIBRARY. CIRCULATE MONTHLY JOURNALS AND QUARTERLY MAGAZINE TO SUBSCRIBERS
	AND MEMBERS, PUBLISH HARDBOUND AND SOFTBOUND VOLUMES FOR SALE.
	(Code:       ) (Expenses \$183,396. including grants of \$) (Revenue \$529,990. )         MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.
]	(Code:       ) (Expenses \$ 183,396. including grants of \$ ) (Revenue \$ 529,990. )         MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.
]	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646 STUDENT & 190 INSTITUTIONAL REPRESENTATIVES. (Code:) (Expenses \$
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.         (Code:) (Expenses\$1,719,343. including grants of\$) (Revenue\$2,072,274. )         SOCIETY MEETINGS: SPONSOR BI-ANNUAL LARGE-SCALE CONVENTIONS
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646 STUDENT & 190 INSTITUTIONAL REPRESENTATIVES. (Code:
· · · · · · · · · · · · · · · · · · ·	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.

THE ELECTROCHEMICAL SOCIETY, INC.

Form Par	990 (2013) t IV Checklist of Required Schedules			Page <b>3</b>
			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes,"			
	complete Schedule A	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors(see instructions)?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to			
	candidates for public office? If "Yes," complete Schedule C, Part I.	3		<u>X</u>
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h)		x	
5	election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4		
Ŭ	assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C,			
		5		х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors			
	have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If			
	"Yes," complete Schedule D, Part I	6		x
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		Х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes,"			
	complete Schedule D, Part III	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a			
	custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or			
10	debt negotiation services? If "Yes," complete Schedule D, Part IV	9	X	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		v	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI,	10	Х	
••	VII, VIII, IX, or X as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes,"			
	complete Schedule D, Part VI	11a	x	
b	Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more			
	of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		Х
С	Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more			
	of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		Х
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets			
	reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		<u>X</u>
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	X	
T	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses		v	
12 2	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	X	
	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	120		х
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if	12a		
	the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	x	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14 a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	X	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking,			
	fundraising, business, investment, and program service activities outside the United States, or aggregate		Ĩ	
	foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	Х	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or		1	
	for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		<u>X</u>
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other			
47	assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	X	
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 1162 /f "Xee "semalate Data duty O, Duty (construction)			v
18	Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I (see instructions)</i>	17		<u>X</u>
	Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	40		Х
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a?	18		
	If "Yes," complete Schedule G, Part III	19		х
20 a	Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a		<u> </u>
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

-	00 (2013)		F	<sup>p</sup> age <b>4</b>
Part	V Checklist of Required Schedules (continued)			
			Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		X
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States			
	on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III.	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the			
	organization's current and former officers, directors, trustees, key employees, and highest compensated			
	employees? If "Yes," complete Schedule J	23	X	
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than			
	\$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b			
h	through 24d and complete Schedule K. If "No," go to line 25a.	24a		X
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax exempt bande?	0.4.		
d	to defease any tax-exempt bonds?	24c 24d		
25 a		<u>24u</u>		
20 4	with a disqualified person during the year? If "Yes," complete Schedule L, Part I.	25a		х
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior	LVa		
	year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?			
	If "Yes," complete Schedule L, Part L	25b		Х
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payable to any			
	current or former officers, directors, trustees, key employees, highest compensated employees, or			
	disqualified persons? If so, complete Schedule L, Part II	26		Х
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee,			
	substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L,			
	Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete			
	Schedule L, Part IV.	28b		<u> </u>
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof)			v
20	was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV.	28c		$\frac{X}{X}$
29 30	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	20		х
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N.	30		
•••	Part I	31		Х
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes,"	- 01		
	complete Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	x	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III,			
	or IV, and Part V, line 1	34	Х	
35 a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a			
	controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable			
	related organization? If "Yes," complete Schedule R, Part V, line 2	36		<u>X</u>
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R,			
	Part VI	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and		,,	
	19? Note. All Form 990 filers are required to complete Schedule O	38	X	

Par				
	Check if Schedule O contains a response or note to any line in this Part V	<u></u>		<u>.                                    </u>
			Yes	No
	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable			
	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	-		
C	Did the organization comply with backup withholding rules for reportable payments to vendors and		ST.	
0	reportable gaming (gambling) winnings to prize winners?	1c	X	
za	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 29			
h	Statements, filed for the calendar year ending with or within the year covered by this return . 29 If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	X	
U	<b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions)	20		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	x	
	If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation in Schedule O</i>	3b	X	
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority			
	over, a financial account in a foreign country (such as a bank account, securities account, or other financial			
	account)?	4a	x	
b	If "Yes," enter the name of the foreign country: > JAPAN			
	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the			6
	organization solicit any contributions that were not tax deductible as charitable contributions?	6a		<u>X</u>
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or			
7	gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	70		X
h	and services provided to the payor?	7a 7b		<u></u>
	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was			
-	required to file Form 8282?	7c		х
d	If "Yes," indicate the number of Forms 8282 filed during the year			
	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		Х
	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting			
	organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring			
_	organization, have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
	Did the organization make any taxable distributions under section 4966?	9a		
10	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b	-		
11	Section 501(c)(12) organizations. Enter:	-		
	Gross income from members or shareholders			
	Gross income from other sources (Do not net amounts due or paid to other sources			
	against amounts due or received from them.)			
12 a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
a	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	Note. See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which			
	the organization is licensed to issue qualified health plans 13b			
C	Enter the amount of reserves on hand			
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
<u>b</u>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		

Form 9	DescriptionTHE ELECTROCHEMICAL SOCIETY, INC.13-177	1269		Page <b>6</b>
Par		and	for a	"No"
	response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O.	See in	struc	tions.
	Check if Schedule O contains a response or note to any line in this Part VI			X
Sect	tion A. Governing Body and Management			
			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year <b>1a</b> 23			
	If there are material differences in voting rights among members of the governing body, or if the governing			
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b	Enter the number of voting members included in line 1a, above, who are independent 1b 23			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with			
	any other officer, director, trustee, or key employee?	2		Х
3	Did the organization delegate control over management duties customarily performed by or under the direct			
	supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6	Did the organization have members or stockholders?	6	X	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint		17	
	one or more members of the governing body?	7a	X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members,			v
•	stockholders, or persons other than the governing body?	7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during			
_	the year by the following:		Х	
a h	The governing body?	8a	X	
9 9	Each committee with authority to act on behalf of the governing body?	8b		
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? <i>If "Yes," provide the names and addresses in Schedule O</i>	9		x
Sect	on B. Policies (This Section B requests information about policies not required by the Internal Revenue			
		0000	Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	X	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters,			
	affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Х	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Х	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Х	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give			
	rise to conflicts?	12b	Х	
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes,"			
	describe in Schedule O how this was done	12c	Х	
13	Did the organization have a written whistleblower policy?	13	Х	
14	Did the organization have a written document retention and destruction policy?	14	Х	
15	Did the process for determining compensation of the following persons include a review and approval by			
	independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	and the second
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement	19998333 -		X
h	with a taxable entity during the year?	16a		
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its			
	participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	4.01		guere pro
Sect	ion C. Disclosure	16b		L
17	List the states with which a same of this Form 000 is associated to be fluct N. N.L.			
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section			
10	available for public inspection. Indicate how you made these available. Check all that apply.	501(0	3(3)S	опіу)
	X     Own website     Another's website     X     Upon request     Other (explain in Schedule O)			
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of int	arest	nolia	( and
	financial statements available to the public during the tax year.	erest	policy	, and
20	State the name, physical address, and telephone number of the person who possesses the books and records of t	he		
	organization: ▶ PAUL GROTE 65 S MAIN ST PENNINGTON, NJ 08534-2839 609-737-1902	.0		

Part VII	Compensation of Officers,	Directors,	Trustees,	Key	Employees,	Highest	Compensated	Employees,	and	
	Independent Contractors									
	Check if Schedule O contains	a response	or note to	any li	ne in this Part	VII			X	

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

• List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's current key employees, if any. See instructions for definition of "key employee."

• List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

			(C)							
(A)	(B)			Pos				(D)	(E)	(F)
Name and Title	Average					e than c		Reportable	Reportable	Estimated
	hours per week (list any					is both or/trust		compensation from	compensation from	amount of other
	hours for				r		т., Т.,	the	related organizations	compensation
	related	or di	nsti	Officer	€y	ligh	Former	organization	(W-2/1099-MISC)	from the
	organizations	Individual trustee or director	nstitutional trustee	ĕ	Key employee	est loye	ler	(W-2/1099-MISC)		organization
	below dotted	or tr	nal		loye	eom				and related organizations
	line)	Istee	trust		ö	pen				5
			ee			Highest compensated employee				
(1)ROQUE J CALVO	37.50									
EXEC DIRECTOR (1991-PRESENT)		X		Х				249,839.	0	43,687.
(2)TETSUYA OSAKA	1.00									
PRESIDENT		X		Х				0	0	0
(3)LLOYD GEORGE	1.00									
NONPROFIT FIN. PROF. THRU 2013		X						0	0	0
(4) JEAN ST-PIERRE	1.00									······································
BOARD MEMBER THRU 2013	T	X						0	0	0
(5)CHRISTINA BOCK	1.00									
TREASURER		X		Х				0	0	0
(6)SHELLEY MINTEER	1.00									
BOARD MEMBER THRU 2013		X						0	0	0
(7) PABLO CHANG	1.00									
BOARD MEMBER THRU 2013		X						0	0	0
(8) JEFFREY FERGUS	1.00									······································
BOARD MEMBER THRU 2013	T	X						0	0	0
(9)HARIKLIA DELIGIANNI	1.00									
SECRETARY		X		Х				0	0	0
(10) PAUL KOHL	1.00									
SR VICE PRESIDENT		X		Х				0	0	0
(11) DANIEL SCHERSON	1.00						1			
2ND VICE PRESIDENT		Х		Х				0	0	0
(12)GERARDINE BOTTE	1.00									
BOARD MEMBER		X						0	0	0
(13) JAMES BURGESS	1.00									
BOARD MEMBER	·	X						0	0	0
(14) <sup>MICHAEL</sup> CARTER	1.00							-		
BOARD MEMBER		X						0	0	0

JSA

Part VII Section A. Officers, Directors, 1 (A)	(B)			(C)			(D)	[ [	
Name and title	Average hours per week (list any hours for	box, office	not che unless er and a	ositio ck mo perso dire	re than o n is both ctor/trust	an tee)	Reportable compensation from the	(E) Reportable compensation from related organizations	<b>(F)</b> Estimated amount of other compensatior
	related organizations below dotted line)	Individual trustee or director	Institutional trustee	Key employee	Highest compensated employee	Former	organization (W-2/1099-MISC)	(W-2/1099-MISC)	from the organization and related organizations
5) JOHN COLLINS	1.00								
BOARD MEMBER THRU 2013		X					C	0	
5) SHINJI FUJIMOTO	1.00								
BOARD MEMBER		X					C	0	
) OANA LEONTE	1.00								
BOARD MEMBER		X					С	0	
) BOR YANN LIAW	1.00								
BOARD MEMBER D) R. WEISMAN	1 00	X		_		ļ	C	0	
BOARD MEMBER	1.00	v							
) GIOVANNI ZANGARI	1.00	X				ļ	C	0	
BOARD MEMBER		x							
) KRISHNAN RAJESHWAR	1.00	^					C	0	
3RD VICE PRESIDENT		x	2	,				0	
) ANDREW HOFF	1.00			<u> </u>				<u> </u>	
BOARD MEMBER		x					l c	0	
) ROBERT MANTZ	1.00				-	<u> </u>			
BOARD MEMBER		x					l c	0	
) ANANT SETLUR	1.00			+					
BOARD MEMBER		x					c c	o	
) ERIC WACHSMAN	1.00			-					
BOARD MEMBER		X					c c	0	
b Sub-total		••••••				►	249,839.	0	43,68
c Total from continuation sheets to Part VII,		· · ·		· · ·			242,701.	0	69,37
d Total (add lines 1b and 1c)						►	492,540.	0	113,05
Total number of individuals (including but ne	ot limited to tl	hose	listed	abo	ve) wh	o re	ceived more than	\$100,000 of	
reportable compensation from the organizat	tion 🕨	2	2						
Did the organization list any former of	ficer directo	r or	true	.00	kov r	mn	lovoo or highoo	teempered	Yes
employee on line 1a? If "Yes," complete Sche	edule J for suc	ch ind	ividua		 	p	······································		3
For any individual listed on line 1a, is the organization and related organizations individual	greater than	\$15	0,000	)?	f "Yes	s," (	complete Schedu	le J for such	4 X
Did any person listed on line 1a receive of for services rendered to the organization? If	or accrue con	mpen	satior	fro	m anv	uni	related organizatio	n or individual	5
ection B. Independent Contractors					//				

year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 3		
2 Total number of independent contractors (including but not limited to the more than \$100,000 in compensation from the organization ▶ 7	ose listed above) who received	

(A) Name and title	(B) Average hours per week (list any hours for			(C) ositio	ı		(D) Reportable	(E)	(F)
		office	unless r and a	perso a dire	re than on is both	an tee)	compensation from the	Reportable compensation fror related organizations	Estimated n amount of other compensation
	related organizations below dotted line)	Individual trustee or director	Institutional trustee	Key employee	Highest compensated employee	Former	organization (W-2/1099-MISC)	(W-2/1099-MISC	from the organization and related organizations
5) ADAM WEBER	1.00				+				
BOARD MEMBER		X					0		0
BOARD MEMBER	1.00								
3) STUART SWIRSON	1.00	X					0		0
NONPROFIT FINANCIAL PROF.		X					0		0
) FERNANDO GARZON	1.00					<u> </u>	0		0
PAST PRESIDENT		x					0		0
)) ESTHER TAKEUCHI	1.00								
PAST PRESIDENT THRU 2013		Х					0		0
) PAUL GROTE	37.50				-				
DIRECTOR OF FINANCE			2	ζ			98,538.		0 30,827
2) MARY YESS	37.50								
DEPUTY EXEC DIR					X		144,163.		0 38,543
· · ·									
b Sub-total		• • •							
c Total from continuation sheets to Part VII, d Total (add lines 1b and 1c)	Section A .	•••		•••	•••				
Total number of individuals (including but no	ot limited to the	nose I	isted	<u>· · ·</u> abov	e) who	o re	Leived more than	\$100.000 of	
reportable compensation from the organizat	ion 🕨	2		4501		0.10	ceived more man	\$100,000 01	
							· · · · · · · · · · · · · · · · · · ·		Yes No
Did the organization list any former of	ficer, directo	r, or	trus	tee,	key e	emp	loyee, or highest	compensated	
employee on line 1a? If "Yes," complete Sche									3 X
For any individual listed on line 1a, is the	e sum of rep	ortab	le co	mpe	nsatio	n ar	nd other compens	ation from the	in a start
organization and related organizations	greater than	\$15	0,000	)?	f "Yes	s," (	complete Schedul	e J for such	A V
Did any person listed on line 1a receive		••••	· · ·	••••	•••	•••	•••••		4 X
for services rendered to the organization? If	"Yes," complet	e Sch	edule	J fo	n any 'such	pers	son	on or individual	5 X
ection B. Independent Contractors						·			
Complete this table for your five highest co compensation from the organization. Repor year.	mpensated ir t compensatio	ndepe on for	nden the c	t cor alen	tracto dar ye	rs tl ar e	hat received more nding with or with	than \$100,000 in the organizati	of on's tax
(A) Name and business a	address						(B) Description of se	rvices	(C) Compensation
						-			
						1			
							· · · · · · · · · · · · · · · · · · ·		
									······
Total number of independent contractors									
Total number of independent contractors more than \$100,000 in compensation from	(including bu the organizati	ιnot ion ►	umit	ea t	o thos	e li	sted above) who	received	

(B) Related or

exempt

function

revenue

529,990.

2,072,274.

2,543,302.

63,177.

13-1771269 Page **9** 

(C) Unrelated

business

revenue

117,428.

<b></b>		·····································	E C T D	OCUENTONI C	COLERY INC			
Form Par	t VII				SOCIETY, INC.			
					<b>(A)</b> Total revenue			
nts nts	1a	Federated campaigns	<u>1a</u>					
Contributions, Gifts, Grants and Other Similar Amounts	b	Membership dues	1b					
An	с	Fundraising events	1c					
iar Gif	d	Related organizations	1d					
Sin,	е	Government grants (contributions)	1e					
ler Lic	f	All other contributions, gifts, grants,						
5 E		and similar amounts not included above	1f	81,432.				
n di	g	Noncash contributions included in lines 1a-1	f:\$					
	h	Total. Add lines 1a-1f	<u></u>	<u> •</u>	81,432.			
nue l				Business Code				
eve	2a	MEMBERSHIP DUES		900099	529,990.			
6 8	b	SOCIETY MEETINGS AND ACTIVITIES		900099	2,072,274.			
2 Z	с	SUBSCRIPTIONS	519100	2,660,730.				
Sei	d	CONSTITUENT PROGRAMS		900099	63,177.	63,177.		
E E	е							
Program Service Revenue	f	All other program service revenue						
4	g	Total. Add lines 2a-2f			5,326,171.			

3

4

5

6a

7a

С 10a

11a b -----С \_\_\_\_\_

12

JSA 3E1051 1.000

Other Revenue

3	Investment income (including other similar amounts).	g dividends, intere TACHMENT 4	est, and ▶	336,362.			336,362.
4	Income from investment of ta			0			
5	Royalties · · · · · · · · ·			0			
•	Royanies + + + + + + + + + + + + + + + + + + +	(i) Real	(ii) Personal				
6a	Gross rents	468,764.					
b	Less: rental expenses	480,489.					
c	Rental income or (loss)	-11,725.					
d	Net rental income or (loss	)		-11,725.			-11,725.
		(i) Securities	(ii) Other				,
7a	Gross amount from sales of assets other than inventory	3,130,846.					
b	Less: cost or other basis						
	and sales expenses	2,447,974.					
с	Gain or (loss)	682,872.					
d	Net gain or (loss)			682,872.			682,872.
8a	Gross income from fundral	isina					
	events (not including \$	-					
	of contributions reported on I						
	See Part IV, line 18	a					
b	Less: direct expenses	b					
с	Net income or (loss) from fur	ndraising events .	<u></u>	0			
9a	Gross income from gaming a	ctivities.					
	See Part IV, line 19						
b	Less: direct expenses	b					
с	Net income or (loss) from ga	ming activities	<u></u>	0			
0a	Gross sales of invento	ory, less					
	returns and allowances	<i>.</i> a					
b	Less: cost of goods sold	<i>.</i> . b					
C	Net income or (loss) from sale			0			
	Miscellaneous Reven	ue	Business Code				
1a	MISCELLANEOUS		900099	14,960.	14,960.		
b							
С							
d	All other revenue						
е	Total. Add lines 11a-11d • •		1	14,960.			
2	Total revenue. See instruction	ns	<u></u>	6,430,072.	5,223,703.	117,428.	1,007,509.
						F	orm <b>990</b> (2013)
1.000	(2) 211 - E (E )						
10	633X F678		V 13-	-4.9F	011189		

X

(D) Revenue excluded from tax

under sections 512-514

## Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response or note to any line in this Part IX

	Check if Schedule O contains a respo	Check if Schedule O contains a response or note to any line in this Part K								
	not include amounts reported on lines 6b, 7b, 9b, and 10b of Part VII.	(A) Total expenses	<b>(B)</b> Program service expenses	(C) Management and general expenses	(D) Fundraising expenses					
1	Grants and other assistance to governments and									
	organizations in the United States. See Part IV, line 21 .	0								
2	Grants and other assistance to individuals in									
	the United States. See Part IV, line 22	36,051.	36,051.							
3										
	organizations, and individuals outside the	7 000	7 000							
	United States. See Part IV, lines 15 and 16.	7,000.	7,000.							
	Benefits paid to or for members		······							
5	Compensation of current officers, directors, trustees, and key employees	605,597.	408,597.	107 000						
6	Compensation not included above, to disqualified		400,597.	197,000.	·····					
0	persons (as defined under section 4958(f)(1)) and									
	persons described in section 4958(c)(3)(B)	0								
7	Other salaries and wages	1,078,068.	727,372.	350,696.						
8										
	401(k) and 403(b) employer contributions)	70,674.	47,684.	22,990.						
9		212,420.	143,320.							
10	Payroll taxes	134,134.	90,500.	43,634.						
	Fees for services (non-employees):		······································							
	Management	0								
	Legal	1,552.		1,552.						
	Accounting	32,124.		32,124.						
	I Lobbying	0			····					
8	Professional fundraising services. See Part IV, line 17.	0								
1	f Investment management fees	0								
g	Other. (If line 11g amount exceeds 10% of line 25, column									
	(A) amount, list line 11g expenses on Schedule O.)	72,082.	72,082.							
12	Advertising and promotion	4,613.	4,613.							
13	Office expenses	343,591.	262,246.	81,345.						
14	Information technology	248,307.	167,533.	80,774.						
15	Royalties	0	0.6.1.0.0							
16	Occupancy	33,661.	26,120.	7,541.	····					
17	Travel	190,187.	156,556.	33,631.						
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	~								
19	Conferences, conventions, and meetings	1,001,880.	1,001,880.							
20		1,001,000.	1,001,000.							
20	Payments to affiliates	0								
22	Depreciation, depletion, and amortization	250,755.	250,755.							
23	Insurance	12,503.	9,702.	2,801.						
24	Other expenses. Itemize expenses not covered									
	above (List miscellaneous expenses in line 24e. If									
	line 24e amount exceeds 10% of line 25, column									
	(A) amount, list line 24e expenses on Schedule O.)									
а	MEMBERSHIP DIRECT EXPENSES	79,282.	79,282.							
b	PUBLICATIONS	1,059,237.	1,059,237.							
-	AWARDS EXPENSES	57,468.	57,468.							
d	CONSTITUENT SERVICES EXPENSE	193,314.	193,314.							
е	All other expenses	27,549.			27,549					
*******	Total functional expenses. Add lines 1 through 24e	5,752,049.	4,801,312.	923,188.	27,549					
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ► if following SOP 98-2 (ASC 958-720)	O								

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Form 990 (2013)

Part X **Balance Sheet** Check if Schedule O contains a response or note to any line in this Part X . . X (A) (B) Beginning of year End of year Cash - non-interest-bearing 1,153,409. 1 1 1,029,785. Savings and temporary cash investments 2 617,345. 2 1,049,005. 3 Pledges and grants receivable, net 17,575. 17,575. 3 Accounts receivable, net Loans and other receivables from current and former officers, directors, 4 148,985. 42,616. 4 5 trustees, key employees, and highest compensated employees. Complete Part II of Schedule L 5 0 C Loans and other receivables from other disqualified persons (as defined under section 6 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L 6 0 Assets Notes and loans receivable, net 7 7 0 Inventories for sale or use 8 0 8 Prepaid expenses and deferred charges ..... 9 81,132. 221,313. 9 10 a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D 5,007,611. 10a | 603,058. 4,489,657. 4,404,553. 10c 11 Investments - publicly traded securities ATCH 5 7,503,166. 11 8,838,076. 12 Investments - other securities. See Part N, line 11 567,593. 513,714. 12 Investments - program-related. See Part N, line 11 13 13 0 14 243,873. Intangible assets 14 0 15 Other assets. See Part IV, line 11 848,494. 797,214. 15 16 Total assets. Add lines 1 through 15 (must equal line 34) 15,671,229. 16,913,851. 16 17 Accounts payable and accrued expenses 314,030. 357,462. 17 Grants payable 18 18 0 19 1,290,501. Deferred revenue 19 1,600,829. Tax-exempt bond liabilities 20 0 20 21 Escrow or custodial account liability. Complete Part IV of Schedule D 717,910. 630,284. Liabilities 21 22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L 22 0 Secured mortgages and notes payable to unrelated third parties 23 0 d 23 Unsecured notes and loans payable to unrelated third parties 24 0 24 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D 146,015. 25 150,229. Total liabilities. Add lines 17 through 25.... 26 2,468,456. 26 2,738,804. Organizations that follow SFAS 117 (ASC 958), check here I X and Net Assets or Fund Balances complete lines 27 through 29, and lines 33 and 34. Unrestricted net assets 27 11,950,633. 27 12,875,468. Temporarily restricted net assets 28 410,627. 28 424,196. Permanently restricted net assets 29 841,513. 875,383. 29 Organizations that do not follow SFAS 117 (ASC 958), check here 🕨 and complete lines 30 through 34. Capital stock or trust principal, or current funds 30 30 Paid-in or capital surplus, or land, building, or equipment fund 31 31 Retained earnings, endowment, accumulated income, or other funds 32 32 Total net assets or fund balances 33 13,202,773. 14,175,047. 33 34 15,671,229. 34 16,913,851.

Form **990** (2013)

011189

THE ELECTROCHEMICAL SOCIETY, INC.

	90 (2013)			P	age <b>12</b>	
Par						
	Check if Schedule O contains a response or note to any line in this Part XI				$\square$	
1	Total revenue (must equal Part VIII, column (A), line 12)	1		,430, ,752,		
2						
3 Revenue less expenses. Subtract line 2 from line 1						
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	13	,202,		
5	Net unrealized gains (losses) on investments	5		294,	251.	
6	Donated services and use of facilities	6			0	
7		7			0	
8	Prior period adjustments	8			0	
9	Other changes in net assets or fund balances (explain in Schedule O)	9			0	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line					
	33, column (B))	10	14	<u>,175,</u>	047.	
Part	XII Financial Statements and Reporting				r	
	Check if Schedule O contains a response or note to any line in this Part XII	• • • •	• • • • •			
1	Accounting method used to prepare the Form 990: Cash X Accrual Other			Yes	No	
	Accounting method used to prepare the Form 990: Cash X Accrual Other If the organization changed its method of accounting from a prior year or checked "Other,"					
	Schedule O.	explain	IN NO			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?				x	
	If "Yes," check a box below to indicate whether the financial statements for the year were co	····	2	a		
	reviewed on a separate basis, consolidated basis, or both:	mplied				
	Separate basis Consolidated basis Both consolidated and separate basis					
h	Were the organization's financial statements audited by an independent accountant?		2	h X		
5	If "Yes," check a box below to indicate whether the financial statements for the year were au	•••••				
	separate basis, consolidated basis, or both:	illed of	1 a			
	Separate basis X Consolidated basis Both consolidated and separate basis					
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for ove	reight	1993	erse erselfatige		
-	of the audit, review, or compilation of its financial statements and selection of an independent acco		2	c X		
	If the organization changed either its oversight process or selection process during the tax year,	avolain	in 🕅	-		
	Schedule O.	стріан				
3a	As a result of a federal award, was the organization required to undergo an audit or audits as s	et f∩rth	in	- ann geòltair si s à f	alentitet etter ge-	
	the Single Audit Act and OMB Circular A-133?	ot forth	3	a	X	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not ur	derao 1			1	
	required audit or audits, explain why in Schedule O and describe any steps taken to undergo such a	udits.	3	b		

SCHE	EDUL	E A	L .
(Form	990	or 99	90-EZ)

# **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-F7

		t of the Treasury venue Service	ormation about Sch	edule A (Form	990 or 990-E	EZ) and	its inst	:∠. ructions	is at ww	w.irs.gc	ov/form9	90. Inspection
		he organization								Employ	yer ident	tification number
THE	E EL	ECTROCHEMICAL										-1771269
Ра	rt I	Reason for Pub	lic Charity Status	s (All organi	zations mu	st com	nplete	this pa	art.) Se	e instru	uctions	-
The	orga	nization is not a priv		•		•				'		
1		A church, convention					ed in <b>s</b>	ection	170(b)(	1)(A)(i)		
2		A school described										
3		A hospital or a coo		-								
4		A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the										
	·	hospital's name, cit										
5		An organization op	perated for the ber	nefit of a coll	ege or univ	ersity o	owned	or ope	erated b	by a go	vernme	ntal unit described in
		section 170(b)(1)(/		,								
6		A federal, state, or		-								
7						s supp	ort fro	m a go	vernme	ental un	nit or fro	om the general public
	<b>[]</b>	described in sectio										
8		A community trust										
9	X											ership fees, and gross
												re than 331/3% of its
											n 511	tax) from businesses
10	<b></b>	acquired by the org									<b>、</b>	
10 11	$\left  - \right $	An organization or				-					-	or to com
												, or to carry out the 09(a)(2). See section
		509(a)(3). Check th										
		a Type I	<b>b</b> Type II	c Type					· · · · · · · · · · · · · · · · · · ·			unctionally integrated
е			hannand • ·			-	-					e disqualified persons
· ·								-	-	-		d in section 509(a)(1)
		or section 509(a)(2				publici	y Supp		nganize		lescribe	
f		If the organization		n determinat	tion from th	e IRS	that it	is a T	vne I 1	whe ll	or Typ	e III supporting
•		organization, check				0 110	that it	10 0 1	, ypc 1, 1	ype n,	01 130	
g		Since August 17, 2		nization acce	 pted anv gift	torcor	 ntributi	on from	any of	the	• • • •	•••••
5		following persons?	-		p			011 11 011				
		(i) A person who		tly controls, e	either alone	or toge	ether v	vith pe	rsons d	escribe	d in (ii)	and Yes No
			governing body of			-		I.			()	11g(i)
		(ii) A family mem	ber of a person des	scribed in (i) a	bove?	• •	•••				••••	11g(ii)
		(iii) A 35% control		.,		ibove?	•••					11g(ill)
h		Provide the following					).				• •	• • • • • • • • • • • • • • • • • • •
		ame of supported	(ii) EIN	(iii) Type of	organization	(iv)	ls the		ou notify		Is the	(vii) Amount of monetary
		organization		(described above or II		col. (i)	zation in listed in		anization i) of your	col. (i) o	zation in organized	support
				(see inst		docu	overning ment?	sup	port?	in the	U.S.?	
						Yes	No	Yes	No	Yes	No	
(A)										ļ		
	,			*****		<b> </b>					<u> </u>	
(B)												
						<u> </u>		<u> </u>				
(C)												
							<u> </u>	<u> </u>				
(D)												
(E)												
Tota	al											

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

OMB No. 1545-0047

З

<u> </u>						-	
Schedule	А	(Form	990	or	990	·EZ)	2013

 Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	tion A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🛛 🕨	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3						
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						
6	Public support. Subtract line 5 from line 4.						
	tion B. Total Support	4 1 0 0 0 0 0					
	ndar year (or fiscal year beginning in)	(a) 2009	<b>(b)</b> 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 8	Arnounts from line 4						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)			-			
11	Total support. Add lines 7 through 10						
12	Gross receipts from related activities, etc. (					12	
13	First five years. If the Form 990 is f organization, check this box and stop here	<u>•••</u> ••••		nd, third, fourth,	or fifth tax ye	aras a section	501(c)(3) ▶
	tion C. Computation of Public Sup					rr	
14	Public support percentage for 2013 (II					14	%
15	Public support percentage from 2012	Schedule A, Pa	art II, line 14			15	%
16a	331/3% support test - 2013. If the c	organization did	not check the	box on line 13	, and line 14 is	331/3% or mo	re, check
Ŀ	this box and <b>stop here</b> . The organizati						
D	33 1/3% support test - 2012. If the o						
170	check this box and <b>stop here</b> . The org						
17a	<b>10%-facts-and-circumstances test</b> - 10% or more, and if the organization						
	Part IV how the organization meets						
	organization						
h	10%-facts-and-circumstances test -:	2012 If the or	· · · · · · · · · · · · · · · · · · ·			••••••••••••••••••••••••••••••••••••••	
5	15 is 10% or more, and if the org						
	Explain in Part IV how the organizati						
	supported organization						
18	Private foundation. If the organization	did not check :	a box on line 13		or 17b check	this box and see	· · · · • • L
-	instructions						
							••••

Schedule A (Form 990 or 990-EZ) 2013

#### Schedule A (Form 990 or 990-EZ) 2013

# Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.) Section A Public Support

Sec	tion A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🕨	<b>(a)</b> 2009	<b>(b)</b> 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1	Gifts, grants, contributions, and membership fees						
	received. (Do not include any "unusual grants.")	798,885.	761,688.	897,382.	735,974.	611,422.	3,805,351.
2	Gross receipts from admissions, merchandise						
	sold or services performed, or facilities						
	furnished in any activity that is related to the						
	organization's tax-exempt purpose	2,241,386.	5,070,105.	5,180,180.	5,347,036.	4,796,181.	22,634,888.
3	Gross receipts from activities that are not an						
	unrelated trade or business under section 513	2,918,367.					2,918,367.
4	Tax revenues levied for the						2751075071
	organization's benefit and either paid						
	to or expended on its behalf						0
5	The value of services or facilities						
	furnished by a governmental unit to the						
	organization without charge						0
6	Total. Add lines 1 through 5	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603.	20.250.000
	Amounts included on lines 1, 2, and 3		3,031,133.	0,011,302.	0,000,010.	5,407,003.	29,358,606.
	received from disgualified persons			143,528.			112 500
b	Amounts included on lines 2 and 3			143, 528.			143,528.
	received from other than disqualified						
	persons that exceed the greater of \$5,000						
	or 1% of the amount on line 13 for the year			1 (2 5 6 6			0
с 8	Add lines 7a and 7b			143,528.			143,528.
U							
Sac	line 6.)						29,215,078.
	ndar year (or fiscal year beginning in)	(a) 2009	<b>(b)</b> 2010	(a) 2011	(4) 2012	(-) 2012	(0 Tabal
				(c) 2011	(d) 2012	(e) 2013	(f) Total
9 10 a	Amounts from line 6	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603.	29,358,606.
ivu	payments received on securities loans,						
	rents, royalties and income from similar						
	sources	840,416.	885,153.	784,434.	781,112.	1,487,998.	4,779,113.
D	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after June 30, 1975						0
	Add lines 10a and 10b	840,416.	885,153.	784,434.	781,112.	1,487,998.	4,779,113.
11	Net income from unrelated business						
	activities not included in line 10b, whether or not the business is regularly						
	carried on						0
12	Other income. Do not include gain or						
	loss from the sale of capital assets						
	(Explain in Part IV.) ATCH 1	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.
13	Total support. (Add lines 9, 10c, 11,						
	and 12.)	6,802,998.	6,864,534.	6,888,511.	6,879,686.	6,910,561.	34,346,290.
14	First five years. If the Form 990 is for						
	organization, check this box and stop here	<u>.</u>			<u></u>		▶ 🦳
Sec	tion C. Computation of Public Sup	port Percenta	ge				
15	Public support percentage for 2013 (line 8	, column (f) divide	d by line 13, colun	nn (f))		15	85.06%
16	Public support percentage from 2012 Sche	edule A, Part III, lin	e15			16	86.02%
Sec	tion D. Computation of Investmer						
17	Investment income percentage for 2013 (li	ne 10c, column (f	) divided by line 1	3, column (f))		17	13.91%
18	Investment income percentage from 2012					18	12.97%
19 a	331/3% support tests - 2013. If the org						
	17 is not more than 331/3%, check th						
b	331/3% support tests - 2012. If the orga						
	line 18 is not more than 331/3%, check						
20	Private foundation. If the organization						
JSA	1 1 000					chedule A (Form 99	

Part IV Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

				ATT	ACHMENT 1	
SCHEDULE A, PART III	- OTHER INCOM	IE				
DESCRIPTION	2009	2010	2011	2012	2013	TOTAL
MISCELLANEOUS INCOME	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.
TOTALS	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.

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	JSA		
3	3E1251	1.000	

# Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Internal Revenue Service Name of the organization

THE ELECTROCHEMICAL SOCIETY, INC.

#### Organization type (check one):

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury

Filers of:	Section:
Form 990 or 990-EZ	X 501(c)( <sup>3</sup> ) (enter number) organization
	4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	527 political organization
Form 990-PF	501(c)(3) exempt private foundation
	4947(a)(1) nonexempt charitable trust treated as a private foundation
	501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

#### General Rule

X For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

#### Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year \_\_\_\_\_ ► \$\_\_\_\_\_

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

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For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.



Employer identification number

13-1771269

OMB No. 1545-0047



011189

Name of organization THE ELECTROCHEMICAL SOCIETY, INC.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MISCELLANEOUS CONTRIBUTIONS <\$5,000 EACH 65 S MAIN ST PENNINGTON, NJ 08534	\$64,432.	Person X Payroll (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	FREDERICK J STRIETER 7814 FALLMEADOW LANE DALLAS, TX 75248	\$12,000.	Person X Payroll Noncash (Complete Part II for
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	noncash contributions.) (d) Type of contribution
3	ASAHI KASEI CORPORATION 2-1 SAMEJIMA 416-8501 FUJI SHIZUOKA JAPAN	\$5,000.	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 JSA		\$ Schedule E	Person Payroll Noncash (Complete Part II for noncash contributions.) 3 (Form 990, 990-EZ, or 990-PF) (2013)

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)				Page	3	
Name of organization	THE	ELECTROCHEMICAL	SOCIETY,	INC.	Employer identification number	
					13-1771269	

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		  \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		s \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		  \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		  \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		  \$	

JSA 3E1254 1.000 10633X F678

V 13-4.9F

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

	(Form 990, 990-EZ, or 990-PF) (2013)			Page 4				
Name of o	rganization THE ELECTROCHEMICAL SOC	CIETY, INC.		Employer identification number				
Part III	that total more than \$1,000 for the ye For organizations completing Part III, er contributions of \$1,000 or less for the	<b>ar.</b> Complete columns (an ter the total of <i>exclusive</i> year. (Enter this information)	<b>a)</b> through <b>(e)</b> /v religious. ch	and the following line entry.				
	Use duplicate copies of Part III if addition	nal space is needed.						
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held				
		(e) Transfer of gif	t					
	Transferee's name, address, and	ZIP + 4	Relations	hip of transferor to transferee				
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held				
	(e) Transfer of gift							
	Transferee's name, address, and ZIP + 4		Relations	Relationship of transferor to transferee				
(a) No.								
from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held				
	(e) Transfer of gift							
	Transferee's name, address, and	Transferee's name, address, and ZIP + 4 Rela						
(a) No. from Part	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held				
		(e) Transfer of git	 ît					
	Transferee's name, address, and	ZIP + 4	Relations	hip of transferor to transferee				
JSA				Schedule B (Form 990, 990-EZ, or 990-PF) (2013)				

V 13-4.9F 011189

SCHEDULE C (Form 990 or 990-EZ)	Political Campaign a	and Lobbying Activ	vities	OMB No. 1545-0047
Department of the Treasury Internal Revenue Service	For Organizations Exempt From Incon ► Complete if the organization is described ► See separate instructions. ► Informationstructions		0 or Form 990-EZ.	2013 Open to Public Inspection
If the organization answe	red "Yes," to Form 990, Part IV, line 3, or Form	990-EZ, Part V, line 46 (Political C	Campaign Activities),	then
<ul> <li>Section 501(c)(3) org</li> </ul>	ganizations: Complete Parts I-A and B. Do not comp	lete Part I-C.		
<ul> <li>Section 501(c) (other</li> </ul>	than section 501(c)(3)) organizations: Complete	Parts I-A and C below. Do not com	olete Part I-B.	
<ul> <li>Section 527 organiza</li> </ul>	tions: Complete Part I-A only.			
If the organization answe	red "Yes," to Form 990, Part IV, line 4, or Form	990-EZ, Part VI, line 47 (Lobbying	Activities), then	
<ul> <li>Section 501(c)(3) org</li> </ul>	anizations that have filed Form 5768 (election ur	nder section 501(h)): Complete Par	t II-A. Do not complete	e Part II-B.
<ul> <li>Section 501(c)(3) org</li> </ul>	anizations that have NOT filed Form 5768 (elect	on under section 501(h)): Complete	e Part II-B. Do not cor	mplete Part II-A.
	red "Yes," to Form 990, Part IV, line 5 (Proxy T	ax) or Form 990-EZ, Part V, line 3	5c (Proxy Tax), then	
	), or (6) organizations: Complete Part III.			
Name of organization			Employer identificat	ion number
	ICAL SOCIETY, INC.		13-17712	
Part I-A Complet	e if the organization is exempt under	section 501(c) or is a sect	ion 527 organiza	ition.
-	tion of the organization's direct and indirect			
	res			
3 Volunteer hours.			• • •	
Part I-B Complet	e if the organization is exempt under	section 501(c)(3).		
1 Enter the amount	of any excise tax incurred by the organization	on under section 4955	. ►\$	
2 Enter the amount	of any excise tax incurred by organization m	anagers under section 4955.	. 🕨 \$	
3 If the organization	incurred a section 4955 tax, did it file Form	4720 for this year?		Yes No
<b>4a</b> Was a correction r	nade?			Yes No
b If "Yes," describe i				
Part I-C Complet	e if the organization is exempt under	section 501(c), except sec	ction 501(c)(3).	
	directly expended by the filing organizatio			
2 Enter the amount	of the filing organization's funds contributed ion activities	d to other organizations for se	ection	
3 Total exempt fun	ction expenditures. Add lines 1 and 2. Er	ter here and on Form 1120	-POL,	
<ul> <li>4 Did the filing organ</li> <li>5 Enter the names, organization made the amount of po</li> </ul>	nization file <b>Form 1120-POL</b> for this year? addresses and employer identification numb e payments. For each organization listed, er litical contributions received that were pron regated fund or a political action committee (	per (EIN) of all section 527 po nter the amount paid from the nptly and directly delivered to	olitical organization e filing organization a separate politic	Yes No ns to which the filing on's funds. Also enter cal organization, such
(a) Name	(b) Address	(c) EIN (d) Amo	ount paid from	e) Amount of political
			none, enter -0 d	ntributions received and promptly and directly elivered to a separate olitical organization. If none, enter -0
(1)				······································
(2)		-	**************************************	
(3)				
(4)				
(5)		-		
(6)				
For Paperwork Reduction	Act Notice, see the Instructions for Form 990 o	r 990-EZ.	Schedule C	(Form 990 or 990-EZ) 2013

Schedule C (Form 990 or 990-EZ) 2013	THE	ELECTROCHEMICAL	SOCIETY,	INC.	
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Pa	ITT II-A Complete if the organizat section 501(h)).	on is exempt under section 501(c)(3) and	filed Form 5768 (elec	tion under						
A	Check ► if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).									
B	Check if the filing organization checked box A and "limited control" provisions apply.									
		bying Expenditures	(a) Filing	(b) Affiliated						
		eans amounts paid or incurred.)	organization's totals	group totals						
1 a	Total lobbying expenditures to influen	ce public opinion (grass roots lobbying)								
ł		ce a legislative body (direct lobbying)								
C	Total lobbying expenditures (add lines	s 1a and 1b)								
C	Other exempt purpose expenditures									
e	Total exempt purpose expenditures (a	add lines 1c and 1d)								
f	Lobbying nontaxable amount. Enter	the amount from the following table in both								
	columns									
	If the amount on line 1e, column (a) or (b) is	: The lobbying nontaxable amount is:								
	Not over \$500,000	20% of the amount on line 1e.								
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.								
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.								
	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.								
	Over \$17,000,000	\$1,000,000.								
ç		25% of line 1f)								
ł	Subtract line 1g from line 1a. If zero c	r less, enter -0-								
i	Subtract line 1f from line 1c. If zero o	<sup>-</sup> less, enter -0-								
j		ro on either line 1h or line 1i, did the organiz								
	reporting section 4911 tax for this yea	ar?	<u> </u>	Yes No						
		4-Year Averaging Period Under Section 501(h)								

#### (Some organizations that made a section 501(h) election do not have to complete all of the five C L)

columns below	. See the	instructions	for	lines	2a	through 2f	on page 4.
---------------	-----------	--------------	-----	-------	----	------------	------------

	Lobbying Expenditures During 4-Year Averaging Period								
	Calendar year (or fiscal year beginning in)	<b>(a)</b> 2010	<b>(b)</b> 2011	(c) 2012	<b>(d)</b> 2013	<b>(e)</b> Total			
2a	Lobbying nontaxable amount								
b	Lobbying ceiling amount (150% of line 2a, column (e))								
c	Total lobbying expenditures								
d	Grassroots nontaxable amount								
e	Grassroots ceiling amount (150% of line 2d, column (e))								
f	Grassroots lobbying expenditures								

Schedule C (Form 990 or 990-EZ) 2013

Part II-B	Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768
	(election under section 501(h)).

	(election under section 501(h)).	<del></del>	<u> </u>	r			
For	each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed	(	a) 		(b	)	
des	cription of the lobbying activity.	Yes	No		Amo	unt	
1	During the year, did the filing organization attempt to influence foreign, national, state or local						
	legislation, including any attempt to influence public opinion on a legislative matter or						
	referendum, through the use of:						
а	Volunteers?	X					
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X				
C	Media advertisements?		X	Í			
d	Mailings to members, legislators, or the public?		X	ļ			
e r	Publications, or published or broadcast statements?	ļ	X	<b> </b>			
f	Grants to other organizations for lobbying purposes?		X				
g h	Direct contact with legislators, their staffs, government officials, or a legislative body? Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	X	X	<b> </b>			
i	Other activities?		X	<b> </b>		······	
j	Total. Add lines 1c through 1i		<u>Λ</u>				
, 2 a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		x				
b	If "Yes," enter the amount of any tax incurred under section 4912				/sections)		939.4.19X
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912						
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?						
Ра	rt III-A Complete if the organization is exempt under section 501(c)(4), section 501	(c)(5)	, or s	ectio	n	and an arrest process	
	501(c)(6).						
						Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?				1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?				2	<b></b>	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?				3	L	
Pa	t III-B Complete if the organization is exempt under section 501(c)(4), section 501 501(c)(6) and if either (c) BOTH Dart III A lines 4 and 2 are serviced lines					<b>.</b> .	
	501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," answered "Yes."	UR (	D) Pa	iπ III-A	, line	3, IS	
1	Dues, assessments and similar amounts from members			4			
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amo	unts	of.				
	political expenses for which the section 527(f) tax was paid).						
а	Current year			2a			
b	Carryover from last year			2b			
С	Total			2c			
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) du			3			
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portio	n of tl	he				
	excess does the organization agree to carryover to the reasonable estimate of nondeductible I	obbyiı	ng				
_	and political expenditure next year?			4			
5	Taxable amount of lobbying and political expenditures (see instructions)	• • •	<u></u>	5			
	rt IV Supplemental Information vide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated		li-4), 1		N 11	<u></u>	
Part	II-B, line 1. Also, complete this part for any additional information.	gioup	iist), i	an II-F	ι, iine .	z, and	
PAI	RT II-B, LINE 1A, 1G						
ALI	ACTIVITY IS VOLUNTEER BASED WITH NO ASSOCIATED EXPENSE.						

Schedule C (Form 990 or 990-EZ) 2013

Part IV Supplemental Information (continued)

Page 4

SCHEDULE D (Form 990)		► Complete if t	ental Financial S he organization answered " 8, 9, 10, 11a, 11b, 11c, 11d Attach to Form 990.	Yes," to Form 990,	2b.	OMB No. 1545-0047
	al Revenue Service	► Information about Schedule		ctions is at www.irs.	gov/form990.	Inspection
Name	of the organization				Employer Identific	
		ICAL SOCIETY, INC.			13-17712	269
Par	Organizati	ons Maintaining Donor Advis	ed Funds or Other Sim	ilar Funds or Ac	counts.	
	Complete	if the organization answered "				
			(a) Donor advised	funds	(b) Funds and	d other accounts
1		end of year				
2		outions to (during year)				
3	Aggregate grants					
4		at end of year		<u></u>		
5	-	ion inform all donors and donor	-			Yes No
6		anization's property, subject to the ion inform all grantees, donors, a	-			
0	-	e purposes and not for the benef				
	•	nissible private benefit?				Yes No
Par		ion Easements. Complete if t	he organization answer	ed "Yes" to Form	n 990, Part IV,	
1		nservation easements held by the			· · ·	
	Preservation	n of land for public use (e.g., recr	eation or education)	Preservation of	an historically in	nportant land area
	Protection o	f natural habitat		Preservation of	a certified histo	ric structure
	Preservation	n of open space				
2		a through 2d if the organization h	eld a qualified conservation	on contribution in t	he form of a co	nservation
	easement on the	last day of the tax year.				
						e End of the Tax Year
a		conservation easements			<u>2a</u>	
b		stricted by conservation easement		1	2b	
с С		rvation easements on a certified rvation easements included in (c			<u>2c</u>	
d		listed in the National Register.			2d	
3		rvation easements modified, trai				zation during the
Ŭ					too by the organ	zation damig the
4		where property subject to conse	ervation easement is locate	ed ►		
5		ation have a written policy regard				
	-	forcement of the conservation e			-	Yes No
6	Staff and voluntee	er hours devoted to monitoring, i	nspecting, and enforcing	conservation ease	ments during the	e year
	►					
7		ses incurred in monitoring, inspe	cting, and enforcing conse	ervation easement	ts during the yea	r
	▶\$					
8		ervation easement reported on lir				
~	(I) and section 17	0(h)(4)(B)(ii)?	••••••••••••••••••••••••••••••••••••••			Yes No
9	,	ribe how the organization reports nd include, if applicable, the text			•	,
		counting for conservation easem				
Par		ations Maintaining Collection		sures, or Other	Similar Asset	 S-
	Complete	e if the organization answered	d "Yes" to Form 990, Pa	rt IV, line 8.		
1a	If the organizatio	n elected, as permitted under S	FAS 116 (ASC 958), not	to report in its re	evenue stateme	nt and balance sheet
	works of art, his	n elected, as permitted under S storical treasures, or other simi ovide, in Part XIII, the text of the	lar assets held for public	exhibition, educ	ation, or resea	rch in furtherance of
b		on elected, as permitted under				
5	works of art, his	torical treasures, or other simi	lar assets held for public	c exhibition, educ	ation, or resea	rch in furtherance of
	public service, pro	ovide the following amounts rela	ting to these items:			
		luded in Form 990, Part VIII, line				
	• •	ed in Form 990, Part X				
2	•	on received or held works of a				ial gain, provide the
		s required to be reported under t				<b>^</b>
a b		ed in Form 990, Part VIII, line 1. n Form 990, Part X......				
		n Act Notice, see the Instructions for		* * * * * * * * * * * *		⊅ .hedule D (Form 990) 2013
JSA						

THE ELECTROCHEMICAL SOCIETY, INC.

Sched	lule D (Form 990) 2013							Page 2	2
Par	t III Organizations Maintaining	Collections of	Art, Hist	orical Tr	easures,	or Oth	er Similar Asse	ts (continued)	_
3	Using the organization's acquisition,	accession, and o	ther record	ds, check	any of th	e followi	ng that are a sign	ificant use of its	
	collection items (check all that apply):		<b></b>	7					
а	Public exhibition		d	~	exchang				
b	Scholarly research		e	Other_					
С	Preservation for future generati								
4	Provide a description of the organization	ation's collections	and expla	iin how th	ey furthe	r the org	anization's exempt	t purpose in Part	
	XIII.								
5	During the year, did the organization s						_		
	assets to be sold to raise funds rather							Yes No	_
Par	t IV Escrow and Custodial Arran or reported an amount on F			e organiz	zation an	swered '	'Yes" to Form 990	0, Part IV, line 9	, 
1a	Is the organization an agent, trustee,	custodian or other	intermedia	ary for cor	ntributions	or other	assets not		
								Yes X No	,
b	If "Yes," explain the arrangement in Pa	art XIII and comple	ete the follo	owing tabl	e:				
							Amount		
С	Beginning balance								
d	Additions during the year				· · · 1d	I			
е	Distributions during the year				· · · 1e	•			
f	Ending balance								
2a	Did the organization include an amou	int on Form 990, F	Part X, line	21?				X Yes No	,
b	If "Yes," explain the arrangement in Pa	art XIII. Check her	e if the exp	planation h	has been j	provided i	n Part XIII		
Par	t V Endowment Funds. Comple	ete if the organi:	zation ans	wered "Y	′es" to Fo	orm 990,	Part IV, line 10.		_
		(a) Current year	<b>(b)</b> Prio	r year	<b>(c)</b> Two ye	ars back	(d) Three years back	(e) Four years back	
1a	Beginning of year balance	7,217,373.	7,17	1,757.	6,46	2,554.	6,019,723.	5,872,89	<b>4</b> .
b	Contributions	336,420.	1	9,643.	633	1,819.	512,135.	61,09	5.
С	Net investment earnings, gains,								
	and losses	255,082.	18	8,297.	14	4,246.	37,637.	165,00	8.
d	Grants or scholarships	13,813.	6	2,287.	4	4,459.	106,800.	79,27	<del>ī</del> .
е	Other expenditures for facilities								
	and programs	41,574.	10	0,037.	2:	2,403.	141.		
f	Administrative expenses								-
g	End of year balance	7,753,488.	7,21	7,373.	7,17	1,757.	6,462,554.	6,019,72	Ī.
2	Provide the estimated percentage of	the current year e	nd balance	e (line 1g,	column (a)	)) held as		<u> </u>	-
а	Board designated or quasi-endowment	nt 🕨 83.5000	) %						
b	Permanent endowment > 11.30	00 %							
c	Temporarily restricted endowment	5.2000 %							
	The percentages in lines 2a, 2b, and 2	2c should equal 1	00%.						
3a	Are there endowment funds not in the	e possession of th	ne organiza	ation that a	are held a	nd admin	istered for the		
	organization by:							Yes No	-
	(i) unrelated organizations							3a(i) X	-
	(ii) related organizations							3a(ii) X	-
b	If "Yes" to 3a(ii), are the related organ							3b	-
4	Describe in Part XIII the intended use	s of the organizati	on's endov	vment fun	ds.				-
Par	t VI Land, Buildings, and Equipr Complete if the organization	ment.							-
	Complete if the organizatio								_
	Description of property	(a) Cost or (invest			r other basis her)		umulated (i eciation	d) Book value	
1a	Land		52,819.		50,608			1,603,427	•
b	Buildings		03,443.		00,741		03,058.	2,801,126	~~~
С	Leasehold improvements					1		· · · ·	-
ď	Equipment								-
	Other					1			
	I. Add lines 1a through 1e. (Column (c		n 990 Part	X colum	(B) line 1	(0(c))	•	4,404,553	-

Schedule D (F	<sup>2</sup> orm <b>9</b> 90) 2013			Page <b>3</b>
Part VII	Investments - Other Securities.			
	Complete if the organization answered "	Yes" to Form 990,	Part IV, line 11b. See Form 990,	Part X, line 12.
	(a) Description of security or category (including name of security)	(b) Book value	<b>(c)</b> Method of valuat Cost or end-of-year mark	ion: et value
(1) Financia	al derivatives			······································
	-held equity interests			
(A)				
(B)				
<u>(C)</u>				
(D)				
(E)				
(F)		·		
(G)		<u></u>		
(H)				
	n (b) must equal Form 990, Part X, col. (B) line 12.)			
Part VIII	Investments - Program Related.		Dest N/ View 11a Dest France 000	Durit Malla 40
<u></u>	Complete if the organization answered "			
	(a) Description of investment	(b) Book value	<b>(c)</b> Method of valuat Cost or end-of-year mark	
(1)				<u></u>
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
	n (b) must equal Form 990, Part X, col. (B) line 13.) 🕨			
Part IX	Other Assets.			<b></b>
•••••	Complete if the organization answered "		Part IV, line 11d. See Form 990,	
(1)	(a) De	escription		(b) Book value
(1) (2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)		Antoine	······································	
	umn (b) must equal Form 990, Part X, col. (B) line	e 15.)	•	
Part X	Other Liabilities.			I
	Complete if the organization answered "	Yes" to Form 990,	, Part IV, line 11e or 11f. See For	n 990, Part X,
	line 25.			, ,
1.	(a) Description of liability	(b) Book valu	e	
(1) Feder	al income taxes			
	RITY DEPOSITS	30,	900.	
(3) DEFE	RRED COMPENSATION	119,	329.	
(4)				
(5)	······································			
(6)				
(7)				
(8)				
(9)	· · · · · · · · · · · · · · · · · · ·			
Total. (Colun	nn (b) must equal Form 990, Part X, col. (B) line 25.)	► 150,	229.	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII X

THE ELECTROCHEMICAL SOCIETY, INC.

	e D (Form 990) 2013				Page <b>4</b>
Part	XI Reconciliation of Revenue per Audited Financial Statements W Complete if the organization answered "Yes" to Form 990, Part IV			rn.	
1	Total revenue, gains, and other support per audited financial statements			. 1	7,204,812.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
а	Net unrealized gains on investments	2a	294,253	L.	
b	Donated services and use of facilities	2b			
С	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
е	Add lines 2a through 2d			. 2e	294,251.
3	Subtract line 2e from line 1			. 3	6,910,561.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			1.1	
a	Investment expenses not included on Form 990, Part VIII, line 7b				
b	Other (Describe in Part XIII.)	4b	-480,48	2	
c	Add lines 4a and 4b			. <u>4c</u>	-480,489.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)				6,430,072.
Part	XII Reconciliation of Expenses per Audited Financial Statements V Complete if the organization answered "Yes" to Form 990, Part N			turn.	
1	Total expenses and losses per audited financial statements			1	6,232,538.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:	• • •		•	
а	Donated services and use of facilities	2a	1		
b	Prior year adjustments			-	
С	Other losses	1 2 -			
d	Other (Describe in Part XIII.) Add lines 2a through 2d	2d	480,48	9.	
е	Add lines 2a through 2d	h		2e	480,489.
3	Subtract line 2e from line 1			. 3	5,752,049.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
а	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
~	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `				
c	Add lines 4a and 4b	L		4c	
с 5	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . ( <i>This must equal Form</i> 990, Part I, line 18.	L	l	4c	5,752,049.
c 5 Part	Add lines 4a and 4b Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18. XIII Supplemental Information.	)		. 4c . 5	
c 5 Part Provid	Add lines 4a and 4b Total expenses. Add lines 3 and 4c. ( <i>This must equal Form 990, Part I, line 18.</i> XIII Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4;	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines 4a and 4b Total expenses. Add lines 3 and 4c. ( <i>This must equal Form 990, Part I, line 18.</i> XIII Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4;	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	
c 5 Part Provid 2; Par	Add lines <b>4a</b> and <b>4b</b> Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.</i> <b>XIII</b> Supplemental Information. e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; t XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to	) Part	V, lines 1b and 2b;	. 4c . 5 Part V, lir	

PART XI, LINE 4B

RENTAL EXPENSES \$480,489

PART XII, LINE 2D

RENTAL EXPENSES \$480,489

PART X

TEXT OF FOOTNOTE TO ORGANIZATION'S FINANCIAL STATEMENTS THAT REPORTS THE ORGANIZATION'S LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48 - THE SOCIETY FOLLOWS THE ACCOUNTING PRONOUNCEMENT THAT PROVIDES GUIDANCE ON UNCERTAIN TAX POSITIONS. THE SOCIETY HAD NO UNRECOGNIZED TAX BENEFITS AT DECEMBER 31, 2013 AND 2012. IN ADDITION, THE SOCIETY HAS NO INCOME TAX RELATED PENALTIES OR INTEREST FOR THE PERIODS REPORTED ON THESE FINANCIAL STATEMENTS.

PART IV, LINE 2B

BOTH CUSTODIAL ASSETS AND LIABILITIES OF \$630,284 ARE INCLUDED IN THE BALANCE SHEET. ASSETS ARE INCLUDED WITH INVESTMENTS.

PART V, LINE 4

THE SOCIETY'S ENDOWMENT FUNDS CONSIST OF SEVERAL FUNDS ESTABLISHED TO FUND AWARDS, AS WELL AS AN EDUCATIONAL ENDOWMENT FUND, PUBLICATIONS ENDOWMENT FUND AND AN ECS ENDOWMENT FUND. THE ENDOWMENT FUNDS INCLUDE BOTH DONOR-RESTRICTED FUNDS AND FUNDS DESIGNATED BY THE BOARD OF DIRECTORS TO FUNCTION AS ENDOWMENTS. THE SOCIETY HAS ADOPTED INVESTMENT POLICIES FOR ENDOWMENT ASSETS THAT ATTEMPT TO PROVIDE A PREDICTABLE

Part XIII Supplemental Information (continued)

STREAM OF FUNDING TO PROGRAMS SUPPORTED BY ITS ENDOWMENT WHILE SEEKING TO MAINTAIN THE PURCHASING POWER OF THE ENDOWMENT ASSETS.

SCHEDULE F		Staten	OMB No. 1545-0047				
(Fo	rm 990)	► Complete	2013				
	tment of the Treasury al Revenue Service	► Informatio			See separate instructions. ) and its instructions is at ww	w.irs.gov/form990.	Open to Public Inspection
	of the organization					Employer id	entification number
	ELECTROCHEM	the second s				13-17	
Par	Form 990,	Part IV, line 14	lb.		Jnited States. Complete	-	
1	assistance, the gra	antees' eligibilit	y for the grant	ts or assistance	substantiate the amount of e, and the selection criteria	a used to award the	
2	For grantmakers. assistance outside			ganization's pi	rocedures for monitoring	the use of its gr	ants and other
3	Activities per Regi	on. (The follow	ing Part I, line	3 table can be	e duplicated if additional sp	ace is needed.)	
	(a) Region		(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in a program service describe specific typ service(s) in regio	e of and investments
(1)	EAST ASIA AND THE	PACIFIC			GRANTMAKING		1,000.
(2)	EUROPE				GRANTMAKING		4,500.
(3)	NORTH AMERICA				GRANTMAKING		1,500.
(4)							
_(5)							
(6)							
(7)							
(8)							
(9)							
<u>(10)</u>							
<u>(11)</u>							
(12)							
<u>(13)</u>							
<u>(14)</u>							
(15)							
(16)							
<u>(17)</u>							
3a	Sub-total						7,000.
b	Total from sheets to Part I	continuation					

7,000.

Schedule F (Form 990) 2013

c
 Totals (add lines 3a and 3b)

 For Paperwork Reduction Act Notice, see the Instructions for Form 990.

 JSA 3E1274 1.000 10633X F678
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SOCIETY,	
ELECTROCHEMICAL	
THE	

Page 2 Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than גא הסות סבים וויביבים בינים בינים בינים בינים בינים ל Schedule F (Form 990) 2013 Part II Grants an

(c) Region (d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash	(g) Amount of		(i) Method of
		disbursement	non-casn assistance	of non-cash assistance	valuation (book, FMV, appraisal, other)
Enter total number of recipient organizations listed above that are recognized as charities by the fore by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter .	oreign country, recc	gnized as tax-	exempt		
ognized as charities by the ft	oreign	country, recc	country, recognized as tax-	Effect total number of recipient organizations listed above that are recognized as charities by the RS, or for which the grantee or course has provided a secton 501(c)(3) equivalency letter.	country, recognized as tax-exempt

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Schedule F (Form 990) 2013

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ELECTROCHEMICAL	
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Page 3 Schedule F (Form 990) 2013 Part II Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	( <b>n)</b> Method of valuation (book, FMV, appraisal, other)
<pre>(1) H.H. DOW MEMORIAL</pre>	EAST ASIA/PACIFIC	1.	1,000.	СНЕСК			FMV
<pre>(2) DAVID C. GRAHME</pre>	NORTH AMERICA	1.	1,500.	CHECK			EMV
(3) SRINIVASAN YOUNG INVESTIGATOR AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	CHECK			FMV
<ul><li>(4) RICHARD SMALLEY RESEARCH AWARD</li></ul>	EUROPE/ICELAND/GREENLAND	ч.	1,000.	CHECK			EMV
(5) CARL WAGNER MEMORIAL AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	СНЕСК			EMV
(6) H.H. UHLIG CORROSION AWARD	EUROPE/ICELAND/GREENLAND	. 4	1,500.	СНЕСК			EMV
(2)							
(8)							
(6)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

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Schedule	F	(Form	990	) 201	3
Part IV	2	F	ore	ign	Fo

	e F (Form 990) 2013		Page 4
Part	V Foreign Forms		
1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	Yes	X No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)	Yes	X No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? <i>If</i> "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471)	Yes	X No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? <i>If</i> "Yes," <i>the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)</i>	Yes	X No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865)	Yes	X No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)	Yes	X No

Schedule F (Form 990) 2013

Page 5

Schedule	F	(Form	990)	2013	
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Part V Supplemental Information Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I, LINE 2

THE ORGANIZATION GRANTS AWARDS AND FELLOWSHIPS TO RECIPIENTS BASED UPON WORK THAT HAS ALREADY BEEN PERFORMED PRIMARILY TO FURTHER THE STUDY IN THEIR FIELD. AWARD RECIPIENTS AND FELLOWS MUST BE NOMINATED USING A NOMINATION FORM. LETTERS OF ENDORSEMENT ARE REQUIRED. AWARD RECIPIENTS AND FELLOWS ARE CHOSEN BY THE HONORS AND AWARDS COMMITTEE AND APPROVED BY THE BOARD OF DIRECTORS BASED UPON MERIT.

PART 1, LINE 3

THE ORGANIZATION USES THE ACCRUAL METHOD OF ACCOUNTING.

011189

SCHEDULEI	0	Grants and	Id Other A	Other Assistance to Organizations,	o Organiza	tions,		OMB No. 1545-0047
(Form 990)	GO	vernmer	its, and Ir	Governments, and Individuals in the United States	n the United	d States		2013
	Compl	lete if the or	ganization ans	Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.	orm 990, Part IV,	line 21 or 22.		Open to Public
Department of the Treasury Internal Revenue Service	Informati	on about Sc	hedule I (Form	Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.	uctions is at wwv	v.irs.gov/form990.		Inspection
Name of the organization							Employer identification number	on number
THE ELECTROCHEMICAL	MICAL SOCIETY, INC.						13-1771269	
Part   General In	General Information on Grants and Assistance	Assistance						
1 Does the organiz	Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and	stantiate the	amount of the	grants or assistan	ce, the grantees'	eligibility for the grants		
the selection crite 2 Describe in Part I	the selection criteria used to award the grants or assistance?	or assistance res for monit	?	f grant funds in the	United States	· · · · · ·		△ Yes No
art II	Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.	vernments t received n	and Organiza	<b>ations in the Unit</b> 000. Part II can b	ed States. Com e duplicated if a	plete if the organiz dditional space is n	ation answered "Ye	ss" to Form 990,
1 (a) Name and or o	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)								
(2)								
(3)								
(4)								
(5)								
(9)								
(7)								
(8)								
(6)								
(10)								
(11)								
(12)								
2 Enter total numbe	Enter total number of section 501(c)(3) and government organizations listed in the line 1 table	vernment or	ganizations list	ed in the line 1 tab		· · · · ·		
3 Enter total numbi For Paperwork Redu	3 Enter total number of other organizations listed in the line 1 table . For Paperwork Reduction Act Notice, see the Instructions for Form 990.	d in the line 1 tructions for	table	•	• • • • • • •	• • • • • • • • • • •	Schedt	Schedule I (Form 990) (2013)
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Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be dublicated if additional space is needed. Schedule I (Form 990) (2013) Part III Grants and

AP Amount of P metrod of valuation (Judov, N) Decomption of non-cash assistance FMV, appraisal, other)
EMV
EMV
FMV
EMV
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1. 1,500. EMV

Schedule I (Form 990) (2013)					Page 2
Part II Grants and Other Assistance to Individuals in the U Part III can be duplicated if additional space is needed	<b>luals in the Un</b> Ice is needed.	ited States. Cor	nplete if the or	ganization answered "	t <b>he United States.</b> Complete if the organization answered "Yes" on Form 990, Part IV, line 22. eded.
(a) Type of grant or assistance	(b) Number of recipients	<b>(c)</b> Amount of cash grant	<b>(d)</b> Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 DAVID C. GRAHAME AWARD	1.	1,500.		FMV	
2 GORDON MOORE AWARD	۲. ۲.	7,500.		EMV	
3 HEINZ GERISCHER AWARD	1.	4,101.		FMV	
<b>4</b> OLIN FALLADIUM AWARD	1.	7,500.		EMV	
5 CARL WAGNER MEMORIAL AWARD		450.		EMV	
9					
7					
Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.           PART I, LINE 2	is part to prov	ide the informat	ion required in	Part I, line 2, Part III, co	olumn (b), and any other additional
THE ORGANIZATION GRANTS AWARDS AND FEI	FELLOWSHIPS T	TO RECIPIENTS	S BASED UPON	7.	
WORK THAT HAS ALREADY BEEN PERFORMED PRIMARILY		TO FURTHER TH	THE STUDY IN		
THEIR FIELD. AWARD RECIPIENTS AND FEI	FELLOWS MUST	BE NOMINATED	A USING A		
NOMINATION FORM. LETTERS OF ENDORSEMENT	ARE	REQUIRED. AWARD	RD RECIPIENTS	IS	
AND FELLOWS ARE CHOSEN BY THE HONORS A	AND AWARDS	COMMITTEE AN	AND APPROVED	ВҮ	
THE BOARD OF DIRECTORS BASED UPON MERIT	[l.				

THE ELECTROCHEMICAL SOCIETY, INC.

Schedule I (Form 990) (2013)

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SCH	EDULE J		nsation Information	ON	/B No. 1	1545-0	047
(For	m 990)	For certain Officers, Dire	ectors, Trustees, Key Employees, and Highest mpensated Employees		୬ଲ	12	
		Complete if the organizatio	on answered "Yes" to Form 990, Part IV, line 2	3.	<u>6</u> 0	10	
	nent of the Treasury	Attach to Form Information about Schedule J (Form)	990. See separate instructions.	form990.	pen to		
	Revenue Service of the organization			Employer identification	Inspe		n
	-	EMICAL SOCIETY, INC.		13-177126		•	
Part		is Regarding Compensation			-		
						Yes	No
1a	Check the ap	propriate box(es) if the organization pr	ovided any of the following to or for a pers	son listed in Form			
	990, Part VII,	Section A, line 1a. Complete Part III to	o provide any relevant information regardin	g these items.			
	First-cla	ss or charter travel	Housing allowance or residence for	personal use			
	Travel for	or companions	Payments for business use of perso				
		emnification and gross-up payments	Health or social club dues or initiati				
	Discreti	onary spending account	Personal services (e.g., maid, chauf	feur, chef)			
b	or reimburse	ement or provision of all of the ex	he organization follow a written policy r xpenses described above? If "No," con	nplete Part III to			
	explain	• • • • • • • • • • • • • • • • • • • •	r to reimbursing or allowing expense		1b		-
2	Did the orga	anization require substantiation prior	r to reimbursing or allowing expenses	s incurred by all			
			O/Executive Director, regarding the item	s checked in line			
•				••••	2		
3			nization used to establish the compensati at apply. Do not check any boxes for metho				
			ne CEO/Executive Director, but explain in F				
		nsation committee	Written employment contract				
	·	ident compensation consultant	X Compensation survey or study				
	·	90 of other organizations	X Approval by the board or compens	ation committee			
4	During the ye	ar, did any person listed in Form 990, or a related organization:	Part VII, Section A, line 1a, with respect to	o the filing			
а			payment?		4a	a Serie Alexandre Serie	X
b	Participate in	, or receive payment from, a suppleme	ental nonqualified retirement plan?		4b		X
С			ased compensation arrangement?		4c		X
	If "Yes" to an	y of lines 4a-c, list the persons and p	provide the applicable amounts for each i	tem in Part III.			
-		501(c)(3) and 501(c)(4) organizations	-				
5			line 1a, did the organization pay or accrue	any			
		n contingent on the revenues of:					x
a b		roanization?			5a 5b	<u> </u>	X
u	If "Yes" to line	e 5a or 5b, describe in Part III.			00	1942.00	
6			line 1a, did the organization pay or accrue	anv			
-		n contingent on the net earnings of:					
а	The organizat				6a		X
b	-				6b		X
	If "Yes" to line	e 6a or 6b, describe in Part III.		· · · · · ·			
7			on A, line 1a, did the organization prov				1
			escribe in Part III		7	X	ļ
8			I, paid or accrued pursuant to a contrac				
			Regulations section 53.4958-4(a)(3)?		_		
~					8	<b> </b>	X
9			llow the rebuttable presumption proce				
	Regulations s	ection 33.4930-0(C)?	<u> </u>	<u></u>	9	<u> </u>	

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2013

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SOCIETY,	
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Page 2

# Schedule J (Form 990) 2013

# Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that

		(b) Breakdown	(b) Breakdown of W-2 and/or 1033-MISC CURPENSATOR	compensation	(C) Retirement and	(D) Nontaxable		(L) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits		reported as deferred in prior Form 990
ROQUE J CALVO	()	227,582.	22,257.	, <u>and</u> and <u>and</u>	0 34,414.	9,273.	293, 526.	
	(ii)						5	
MARY YESS	(i)	141,670.	2,493		0 11,334.	27,209.	182,706.	
2 DEPUTY EXEC DIR	(II)	0			0			
	(i)		-					
	(ii)							
	Ξ							
	(ii)							
	Ξ		,					
	Ξ							
	(E)							
	ε							
	(E)							
	Ξ							
	(ii)							
	Ξ							
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	(ii)							
	Ξ							
	(ii)							
	Ξ							
	<b>(i)</b>							
	Ξ							
13	(E)							
	Ξ				2 44 44 45 45 46 46 46 46 46 46 46 46 46 46 46 46 46			
	(ii)							
	Ξ							
15	(ii)							
	Ξ							
46	(iii)							

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rtd information rtd information art for an additional information, expanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for F art for an additional information, expanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for F art part art of the state of the second of the	THE ELECTROCHEMICAL SOCIETI, INC.	
1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7,	Schedule J (Form 990) 2013 Partalli Supplemental Information	DOUR L
MBER TENMS RUN FROM OCTOBER TO SEPTEMBER OF EACH A BOARD MEMBER SERVING FROM JANUARY THRU NOTES A BOARD MEMBER SERVING FROM JANUARY THRU COLUMN B(II) FOR BONUS AND INCENTIVE	Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c Also complete this part for any additional information.	c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II.
A BOARD MEMBER SERVING FROM OCTOBER 2013 THRU A BOARD MEMBER SERVING FROM JANUARY THRU NOTES A BOARD MEMBER SERVING FROM JANUARY THRU COLUMN B(II) FOR BONUS AND INCENTIVE	990, SCHEDULE	
A BOARD MEMBER SERVING FROM OCTOBER 2013 THRU NOTES A BOARD MEMBER SERVING FROM JANUARY THRU COLUMN B(II) FOR BONUS AND INCENTIVE	BOARD MEMBER TERMS RUN FROM OCTOBER TO SEPTEMBER OF	
NOTES A BOARD MEMBER SERVING FROM JANUARY THRU COLUMN B(II) FOR BONUS AND INCENTIVE	"CURRENT" DENOTES A BOARD MEMBER SERVING FROM OCTOBER 2013	
COLUMN B(II) FOR BONUS AND INCENTIVE	2014, "PAST" DENOTES A BOARD MEMBER	
COLUMN B(II) FOR BONUS AND INCENTIVE		
COLUMN B(II) FOR BONUS AND INCENTIVE		
COLUM B(II) FOR BONUS AND INCENTIVE	I, LINE	
	SCHEDULE J, PART II, COLUMN B(II) FOR BONUS AND	
Germand Lemma 2012	COMPENSATION INFORMATION.	
Schedule J Form 990, 201		
Scheddle 201		
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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

## Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ► Attach to Form 990 or 990-EZ.

O-EZ s on Employer identification number

Name of the organization THE ELECTROCHEMICAL SOCIETY, INC.

FORM 990, PART VI, SECTION B, LINE 11

A DRAFT COPY OF THE FORM 990 WILL BE PROVIDED TO ALL MEMBERS OF THE GOVERNING BODY FOR REVIEW AND COMMENT TO THE AUDIT COMMITTEE. THE AUDIT COMMITTEE WILL REVIEW THE RETURN AND RECOMMEND THAT THE EXECUTIVE COMMITTEE APPROVE THE 990 ON BEHALF OF THE BOARD.

FORM 990, PART VI, SECTION B, LINE 12C

MEMBERS OF THE BOARD OF DIRECTORS ARE REQUIRED TO DISCLOSE CONFLICTS OF INTEREST ANNUALLY. CONFLICTS ARE REVIEWED AND EVALUATED BY THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION C, LINE 19 THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST AND VIA THE ORGANIZATION'S WEBSITE, D&B AND THE ECS QUARTERLY INTERFACE MAGAZINE.

FORM 990, PART VI, SECTION B, LINES 15A AND B FORMAL EMPLOYEE APPRAISALS ARE CONDUCTED ANNUALLY IN DECEMBER FOR BOTH FULL AND PART-TIME EMPLOYEES. THE RESPONSIBILITIES OF EACH STAFF POSITION ARE CAPTURED IN JOB DESCRIPTIONS WHICH ARE REVIEWED AND UPDATED ANNUALLY. SALARY ADJUSTMENTS ARE DETERMINED AT THE TIME OF THE APPRAISAL AND ARE BASED UPON PERFORMANCE AND COST OF LIVING ADJUSTMENTS. ADJUSTMENTS ARE NOT RELEASED UNTIL JANUARY 1, THE EFFECTIVE DATE OF THE

Schedule O (Form 990 or 990-EZ) 2013		Page 2
Name of the organization	Employer identification number	
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269	

SALARY ADJUSTMENT. THE COMPENSATION PARAMETERS FOR EACH POSITION ARE DESCRIBED IN A SALARY RANGE, WHICH IS UPDATED ONCE PER YEAR IN JULY BASED ON CHANGES IN RESPONSIBILITIES AND COMPARABLE MARKET INFORMATION. SALARY AND BENEFIT AMOUNTS ARE APPROVED IN THE ANNUAL BUDGET BY THE ECS BOARD OF DIRECTORS. THE EXECUTIVE DIRECTOR IS APPRAISED ANNUALLY IN OCTOBER BY THE ECS BOARD BASED UPON COMPENSATION AND PERFORMANCE REQUIREMENTS AS OUTLINED IN THE EMPLOYMENT CONTRACT.

## FORM 990, PART III, LINE 4D

AWARDS - PRESENT AWARDS FOR OUTSTANDING ACHIEVEMENTS IN THE FIELD OF ELECTROCHEMISTRY, INCLUDING AWARDS AND TRAVEL GRANTS TO ATTEND MEETINGS.

CONSTITUENT PROGRAMS - PROVIDE EDUCATIONAL COURSES DURING THE BI-ANNUAL MEETINGS ON TOPICS RELATED TO THE SCIENCE OF ELECTROCHEMISTRY. FUND ECS STUDENT CHAPTERS AT UNIVERSITIES THROUGHOUT THE UNITED STATES AND IN SEVERAL FOREIGN COUNTRIES. OPERATE THE REDCAT WEBSITE, WHICH PROVIDES A COMMUNITY FOR RESEARCHERS TO REVIEW PUBLISHED RESEARCH, SHARE IDEAS AND DEVELOP NEW RESEARCH WITH OTHERS IN THE COMMUNITY.

## FORM 990 PART X1 LINE 5

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OTHER CHANGES IN NET ASSETS OR FUND BALANCE: \$294,251 UNREALIZED GAIN ON MARKETABLE SECURITIES

ATTACHMENT 1

Schedule O (Form 990 or 990-EZ) 2013

## FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

THE MISSION OF THE SOCIETY IS TO ADVANCE THEORY AND PRACTICE AT THE FOREFRONT OF ELECTROCHEMISTRY, SOLID-STATE SCIENCE, AND ALLIED SUBJECTS. TO ENCOURAGE RESEARCH, DISCUSSION, CRITICAL ASSESSMENT, AND

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Name of the organization	Employer identification number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269
FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION	ATTACHMENT 1 (CONT'D)
DISSEMINATION OF KNOWLEDGE IN THESE FIELDS, THE SOCIETY HOLDS	
MEETINGS, PUBLISHES SCIENTIFIC PAPERS, FOSTERS TRAINING AND EDUCA	TION
OF SCIENTISTS AND ENGINEERS, AND COOPERATES WITH OTHER ORGANIZATI	ONS

TO PROMOTE SCIENCE AND TECHNOLOGY IN THE PUBLIC INTEREST.

FORM 990, PART III, LINE 4D - OTHER PROGRAM S	ERVICES	ATTACHMENT	2
DESCRIPTION	GRANTS	EXPENSES	REVENUE
AWARDS, PRIZES AND FELLOWSHIPS	43,051.	135,908.	
CONSTITUENT PROGRAMS		635,591.	63,177.
MISCELLANEOUS			14,960.
TOTALS	43,051.	771,499.	78,137.

	ATTACHME	NT 3
990, PART VII- COMPENSATION OF THE FIVE HIGHEST	PAID IND. CONTRACTORS	
NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
REDEYE INC 1675 WHITEHORSE MERCERVILLE RD SUITE 104 HAMILTON, NJ 08619	COMPUTER SERVICES	211,243.
HILTON SAN FRANCISCO 333 O'FARRELL ST SAN FRANCISCO, CA 94102	MEETING VENUE	328,517.
APTARA, INC. BOX #13963 COLLECTIONS, CENTER DRIVE CHICAGO, IL 60693	PUBLISHING SERVICES	170,771.
THE SHERIDAN PRESS P.O. BOX 842377 BOSTON, MA 02284-2377	JOURNAL PRINTING	154,930.
HIGH WIRE 425 BROADWAY STREET	E-PUBLISHING	127,020.

Schedule O (Form 990 or 990-EZ) 2013

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ame of the organization			Employer identification	number
THE ELECTROCHEMICAL SOCIETY, INC.			13-1771269	
			ATTACHMENT 3 (C	CONT'D)
990, PART VII- COMPENSATION OF THE	FIVE HIGHEST	PAID IND. CONTRAC	CTORS	
NAME AND ADDRESS		DESCRIPTION OF	SERVICES COMP	ENSATION
REDWOOD CITY, CA 94063				
			ATTACHMENT 4	
FORM 990, PART VIII - INVESTMENT IN	NCOME		ATTACHMENT 4	
FORM 950, FART VITT INVESTMENT I				
	(A)	(B)	(C)	(D)
	TOTAL	RELATED OR	UNRELATED	EXCLUDEI
DESCRIPTION	REVENUE	EXEMPT REVENUE	BUSINESS REV.	REVENUE
INTEREST AND DIVIDEND INCOME	336,36	2.		336,362.
		2		336,362
TOTALS	336.36			
TOTALS	336,36	<u>.</u>	Manthur Products	
TOTALS	336,36	<u> </u>		
TOTALS	336,36	<u> </u>		
TOTALS	336,36	<u></u>		

## FORM 990, PART X - INVESTMENTS - PUBLICLY TRADED SECURITIES

DESCRIPTION	ENDING BOOK VALUE	COST OR FMV
CORPORATE US BONDS	2,597,785.	FMV
STOCKS/MUTUAL FUNDS	5,848,474.	FMV
BONDS & MUTUAL FUNDS	391,817.	FMV
TOTALS	8,838,076.	

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SCHEDULE R	Related Organ	nizations an	anizations and Unrelated Partnerships	d Partnersh	lips		OMB No. 1545-0047
(FOrm 930) Department of the Treasury Internal Revenue Service	<ul> <li>Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.</li> <li>Attach to Form 990.</li> <li>Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.</li> </ul>	on answered "Yes" <sup>-</sup> orm 990. dule R (Form 990) a	on Form 990, Part IV, line 33, 34     See separate instructions.     Ind its instructions is at <i>www.irs.g</i> .	IV, line 33, 34, 35b, instructions. at www.irs.gov/for	36, or 37. m990.		凶し <b>し</b> Open to Public Inspection
Name of the organization THE ELECTROCHEMICAL	CAL SOCIETY, INC.					Employer identificati 13-1771269	Employer identification number 13-1771269
Part I Identificatio	Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990,	rganization ansv	vered "Yes" on F	orm 990, Part IV	Part IV, line 33.		
Name	(a) Name, address, and EIN (if applicable) of disregarded entity		(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) ECS HOLDINGS, SOUTH MAIN STREET	LLCPENNINGTON, NJ 0	8534 REAL	ESTATE	ΝJ	88,638.	4,593,392.	ECS
(2)							
(4)							
(5)							
(6)							
Part    Identificatio	Identification of Related Tax-Exempt Organizations Complete i one or more related tax-exempt organizations during the tax year.	omplete if the or tax year.	ganization answe	ered "Yes" on Fo	Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had he tax year.	line 34 because	it had
Name, ac	(a) (a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
(1)							Yes No
(3)							
(5)							
(6)							
(7)							
For Paperwork Reduction /	For Paperwork Reduction Act Notice, see the Instructions for Form 990.					Schedule	Schedule R (Form 990) 2013
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THE ELECTROCHEMICAL SOCIETY, INC.

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Image: state of total income     Image: state of total income     Image: state of total income       Image: state of total income     Image: state of total income     Image: state of total income
(f)         (g)         (h)           wered "Yes" on Form 990, Part IV,         Part IV,           mered "Yes" on Form 990, Part IV,         Part IV,           ncome         end-of-year assets         parent           ncome         end-of-year assets         parent
(f)     (f)     (f)     (f)       wered "Yes" on Form 990, Part IV,     (f)     (f)       wered "Yes" on Form 990, Part IV,     (f)     (f)       share of total     (g)     (h)       income     end-of-year assets     (h)       income     end-of-year assets     (h)
Image: second
wered "Yes" on Form 990, Part IV, share of total share of total income end-of-year assets assets ownership ownership
(c)       (d)       (d)       (f)       (g)       (h)         Legal domicile (state or foreigin country)       Direct controlling entity       Type of entity (corp. S corp. or trush)       Share of total       Share of end-of-year assets       Percen- lage         Image: State of total       Type of entity       Type of entity       Share of income       End-of-year assets       Percen- lage         Image: State of total       Type of entity       Image: Share of income       Image: Share of end-of-year assets       Percen- lage         Image: State of total       Type of end-of-year assets       Image: Share of end-of-year assets       Percen- lage         Image: State of total       Type of end-of-year assets       Image: Share of end-of-year assets       Percen- lage         Image: State of total       Type of end-of-year assets       Image: Share of end-of-year assets       Percen- lage         Image: State of total       Type of end-of-year assets       Image: Share of end-of-year assets       Percen- lage         Image: State of total       Type of end-of-year assets       Image: Share of end-of-year assets       Percen- lage         Image: State of total       Type of end-of-year assets       Image: Share of end-of-year assets       Percen- end-of-year assets         Image: State of total       Type of end-of-year assets       Percen- end-of-year assets <t< td=""></t<>
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THE ELECTROCHEMICAL SOCIETY, INC.

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THE ELECTROCHEMICAL SOCIETY, INC.

13-1771269

Schedule R (Form 990) 2013

	Yes No		×	×	×	×	×		×	: >	: >	<  : 	×	×		×	×	×	×	×	4	:	× :	$\times$		×	×			hining ad	3								90) 2013
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			•	•	•		• • •	• • •		•	•	•	•	•		•	• • •	•	• • •	•	•		•	-		•		saction th		Met		 	 		 				Schedu
35b, or 36.		~				· ·							•				• • •			•	•							relationships and transaction thresholds		volved									
ine 34, 3		arts II-IV								•	•	•					• • •		•	•					•			lationship	(c)	Amount involved									
Part IV, line 34,		listed in I	•				•	•			•								•	•	•			•	•			covered re					 	 	 				
rm 990, I		ganizations	•	-			- - - -	•		•	•	•	•								•		•	•				icluding co	(q)	Transaction	type (a-s)								
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ered "Ye		or more r	•				•			•	•									•	· · ·						- · ·	omplete tl											
Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990,		During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?	•	•		•	•	•		•	•	•			•		ization(s)	action(c)		(s)	•		•		•			for information on who must complete											
organizat		nsactions	d entity	•	•	•	•	•		•	•	•	•		• • •		ted order	Petroritative of services of membership of fundations solicitations by related organization(s)	Performance of services of membership of junitialship solicitations by related organization (s)	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	•				•		•	tion on w											
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Complet		of the fol		(s)	ion(s)		•						•	organizat	5	hed organi	no o gan		solicitatic	ssets with			ses	nses	•	(s)	tion(s)			ization									
zations (	ill, III, or	ge in any	: or (iv) re	ganizatior	organizat	anization(s	-)	(>)						to related		from relat				or other a	zation(s).		for exper	) for expe		roanizatio		the instr	(a)	Name of related organization									
l Organi	d in Parts	tion enga	royalties	elated or	n related	lated ord		u gar izau		)	s)	nization(s)	nization(s	er assets		er accetc	ci dasocia	ui iu diile		ng IIsts, c	ed organi		nization(s)	nization(s		related o	im related	Yes "set	· • • •	Name of r									
ı Relatec	ty is liste	organizat	nuities (iii)	ution to r	Intion from	or for re		y related	;	inization(s	anization(	ated orga	ated orga	nt. or oth		nt or oth	mombor		memper	ent, maill	with relat		ited orgai	ated orga		onerty to	operty fro	hove is "	2 2 2 2 2 2										
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ansactio	te line 1 i	e tax yeai	f (i) intere	t. or capit	t or canit			ioan guai		trom rel	ssets to re	of asset:	e of asset	facilities.		facilitiae	iaciiitico,			f tacilities	f paid en		ement pa	ement ná		nefar of c	acter of o	Wer to an											
	Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this	During the	Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from	Gift. grant. or capital contribution to related organization(s)	Gift grant or canital contribution from related organization(s)	Loans or loan guarantees to or for related organization(s)		Loans or loan guarantees by related organization(s).		Dividends from related organization(s).	Sale of assets to related organization(s)	Purchase of assets from related organization(s) .	Exchange of assets with related organization(s)	Lease of facilities, equipment, or other assets to related organization(s)		l asse of facilities equipment or other assets from related organization(s)	Derformo	Lei loi IIIa	Репогта 2.	Sharing o	Sharing of paid employees with related organization(s)		Reimbursement paid to related organization(s) for expenses .	Reimbursement paid hy related organization(s) for expenses		Other transfer of cash or property to related organization(s)	Other transfer of cash or property from related organization(s)	If the answer to any of the above is "Yes." see the instructions											1.000
Part V	Note	~	a	٩	ر			e		<b>~</b>	0	<u>ـ</u>			-				_	E	0		d									(F)	(2)	(3)	(4)	(5)	(0)	(9)	JSA 3E1309 1.000

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Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

• •			,							
(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)		(e) Are all partners section 501(c)(3) organizations?	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	()) General or managing partner?	(k) Percentage ownership
			section 512-514)	Yes No			Yes No		Yes No	
(1)										
(2)										
(3)										
(4)										
(5)										
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(1)										
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Schedule R (Form 990) 2013	Page 5
Part VII Supplemental Information Complete this part to provide additional information for responses to questions on Schedule R (see instructions).	*****
FORM 990 - SCHEDULE R - PART I - COLUMN D	
TOTAL INCOME OF ECS HOLDINGS, LLC INCLUDES: GROSS RENTAL INCOME OF	
\$468,764 LESS: RENTAL EXPENSES (480,489) (\$11,725)	

## **RENT AND ROYALTY INCOME**

Identifying Number

Taxpayer's	Name		
THE	ELECTROCHEMICAL	SOCIETY,	INC.
DESCRIPT	ON OF PROPERTY		

	1011 0		has I V I I	
ECS	НО	TIDTN	IGS.	LLC

ECS HOHDINGS, HI									
	ctively participate in th	e operation	of the ac	tivity c	luring the tax year?				
TYPE OF PROPERTY:		····							
REAL RENTAL INCO			· ·			· · · ·			
OTHER INCOME:									
RENTAL INCOME						46	58 <b>,</b> 76	4.	
TOTAL GROSS INCOME		• • • • • •	• • • •	<u></u>	<u>.</u>	••••••••••••••••••••••••••••••••••••••		••	468,764.
OTHER EXPENSES: SEE ATTACHMENT									
SEE ATTACHMENT									
DEPRECIATION (SHOWN BELOW)					1				
LESS: Beneficiary's Portion								<u>1.1918.0</u>	
AMORTIZATION			••••	•••	••				
LESS: Beneficiary's Portion								Shiri	
DEPLETION									
LESS: Beneficiary's Portion									
TOTAL EXPENSES						I			480,489.
TOTAL RENT OR ROYALTY INCOM									-11,725.
Less Amount to		iiiiiiiii			·····	<u></u>	<u> </u>	•••	
Rent or Royalty									
Depreciation									
Depletion									
Investment Interest Expense									
Other Expenses									
Net Income (Loss) to Others									
Net Rent or Royalty Income (Loss)									-11,725.
<b>Deductible Rental Loss (if Applicabl</b>	e)								
SCHEDULE FOR DEPRECIAT	ION CLAIMED	-							
(a) Description of property	(b) Cost or	(c) Date	(d) ACRS	(e) Bus.	(f) Basis for	(g) Depreciation in	(h)	(i) Life or	(j) Depreciation
(	unadjusted basis	acquired	des.	%	depreciation	prior years	Method	rate	for this year
			ļ						
			ļ						
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			<b> </b>				<b>_</b>		
			I	L		1		L	
<u>Totals</u>	J	<u> </u>	<u></u>		<u></u>	<u></u>			

## SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

## OTHER INCOME

RENTAL INCOME	468,764.
OTHER DEDUCTIONS	
CLEANING	15,134.
COMMISSIONS	1,326.
INSURANCE	15,331.
LEGAL AND OTHER PROFESSIONAL FEES	5,728.
MANAGEMENT FEES	76,841.
REPAIRS	137,294.
TAXES	74,190.
UTILITIES	59,410.
TELEPHONE	4,335.
POSTAGE	629.
BANK CHARGE	5,022.
SECURITY SERVICE	144.
DEPRECIATION	85,105.
	480,489.

## RENT AND ROYALTY SUMMARY

PROPERTY	TOTAL INCOME	DEPLETION/ DEPRECIATION	OTHER EXPENSES	ALLOWABLE NET INCOME
ECS HOLDINGS, LLC	468,764.		480,489.	-11,725.
TOTALS	468,764.		480,489.	-11,725.

WithumSmith+Brown, PC Certified Public Accountants and Consultants New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

## INSTRUCTIONS FOR FILING THE ELECTROCHEMICAL SOCIETY, INC. FORM 990T - EXEMPT ORGANIZATION BUSINESS RETURN FOR THE PERIOD ENDED DECEMBER 31, 2013

SIGNATURE...

THE ORIGINAL RETURN SHOULD BE SIGNED (USING FULL NAME AND TITLE) AND DATED ON PAGE 2 BY AN AUTHORIZED OFFICER OF THE ORGANIZATION.

FILING...

THE SIGNED RETURN SHOULD BE FILED ON OR BEFORE NOVEMBER 17, 2014 WITH...

DEPARTMENT OF THE TREASURY INTERNAL REVENUE SERVICE CENTER OGDEN, UT 84201-0027

PAYMENT OF TAX...

NO PAYMENT OF TAX IS REQUIRED.

5 Vaughn Drive | Princeton, NJ 08540-6313 USA • 609 520 1188 . fax 609 520 9882 • www.withum.com

A member of HLB International. A world-wide network of independent professional accounting firms and business advisors.

Form	990-T	Ex	empt Organiz			siness Inc der sectior			ırn	ON	IB No. 1545-0687
		For cale	ndar year 2013 or other ta					. ,,	, 20		
Departm	nent of the Treasury	▶ in	formation about Form	► See	separa	ate instructions.	lable at ur	ww.im.gov/for			2013
Internal F	Revenue Service	Do	not enter SSN numbers of	on this form	as it ma	ay be made public	if your org	anization is a 50	119901. )1(c)(3).	Open 501(c)	to Public Inspection for (3) Organizations Only
A	Check box if address changed		Name of organization (	Check be	ox if nar	ne changed and se	e instructior	is.)		ıployer iden	tification number t, see instructions.)
	npt under section	-	THE ELECTROCH	HEMICAL	. SOC	CIETY, INC	•				
X 5	501(C)(3)	Print	Number, street, and room	or suite no. I	faP.O.	box, see instructio	ns.		13-	177126	59
4	108(e) 220(e)	or Type									iness activity codes
4	08A 530(a)		65 S MAIN ST						(Se	e instructions.	)
5	529(a)	-	City or town, state or pro-		•	¢ 1	code				
	value of all assets		PENNINGTON, N	NJ 0853	4-28	339			511	.120	
	•		up exemption number (S								
			ck organization type 🕨					c) trust	401(	a) trust	Other trust
			rimary unrelated busines								
			corporation a subsidiary				subsidiary	controlled group	»? <b></b> .	►	Yes X No
			identifying number of th	e parent co	rporatio	on. 🕨					
-			PAUL GROTE				Telephor	ne number 🕨	609-7	37-190	)2
Part	Unrelated	Trade of	or Business Incom	e		(A) Inco	me	(B) Exp	enses		(C) Net
1a (	Gross receipts or	sales									
	Less returns and allowa	*********		<b>c</b> Balance 🕨	1c						
			ule A, line 7)		2						
3 (	Gross profit. Sub	tract line	2 from line 1c		3						
4a (	Capital gain net i	ncome (a	ttach Form 8949 and So	chedule D)	4a						
			Part II, line 17) (attach Forr	· • •	4b						
с (	Capital loss dedu	iction for t	rusts		4c						
			os and S corporations (attac		5						
					6						
7 l	Unrelated debt-fi	nanced in	come (Schedule E)		7						
8	Interest, annuities, roya	lties, and rer	nts from controlled organization	s (Schedule F)	8						
9 1	Investment income of	a section 50	1(c)(7), (9), or (17) organization	n (Schedule G)	9						
		-	ncome (Schedule I)		10						
			ule J)		11	117	1,428.	]	.41,58	6.	-24,158
12 (			tions; attach schedule.).		12						
						I 11.	7,428.		.41,58	6.	-24,158
<u>13</u> ]	Total. Combine li	nes 3 thr	ough 12							1	
<u>13</u> ]	Total. Combine li II Deductio	nes 3 thr ns Not	ough 12 Taken Elsewhere(	See inst	ructic	ons for limitati		,	(Excep	1	
13 Part	Total. Combine li Deductio deductior	nes 3 thr <b>ns Not</b> ns must	bugh 12	See instited with t	ructio he ur	ons for limitati nrelated busir	ess inco	ome.)	<u> </u>	ot for con	
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13 Part 14 15 16 17 18 19 20 (	Total. Combine li Deduction deduction Compensation of Salaries and wage Repairs and main Bad debts Interest (attach s Taxes and license Charitable contril	nes 3 thr. ns Not officers, es ntenance  chedule) es butions (S	bugh 12 Taken Elsewhere ( be directly connec directors, and trustees (S 	See instructed with t Schedule K)	ructio he ur    	ns for limitations for the second	ness inco	ome.)		14 15 16 17	
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13       Part         14       0         15       5         16       F         17       E         18       I         19       0         20       0         21       E         22       L         23       E         24       0         25       E         26       E         27       E         28       0         29       1         30       L	Total. Combine li Deduction deduction Compensation of Salaries and wage Repairs and main Bad debts Interest (attach si Taxes and license Charitable contril Depreciation (atta Less depreciation Depletion Contributions to of Employee benefit Excess readership Other deductions Total deductions	nes 3 thr. ns Not ns must officers, es itenance  butions (S ach Form n claimed  deferred of programs openses (S o costs (S a (attach s 	bugh 12         Taken Elsewhere (         be directly connect         directors, and trustees (\$         on Schedule A and else         compensation plans         s         directors, and trustees (\$         chedule J)         chedule)         s         s       14 through 28         le income before net	See instituted with t Echedule K   ation rules.)  ewhere on re   	ructio he ur   	bins for limitation related busin	Ness inco	ome.)		14       15       16       17       18       19       20       21       22       23       24       25       26       27       28       29       30	ntributions,
13       Part         14       0         15       5         16       F         17       E         18       I         19       1         20       0         21       E         22       L         23       E         24       0         25       E         26       E         27       E         28       0         29       1         30       1	Total. Combine li Deduction deduction Compensation of Salaries and wage Repairs and main Bad debts Interest (attach si Taxes and license Charitable contril Depreciation (atta Less depreciation Depletion Contributions to of Employee benefit Excess readership Other deductions Total deductions Net operating los	nes 3 thr. ns Not ns must officers, es itenance  butions (S ach Form n claimed  deferred of programs openses (S a (attach s  Add line ess taxab s deducti	bugh 12         Taken Elsewhere (         be directly connect         directors, and trustees (\$         on Schedule A and else         directors, and trustees (\$         directors, and trustees (\$         chedule I)         directors, and through 28         le income before net         on (limited to the amout)	See instituted with t Schedule K)	ructio he ur  	bins for limitation related busin	1000000000000000000000000000000000000	ome.)	1        1        1        1        1        2        3        3	14       15       16       17       18       19       20       21       22       23       24       25       26       27       28       29       31	-24,158
13       Part         14       0         15       5         16       F         17       E         18       I         19       I         20       0         21       E         22       L         23       E         24       0         25       E         26       E         27       E         28       0         29       I         30       L         31       N         32       L	Total. Combine li         Deduction         deduction         Compensation of         Salaries and wage         Repairs and mair         Bad debts         Interest (attach signates and license)         Charitable contril         Depreciation (attach signates and license)         Charitable contril         Depreciation (attach signates and license)         Contributions to a contributions to a contribution store         Excess exempt exployee benefits         Excess readership         Other deductions         Total deductions         Unrelated busine         Net operating los	nes 3 thr. ns Not is must officers, es itenance  butions (S ach Form in claimed  programs openses (S costs (S costs (S costs (S costs ach cost costs) cost costs cost	bugh 12         Taken Elsewhere (         be directly connect         directors, and trustees (S         compensation plans         s         directors, and else         compensation plans         directors, and else	See instr ted with t Schedule K)	ructio he ur   	bins for limitation related busin	1ess inco	ome.)		at for con       14       15       16       17       18       19       20       21       22       23       24       25       26       27       28       29       30       31       32	-24,158 -24,158
13       Part         14       0         15       5         16       F         17       E         18       I         19       T         20       0         21       E         22       L         23       E         24       0         25       E         26       E         27       E         28       0         30       U         31       N         32       U         33       S	Total. Combine li         Deduction         deduction         Compensation of         Salaries and wage         Repairs and main         Bad debts         Interest (attach si         Taxes and license         Charitable contril         Depreciation (attach si         Less depreciation         Contributions to of         Excess exempt excess readership         Other deductions         Total deductions         Unrelated busine         Net operating los         Unrelated busine	nes 3 thr. ns Not is must officers, es intenance  butions (S ach Form n claimed  deferred of programs openses (S o costs (S actach s  Add line ss taxable n (Gener	bugh 12         Taken Elsewhere (         be directly connect         directors, and trustees (\$         directors, and trustees (\$     <	See instituted with t Schedule K)	ructio he ur   	bins for limitation related busin	ness inco	ome.)	$     \begin{array}{ccccccccccccccccccccccccccccccccc$	14       15       16       17       18       19       20       21       22       23       24       25       26       27       28       29       31	-24,158 -24,158
13       Part         14       0         15       5         16       F         17       E         18       I         19       T         20       0         21       E         22       L         23       E         24       0         25       E         26       E         27       E         28       0         30       L         31       M         32       L         33       S         34       L	Total. Combine li         Deduction         deduction         Compensation of         Salaries and wage         Repairs and main         Bad debts         Interest (attach sont account	nes 3 thr. ns Not is must officers, es intenance  chedule) es butions (S ach Form n claimed  deferred of programs openses (S costs (S	bugh 12         Taken Elsewhere (         be directly connec         directors, and trustees (\$         on Schedule A and else         compensation plans         s         directors, and trustees (\$         chedule J)         chedule J)         chedule J         chedule J         s 14 through 28         le income before specifially \$1,000, but see line         ble income. Subtract	See instituted with t Schedule K)	ructic he ur  	bins for limitation related busin	Ness inco	pme.)	$     \begin{array}{ccccccccccccccccccccccccccccccccc$	at for con       14       15       16       17       18       19       20       21       22       23       24       25       26       27       28       29       30       31	-24,158 -24,158 1,000
13       Part         14       0         15       5         16       F         17       E         18       I         19       I         20       0         21       E         22       L         23       E         24       0         25       E         26       E         27       E         28       0         30       L         31       N         32       L         33       S         34       L	Total. Combine li         Deduction         deduction         Compensation of         Salaries and wage         Repairs and main         Bad debts         Bad debts         Interest (attach so         Taxes and license         Charitable contril         Depreciation (attach so         Less depreciation         Depletion         Contributions to of         Excess readership         Other deductions         Total deductions         Unrelated busine         Specific deductio         Unrelated busine         Specific deductio         Unrelated busine         Specific deductio	nes 3 thr. ns Not is must officers, es intenance  chedule) es butions (S ach Form n claimed  deferred of programs genses (S costs (C costs (S costs (C costs (C	bugh 12         Taken Elsewhere (         be directly connect         directors, and trustees (\$         directors, and trustees (\$     <	See instituted with t Schedule K)	ructic he ur  	bins for limitation related busin	Ness inco	pme.)	$     \begin{array}{ccccccccccccccccccccccccccccccccc$	14       15       16       17       18       19       20       21       22       23       24       25       28       29       30       31       32       33	

(Rev. January 2014)

## Application for Extension of Time To File an **Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury Internal Revenue Service

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part | Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

► X All other corporations (including 1120-C filers), partnerships, REMCs, and trusts must use Form 7004 to request an extension of time to file income tax returns Enter filer's identifying number, see instruction

10 110 110011		cater mer sidentaying number, see msudcuons
Type or	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
print	THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269
File by the due date for	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
filing your	65 S MAIN ST	
return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
mstructions.	PENNINGTON, NJ 08534-2839	

0 7 Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . .

Application	Return	Application	Return
Is For	Code	ls For	Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

The books are in the care of ▶ PAUL GROTE

Telephone No. 🕨	609 737-1902	FAX No. 🕨	609 737-2743	

٠	If the organization does not have an office or place of business in the United States, check this box			
٠	If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)	lf 1	this	s is

<ul> <li>It this is for a Group Return, enter the organiza</li> </ul>	ation's four digit Group Exemption Number (GEN)	. If this is
for the whole group, check this box	▶ If it is for part of the group, check this box	And attach

a list with the names and EINs of all members the extension is for.

_			
1	I request an automatic 3-month (6 month	ns for a corporation required to file Form 990-T) exte	ension of time
	until11/17_, 20_14_,	to file the exempt organization return for the organi	zation named above. The extension is
	for the organization's return for:		
	► X calendar year 20 <u>13</u> or		
	tax year beginning	. 20 . and ending	20

▶	tax year begin	, 20	,	and ending	4	, 20	
		 	· '	•			 

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

	Change in accounting period		
3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any		
	nonrefundable credits. See instructions.	3a	\$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and		
	estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 0

		100	Ψ	
С	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS		T	 
	(Electronic Federal Tax Payment System). See instructions.	3c	\$	C

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form	990-T	(2013)
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Par		Tax Computation					
35	Organ	zations Taxable as Corporations. See instructions for tax computation. Controlled gr	oup				
	membe	s (sections 1561 and 1563) check here 🕨 🔄 See instructions and:					
а	Enter y	our share of the \$50,000, \$25,000, and \$9,925,000 taxable income brackets (in that order):					
	(1) \$	(2) \$ (3) \$					
b	Enter o	ganization's share of: (1) Additional 5% tax (not more than \$11,750)					
	(2) Add	ional 3% tax (not more than \$100,000)					
с	Income	tax on the amount on line 34		35c			
36	Trusts	Taxable at Trust Rates. See instructions for tax computation. Income tax	on				
	the am	unt on line 34 from: Tax rate schedule or Schedule D (Form 1041),		36			
37	Proxy t	x. See instructions		37			
38	Alterna	ve minimum tax		38			
39	Total. A	dd lines 37 and 38 to line 35c or 36, whichever applies	[	39			
		Tax and Payments					
40 a	Foreigr	tax credit (corporations attach Form 1118; trusts attach Form 1116) 40a					
b	Other c	edits (see instructions)					
с	Genera	business credit. Attach Form 3800 (see instructions)	-				
		or prior year minimum tax (attach Form 8801 or 8827)					
		edits. Add lines 40a through 40d		40e			
41		line 40e from line 39		41			
42	Other ta	es. Check if from: Form 4255 Form 8611 Form 8697 Form 8866 Other (attach sched	ule)	42			******
43		x. Add lines 41 and 42		43			0
44 a		ts: A 2012 overpayment credited to 2013					
		timated tax payments					
		osited with Form 8868					
		organizations: Tax paid or withheld at source (see instructions)					
	-	withholding (see instructions)					
f		or small employer health insurance premiums (Attach Form 8941) 44f					
g		edits and payments:					
	F	orm 4136 Other Total ► 44g					
45		y <b>ments</b> . Add lines 44a through 44g	]	45			
46		ed tax penalty (see instructions). Check if Form 2220 is attached		46			
47		. If line 45 is less than the total of lines 43 and 46, enter amount owed	F	47			
48		ment. If line 45 is larger than the total of lines 43 and 46, enter amount overpaid		48			
49	Enter th	amount of line 48 you want: Credited to 2014 estimated tax 🕨 Refunde		49			
Par	t V	Statements Regarding Certain Activities and Other Information (see instru	uctions	3)			
1	At any	me during the 2013 calendar year, did the organization have an interest in or a signature or other au	thority	over a	a financial	Yes	No
	accoun	(bank, securities, or other) in a foreign country? If YES, the organization may have to file Form TD F 90-	22.1, F	₹eport	of Foreign		
		d Financial Accounts. If YES, enter the name of the foreign country here  JAPAN				X	
2	During	he tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a	a foreiç	gn trus	t?		X
	If YES,	ee instructions for other forms the organization may have to file.					
3	Enter tl	e amount of tax-exempt interest received or accrued during the tax year 🕨 \$					
Sch	edule	A - Cost of Goods Sold. Enter method of inventory valuation >					
1		y at beginning of year 1 6 Inventory at end of year		6			<u></u>
2	Purchas	es	line				
3	Cost of	abor 3 6 from line 5. Enter here and	in				
4 a	Additio	al section 263A costs Part I, line 2	l	7		······	
	(attach	schedule) 4a 8 Do the rules of section 263A	۱ (wit	th re	spect to	Yes	No
		osts (attach schedule) . 4b property produced or acquired			//	1.1	
		dd lines 1 through 4b   5   to the organization?	<u></u>	<u></u>	<u></u>		X
~.	correc	penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the , and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.	best of	i my kn	owledge and	belief, it	is true,
Sigr			Ma	y the	IRS discuss	this r	return
Her			with	h the	preparer s	how <u>n</u> t	pelow
<del></del>	Sign	ture of officer Date Title	(see	e instruct	tions)? X Y	es	No
Paid		Print/Type preparer's name Preparer's signature Date	Check	i l			
Prep			self-er	mployed		1656	
Lise Only Firms name WITHOF SHITH + BROWN FC			Firm's	EIN 🕨			
	_	Firm's address 5 VAUGHN DR	Phone	no.	609-52		
		PRINCETON, NJ 08540-6313			Form 9	90-T	(2013)

Part I, line 6, column (B)

►

Form	990-T	(2013)
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## Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property) (see instructions)

## 1. Description of property

(1)	
(2)	
(3)	
(4)	

2. Rent recei		
(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
(1)		
(2)		
(3)		
(4)		
Total	Total	
(c) Total income. Add totals of columns 2(a) and 2	(b) Total deductions. Enter here and on page 1	

here and on page 1, Part I, line 6, column (A) . . . . .

Schedule E - Unrelated Debt-Financed Income (see instructions)

	1. Description of de	ht-financed property	2. Gross income from or allocable to debt-financed	3. Deductions directly connected with or allocable to debt-financed property			
		brinanced property	property	(a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)		
(1)	······································						
(2)							
(3)							
(4)							
	<ol> <li>Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)</li> </ol>	<ol> <li>Average adjusted basis of or allocable to debt-financed property (attach schedule)</li> </ol>	6. Column 4 divided by column 5	7. Gross income reportable (column 2 x column 6)	8. Allocable deductions (column 6 x total of columns 3(a) and 3(b))		
(1)			%				
(2)			%				
(3)			%				
(4)			%				
				Enter here and on page 1, Part I, line 7, column (A).	Enter here and on page 1, Part I, line 7, column (B).		
Tot	als		•				

Total dividends-received deductions included in column 8 .

Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

			Exempt Controlled Organizations					
	1. Name of controlled organization	2. Employer identification number	3. Net unrelated income (loss) (see instructions)	4. Total of specified payments made	5. Part of column 4 that is included in the controlling organization's gross income	6. Deductions directly connected with income in column 5		
(1)								
(2)								
(3)								
(4)								

Nonexempt Controlled Organizations

7. Taxable Income	8. Net unrelated income (loss) (see instructions)	9. Total of specified payments made	<b>10.</b> Part of column 9 that is included in the controlling organization's gross income	11. Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				
			Add columns 5 and 10. Enter here and on page 1, Part I, line 8, column (A).	Add columns 6 and 11. Enter here and on page 1, Part I, line 8, column (B).
Totals				

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THE ELECTROCHEMICAL SOCIETY, INC. 13-1771269 Page 4

Schedule G - Investment Ir				(9). or (17) Orga		instruc		771269 Page <b>4</b>
1. Description of income	2. Amount of			3. Deductions directly connected (attach schedule)	4	I. Set-asic tach sche	ies	5. Total deductions and set-asides (col. 3 plus col. 4)
(1)								
(2)								
(3)								
(4)								
	Enter here and Part I, line 9, c						-	Enter here and on page 1 Part I, line 9, column (B).
Totals						-		
Schedule I - Exploited Exe	empt Activity In	come, Othe	er Th	an Advertising In	come (see in	structio	ns)	
1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expense directly connected y production unrelated business inc	with of	4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.	5. Gross incom from activity th is not unrelate business incom	at di i	<ol> <li>Expenses attributable to column 5</li> </ol>	<ol> <li>Excess exempt expenses (column 6 minus column 5, but not more than column 4).</li> </ol>
(1)								
(2)								
(2) (3)								
(4)								·····
	Enter here and on page 1, Part I, line 10, col. (A).	Enter here an page 1, Par line 10, col.	rtI,		I	<u>I</u>		Enter here and on page 1, Part II, line 26.
Totals► Schedule J - Advertising Ir	L (soo instr	uctions)				-		
				dete d Deete				
Part I Income From Per	Iouicais Report	ed on a Co	nsol	Idated Basis	l			
1. Name of periodical	2. Gross advertising income	3. Direct advertising c		<ol> <li>Advertising gain or (loss) (col.</li> <li>minus col. 3). If a gain, compute cols. 5 through 7.</li> </ol>	5. Circulation income		<b>6.</b> Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1) ATCH 1								
				1				
(2) (3)				1				
(4)				1				
Totals (carry to Part II, line (5))	117,428.	141,5	586.	-24,158.				
Part II Income From Pe 2 through 7 on a I	riodicals Repo	ted on a S			each periodic	al liste	ed in Part	II, fill in columns
1. Name of periodical	2. Gross advertising income	3. Direct advertising c		<ol> <li>Advertising gain or (loss) (col.</li> <li>2 minus col. 3). If a gain, compute cols. 5 through 7.</li> </ol>	5. Circulation income		6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)				1	l			
(2)			····					
(3)								
(4)			· · ·					
Totals from Part I	117,428.	141,5	586.		<u>د.</u>			
	Enter here and on page 1, Part I, line 11, col. (A).	Enter here an page 1, Pa líne 11, col.	nd on rt I (B).					Enter here and on page 1, Part II, line 27.
Totals, Part II (lines 1-5)	117,428.	141,5						
Schedule K - Compensatio	n of Officers, D	irectors, a	nd Tr	rustees (see instru				
1. Name				2. Title	3. Perce time devo busine	ted to		ensation attributable to elated business
(1) ATCH 2						%	b	
(2)						%	5	
(3)						%	, >	
(4)			· · · · · · · · · · · · · · · · · · ·			%	5	
Total. Enter here and on page 1, P	art II, line 14	<u></u>	<u></u>	<u></u> .	<u>.</u> .	🕨		
JSA								Form <b>990-T</b> (2013)

THE ELECTROCHEMICAL SOCIETY, INC.

## 13-1771269

# SCHED J - PART I, ADVERTISING INCOME REPORTED ON A CONSOLIDATED BASIS

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	7.	EXCESS	READERSHIP	COSTS		
		.9	READERSHIP	COSTS		
		5.	CIRCULATION	INCOME		
ATTACHMENT 1		4.	ADVERTISING	GAIN OR LOSS		-24,158.
	.е	DIRECT	ADVERTISING	COSTS	141,586.	141,586.
	2.	GROSS	ADVERTISING	INCOME	117,428.	117,428.
				[ODICAL		م
			1.	NAME OF PERIODICAL	INTERFACE	COLUMN TOTALS

10633X F678

ATTACHMENT 2

## SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
ROQUE J CALVO 65 S MAIN ST PENNINGTON, NJ 08534-2839	EXEC DIRECTOR (1991-PRESENT)	0	0
MARY YESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	DEPUTY EXEC DIR	0	0
PAUL GROTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	DIRECTOR OF FINANCE	0	0
ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER (PAST PRESIDENT)	0	0
TETSUYA OSAKA 65 S MAIN ST PENNINGTON, NJ 08534-2839	PRESIDENT	0	0
LLOYD GEORGE 65 S MAIN ST PENNINGTON, NJ 08534-2839	NONPROFIT FIN. PROF. THRU 2013	0	0
FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT	0	0
JEAN ST-PIERRE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER THRU 2013	0	0
CHRISTINA BOCK 65 S MAIN STREET PENINGTON, NJ 08534	TREASURER	0	0
SHELLEY MINTEER 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 2013	0	0
10633X F678	V 13-4.9F 011189		

ATTACHMENT 2 (CONT'D)

## SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
PABLO CHANG 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 2013	0	0
JEFFREY FERGUS 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 2013	0	0
HARIKLIA DELIGIANNI 65 S MAIN STREET PENNINGTON, NJ 08534	SECRETARY	0	0
PAUL KOHL 65 SOUTH MAIN STREET PENNINGTON, NJ 08534	SR VICE PRESIDENT	0	0
DANIEL SCHERSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	2ND VICE PRESIDENT	0	0
GERARDINE BOTTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
JAMES BURGESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
MICHAEL CARTER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
JOHN COLLINS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER THRU 2013	0	0
SHINJI FUJIMOTO 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
10633X F678	V 13-4.9F 01118	9	

ATTACHMENT 2 (CONT'D)

## SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
OANA LEONTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
BOR YANN LIAW 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
R. WEISMAN 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
GIOVANNI ZANGARI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
KRISHNAN RAJESHWAR 65 S MAIN ST PENNINGTON, NJ 08534-2839	3RD VICE PRESIDENT	0	0
ANDREW HOFF 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ROBERT MANTZ 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ANANT SETLUR 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ERIC WACHSMAN 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
ADAM WEBER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
10633X F678	V 13-4.9F	011189	

ATTACHMENT 2 (CONT'D)

## SCHD. K, FORM 990-T, COMPENSATION OF OFFICERS, DIRECTORS, & TRUSTEES

NAME AND ADDRESS	TITLE	BUSINESS PERCENT	COMPENSATION
XIAO-DONG ZHOU 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER	0	0
STUART SWIRSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	NONPROFIT FINANCIAL PROF.	0	0
FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT	0	0
ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT THRU 2013	0	0

TOTAL COMPENSATION

0

WithumSmith+Brown, PC Certified Public Accountants and Consultants New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado

## INSTRUCTIONS FOR FILING THE ELECTROCHEMICAL SOCIETY, INC. NJ CRI-300R NEW JERSEY CRI-300R - LONG FORM RENEWAL STATEMENT FOR THE PERIOD ENDED DECEMBER 31, 2013

\*\*\*\*\*

SIGNATURE...

THE ORIGINAL RETURN SHOULD BE DATED AND SIGNED BY TWO AUTHORIZED OFFICERS OF THE ORGANIZATION, INCLUDING THE CHIEF FISCAL OFFICER.

FILING...

THE SIGNED RETURN SHOULD BE FILED ON OR BEFORE AUGUST 15, 2014 WITH...

NJ DIV. OF CONSUMER AFFAIRS OFFICE OF CONSUMER PROTECTION CHARITIES REGISTRATION SECTION P.O. BOX 45021 NEWARK, NEW JERSEY 07101

5 Vaughn Drive | Princeton, NJ 08540-6313 USA • 609 520 1188 . fax 609 520 9882 • www.withum.com

A member of HLB International. A world-wide network of independent professional accounting firms and business advisors.

## New Jersey Office of the Attorney General

Division of Consumer Affairs Office of Consumer Protection Charities Registration Section 124 Halsey Street, 7<sup>th</sup> Floor, P.O. Box 45021 Newark, NJ 07101 (973) 504-6215

## Form CRI-300R

## Long-Form Renewal Registration/Verification Statement

(Revised April 2008)

All questions must be answered.

Pursuant to the New Jersey Charitable Registration and Investigation Act (also known as "the C.R.I. Act" (N.J.S.A. 45:17A-18 et seq.), and prior to operating or commencing solicitation activity in the State, a charitable organization unless exempted from registration requirements (or qualified to file a Short-Form Registration Statement, CRI-200) shall file a Long-Form Initial Registration Statement, CRI-150-I. Charities submitting their annual long-form renewal registration must use Form CRI-300R. Please see the checklist at the end of this form for a discussion of fees, financial statements, documents to be attached, and other requirements for registration.

1.	This statement contains the facts and finan	ncial information for the fiscal year ending:	<u>12/31/2</u> month day	2013 year		
2.	Federal ID Number (EIN) <u>13-1771269</u>	2a. N.J. Charities Registration Nu	umber: <b>CH-</b> _2204	200		
3.	Full legal name of the registering organize In care of: (if necessary, otherwise leave the		ETY, INC.			
4.	Mailing Address: 65 S MAIN ST Street Address	PENNINGTON, NJ 0853 City State	34-2839 ZIP Code	Chang	ge of Address	
NOTE: If "in care of," a postal, private or rural delivery mail box number is used, the street address of the charity must be given below.						
5.	The principal street address of the registerion X Same as Mailing Address	ring organizationStreet Address	City	State	ZIP Code	
6.	Does the organization have any offices in	New Jersey in addition to the one listed above	ve?	Ye	es X No	

If "Yes," attach a list giving the street address and telephone number of each office in New Jersey.

7

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6a. If the street address listed above is not where the organization's official records are kept, or if the organization does not maintain an office in New Jersey, indicate the name, full address, phone and fax number of the person having custody of the organization's records, and to whom correspondence should be addressed.

PAUL GROTE	65	S MAIN	ST	PENNINGTON	NJ	08534-2839
Contact person			Street Address	City	State	ZIP Code
609-737-1902			609-737-2743			
Telephone number (include area code)			Fax number (include area code)			
Organization's contact information:						
609-737-1902			609-737-2743			
Telephone number (include area code)			Fax number (include area code)			
ROQUE.CALVO@ELECTROCHEM.OR	G	WWW.E	LECTROCHEM.ORG			
E-mail address	-		Web site			
Type of organization (check one):						
	Foun Trust	dation	Individual Other (Spe	cify) Assoc	iation	Society
	rm (	CRI-300R	Page	e 1 of 7		
10633X F678			V 13-4.9F	011189		

9.	Where and when was the organization legally established?       Date: 04/01/1902       13-1771269         State: NEW YORK
	As required by the C.R.I. Act ( <u>N.J.S.A.</u> 45:17A-24c(1)), attach to this registration a copy of the organization's bylaws and instrument of organization (that is, the organization's charter, articles of incorporation or organization, agreement of association, instrument of trust, or constitution) only if the document has been issued or amended during the fiscal year being reported.
10.	Does the organization solicit funds under any name or names other than as indicated on line 3 of this form? X Yes No If "Yes," indicate all of the other names used: <u>ECS</u> , THE ELECTROCHEMICAL SOCIETY
11.	Does the organization intend to solicit contributions from the general public?
12.	Is the organization authorized by any other state or jurisdiction to solicit contributions? Yes X No If "Yes," please provide a list of those states or jurisdictions, below or on a separate sheet of paper.
13.	Does the organization have affiliates which share the contributions or other revenue it raised in New Jersey? Yes X No If "Yes," provide a separate listing of those affiliates indicating the name, street address and telephone number for each one.
14.	What is the charitable purpose or purposes for which the organization was formed? If necessary, attach a separate statement to this registration.
	ATTACHMENT 1
14a.	What are the specific programs and charitable purposes for which contributions are used? For each program, state whether it already exists or is planned. Only major program categories need be listed. If necessary, attach a separate statement to this registration. <u>STUDENT &amp; EDUCATIONAL ENDOWMENT, AWARD &amp; FELLOWSHIP ENDOWMENTS,</u> <u>DIGITAL LIBRARY</u>
15.	Does the organization use an independent paid fund-raiser or fund-raising counsel? Yes X No If "Yes," please attach to this registration a list of paid fund-raiser(s) or fund-raising counsel(s), including their full address, telephone number, fax number, registration number in New Jersey, and a contact person's name.
15a.	Does the independent paid fund-raiser or fund-raising counsel have custody, control or access to the organization's funds?
	If "Yes," please describe the situation.
16.	Has the organization permitted a charitable sales promotion to be conducted on its behalf by a commercial co-venturer during the fiscal year-end being reported?
17.	Has the Internal Revenue Service (I.R.S.) determined that the organization is tax exempt under code 501(c)(3)?       X       Yes       No         a. If "No," has an application been filed which is still pending? If so, please attach a copy of the I.R.S. 1023 form filed.       Yes       No         b. Has a tax exemption been granted under another I.R.S. code?       Yes       X       No         If "Yes," advise which one:       Yes       X       No

### 13-1771269

- 18. Has the organization ever had its authority to conduct charitable activities denied, suspended, or revoked in any jurisdiction or has the organization ever entered into any voluntary agreement of discontinuance with any governmental entity? Yes X No If "Yes," attach to this registration a copy of the denial, suspension, revocation or voluntary agreement of discontinuance. If the document does not explain the reasons for the denial, suspension or revocation, attach to this registration an explanation on a separate sheet of paper.
- 19. Has the organization voluntarily entered into an assurance of voluntary compliance or similar order or agreement (including, but not limited to, a settlement of an administrative investigation or proceeding, with or without an admission of liability) with any jurisdiction, state or federal agency or officer?

   Yes
   X

   If "Yes," please attach to this registration the relevant document.
- 20. Has the organization or any of its present officers, directors, executive personnel or trustees ever been found to have engaged in unlawful practices in the solicitation of contributions or administration of charitable assets or been enjoined from soliciting contributions, or are such proceedings pending in this or any other jurisdiction? If "Yes," attach to this registration photocopies of any and all written documentation (such as a court order, administrative order, judgment, formal notice, written assurance or other document) which show the final disposition of the matter.
- 21. Has the organization or any of its present officers, directors, trustees or principal salaried executive staff employees ever been convicted of any criminal offense committed in connection with the performance of activities regulated under this act or any criminal or civil offense involving untruthfulness or dishonesty or any criminal offense relating adversely to the registrant's fitness to perform activities regulated by this Act? A plea of guilty, non vult, nolo contendere or any similar disposition of alleged criminal activity shall be deemed a conviction.
- 22. Has the organization or any of its officers, directors, trustees or principal salaried executive staff employees been adjudged liable in any administrative or civil action involving theft, fraud, or deceptive business practices? For purposes of this question a judgment of liability in an administrative or civil action shall include, but is not limited to, any finding or admission that the individual engaged in an unlawful practice in relation to the solicitation of contributions or the administration of charitable assets. Yes X No If "Yes," identify the individual(s) below and attach to this registration a copy of any order, judgment or other documents indicating the final disposition of the matter.
- 23. Provide the following information for each officer, director, trustee and the five most-highly compensated executive staff employees:

Name	Business address	Telephone number (include area code)	Title	Salary
ATTACHMENT 2				

011189

# **CRI-300R Long-Form Registration Renewal Financial Statement**

### Note: If the financial value of a line item = 0, place a zero in the space provided. Please report all figures as GROSS, not NET.

Full legal name and street addres	s of the organization				
Full legal name:	ROCHEMICAL SOCIETY,	INC.			
Fiscal year-end being reported:	$\frac{12}{\text{month}} / \frac{31}{\text{day}} / \frac{2013}{\text{year}}$ Fee	deral ID Number (EIN)	13-1771269		
Mailing address: 65 S MAIN ST		PENNINGTON	, NJ 08534-283	9	
Mailing Address	P.O. Box Number or Suite	City	S	tate	ZIP code
Street address of the registering	g organization:	2		•	
		Street Address	City	State	ZIP Code
New Jersey Charities Registration	on number: CH <u>2204200</u>	00	Telephone number:	609-737-1902	
				(include area code	»)

Attach to this registration the most recent Internal Revenue Service Form 990 and Schedule A (990), if the organization has filed those forms. Attach a copy if the organization's annual financial report included an audited financial statement, or if the organization received gross revenue in excess of \$250,000. **Note:** If the organization received gross revenue of less than \$250,000, the financial reports must be certified by the organization's president or other authorized officer of the organization's board.

X In lieu of completing the CRI-300R Financial Statement pages, attached please find a copy of the I.R.S. 990 filing for the fiscal year-end indicated above.

### A. Receipts

Line A1a. Direct Public Support received from the following sources:

	(1)	Direct mail
	(2)	Telephone solicitation
	(3)	Commercial co-venture
	(4)	Gross receipts from fund-raising events
	(5)	Canisters, counter cards, door to door etc
	(6)	Corporations and other businesses
	(7)	Foundations and trusts
	(8)	Donated land, buildings, property, equipment and
		materials
	(9)	Legacies and bequests
	(10)	Membership dues solely resulting from
		solicitations
	(11)	Other support (specify)
Line A1b.	Total Direct	Public Support (add lines A1a(1) through A1a(11)
Line A1c.	Indirect Pub	lic Support received from the following sources:
	(1)	Federated fund-raising organization
	(2)	From an affiliated organization
	(3)	From another fund-raising organization
Line A1d.	Total Indired	et Public Support (add lines A1c(1) thru A1c(3))
Line A1e.	Total Gross	Contributions (add lines A1b and A1d)

Form CRI-300R Page 4 of 7

	Line A2.	Government grants including purchase of service contracts (specify agency)
		a
		b
		C
	1. 10	d
	Line A2e.	Total Government Grants (add lines 2a thru 2d)
	Line A3.	Other Support
		a. Bona fide membership
		b. Program service revenue
		c. Professional services rendered by volunteers
		d. Miscellaneous income (specify)
	Line A3e.	Total Other Support(add the total of lines A3a thru A3d)
	Line A4.	Total Gross Revenue (add lines A1e, A2e, and A3e)
D	Evnon	
D.	Expen	Ses
	Line B1.	
	Line B1.	Program expenses
	Line B2.	Management and general expenses
	Line B3.	Fund-raising expenses
	Line B5.	Payments to state/national affiliates (if applicable)
	Ene Do.	lotal Expenses (add the totals of line B1 thru B4)
C.	Exces	s or Deficit
	For the fi	scal year-end (subtract line B5 from line A4)

## **D. Fund Balance**

Line D1.	Net assets or fund balances at beginning of the year	
Line D2.	Other changes in net assets or fund balances (attach explanation)	
Line D3.	Net assets or fund balances at end of year (Combine line C, D1 and D2)	

**Please Note:** The amount of Gross Contributions (line A1e on this form) determines the registration fee which must be paid and the form which should be used. July 2006 revisions to the Charities Registration Act now require all charities to pay a registration fee, including charities whose Gross Contributions are less than \$10,000. Further information for charity registrants may be found on our Web site: <u>http://www.njconsumeraffairs.gov/ocp/charities.htm</u>.

# Long-Form Renewal Registration Statement Form CRI-300RC Confidential Information

Organization's Name: THE ELECTROCHEMICAL SOCIETY, INC.	
N.J. Charities Registration Number: CH -2204200 -00	Federal ID Number (EIN) <u>13-1771269</u>
Fiscal Year-End being reported: $\frac{12}{\text{month}} / \frac{31}{\text{day}} / \frac{2013}{\text{year}}$	

- 24. Are any of the organization's officers, directors, trustees or the five most-highly compensated employees related by blood, marriage or adoption to:
  - a. each other?
    - b. any officers, agents or employees of any fund-raising counsel or independent paid fund-raiser under contract to the organization?

Yes X No

- c. any chief executive, employee, any other employee of the organization with a direct financial interest in the transaction, or any partner, proprietor, director, officer, trustee, or to any shareholder of the organization with more than two (2) percent interest in any supplier or vendor providing goods or services to the organization? Yes X No
- d. If you answered "Yes," to questions 24a, b, or c, please provide a statement explaining these relationships.
- 25. Do any of the organization's officers, directors, trustees or the five most-highly compensated employees have a financial interest in any activities engaged in by a fund-raising counsel or independent paid fund-raiser under contract to the organization, or any supplier or vendor providing goods or services to the organization? Yes X No If "Yes," please detail these relationships below or on a separate sheet of paper, and provide the name, business address and telephone number of all interested parties.

We understand that this registration is being issued at the discretion of the Division of Consumer Affairs and agree that employees of the Division may inspect the records in the possession of this organization in order to ascertain compliance with the statute and all pertinent regulations. We also understand that we may be required to provide additional information if requested.

We hereby certify that the above information and the attached financial schedule(s) and statement(s) are true. We are aware that if any of the above statements are willfully false, we are subject to punishment.

Signature	Name	_ Title	Date
Signature	Name	Title	Date

This form must be signed by two (2) authorized officers of the organization, including the chief financial officer.

Note: Form CRI-300RC must be filed with Form CRI-300R.

Form CRI-300R Page 6 of 7

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ATTACHMENT 1

### FORM CRI-300R - CHARITABLE PURPOSES OR PURPOSES

TO ADVANCE THE THEORY & PRACTICE OF ELECTROCHEMISTRY, SOLID STATE SCIENCE, & ALLIED SUBJECTS; TO ENCOURAGE RESEARCH & DISSEMINATION KNOWLEDGE IN THESE FIELDS; & TO ASSURE THE AVAILABILITY OF ADEQUATE TRAINING & EDUCATION OF FUNDAMENTAL & APPLIED SCIENTISTS & ENGINEERS IN THESE FIELDS.

INC.	
SOCIETY,	
ELECTROCHEMICAL	
THE	

# 13-1771269

CRI-300R OFFICERS, DIRECTORS, TRUSTEES,	FIVE HIGHEST PAID EMPLOYEES	ES ATTACHMENT	NT 2
NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
ROQUE J CALVO 65 S MAIN ST PENNINGTON, NJ 08534-2839	EXEC DIRECTOR (1991-		227,582.
MARY YESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	DEPUTY EXEC DIR		141,670.
PAUL GROTE 65 S MAIN STREET PENNINGTON, NJ 08534-2839	DIRECTOR OF FINANCE		96,045.
ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER (PAST P		
TETSUYA OSAKA 65 S MAIN ST PENNINGTON, NJ 08534-2839	PRESIDENT		
LLOYD GEORGE 65 S MAIN ST PENNINGTON, NJ 08534-2839	NONPROFIT FIN. PROF.		
FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	PAST PRESIDENT		
JEAN ST-PIERRE 65 S MAIN ST	BOARD MEMBER THRU 20		
10633X F678 V	13-4.9F 011189		ATTACHMEN1 2

THE ELECTROCHEMICAL SOCIETY, INC.			13-1771269
CRI-300R OFFICERS, DIRECTORS, TRUSTEES,	FIVE HIGHEST PAID EMPLOYEES	SATTACHMENT	MENT 2 (CONT'D)
NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
PENNINGTON, NJ 08534-2839			
CHRISTINA BOCK 65 S MAIN STREET PENINGTON, NJ 08534	TREASURER		
SHELLEY MINTEER 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 20		
PABLO CHANG 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 20		
JEFFREY FERGUS 65 S MAIN STREET PENNINGTON, NJ 08534	BOARD MEMBER THRU 20		
HARIKLIA DELIGIANNI 65 S MAIN STREET PENNINGTON, NJ 08534	SECRETARY		
PAUL KOHL 65 SOUTH MAIN STREET PENNINGTON, NJ 08534	SR VICE PRESIDENT		
DANIEL SCHERSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	2ND VICE PRESIDENT		
10633X F678 V	13-4.9F 011189		ATTACHMENT 2

THE ELECTROCHEMICAL SOCIETY, INC.			13-1771269
CRI-300R OFFICERS, DIRECTORS, TRUSTEES,	FIVE HIGHEST PAID EMPLOYEES		ATTACHMENT 2 (CONT'D)
NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
GERARDINE BOTTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
JAMES BURGESS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
MICHAEL CARTER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
JOHN COLLINS 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER THRU 20		
SHINJI FUJIMOTO 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
OANA LEONTE 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
BOR YANN LIAW . 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
R. WEISMAN 65 S MAIN ST	BOARD MEMBER		
10633X F678 V	13-4.9F 011189		A I ACHMENI Z

THE ELECTROCHEMICAL SOCIETY, INC.			13-1771269
CRI-300R OFFICERS, DIRECTORS, TRUSTEES,	FIVE HIGHEST PAID EMPLOYEES	LES ATTACHMENT	HMENT 2 (CONT'D)
NAME AND ADDRESS	TITLE	TELEPHONE	COMPENSATION
PENNINGTON, NJ 08534-2839			
GIOVANNI ZANGARI 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
KRISHNAN RAJESHWAR 65 S MAIN ST PENNINGTON, NJ 08534-2839	3RD VICE PRESIDENT		
ANDREW HOFF 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ROBERT MANTZ 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ANANT SETLUR 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ERIC WACHSMAN 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
ADAM WEBER 65 S MAIN ST PENNINGTON, NJ 08534-2839	BOARD MEMBER		
10633X F678 V	13-4.9F 011189		ATTACHMENT 2

13-1771269	ATTACHMENT 2 (CONT'D)	COMPENSATION						ATTACHMENT 2
	EMPLOYEES	TELEPHONE						
	FIVE HIGHEST PAID EMPL	TITLE	BOARD MEMBER	NONPROFIT FINANCIAL	PAST PRESIDENT	PAST PRESIDENT THRU		13-4.9F 011189
SOCIETY, INC.	DIRECTORS, TRUSTEES, 1		2839	2839	2839	2839		V 1
THE ELECTROCHEMICAL S	CRI-300R OFFICERS, DI	NAME AND ADDRESS	XIAO-DONG ZHOU 65 S MAIN ST PENNINGTON, NJ 08534-2839	STUART SWIRSON 65 S MAIN ST PENNINGTON, NJ 08534-2839	FERNANDO GARZON 65 S MAIN ST PENNINGTON, NJ 08534-2839	ESTHER TAKEUCHI 65 S MAIN ST PENNINGTON, NJ 08534-2839		10633X F678

Form	9	9	0
Departn			

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) ► Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.



A F	or th	e 2013 calendar year, or tax year beginning , 2013, and endin		, 20
		C Name of organization	D Employer ident	
3 Cr	eck if ap		13-17712	.69
	Addre	SS Doing Rusinger As		
	۳ T	change Number and street (or P.O. box if mail is not delivered to street address) Room/suite	E Telephone num	ber
	Initial	return 65 S MAIN ST	(609) 737-	-1902
	Termi	City or town, state or province, country, and ZIP or foreign postal code		
	Amen		G Gross receipts	\$ 9,358,535
	Applie	ation F Name and address of principal officer ROOTE CATVO	H(a) Is this a group r	
	J peau	65 S MAIN ST PENNINGTON, NJ 08534-2839	subordinates? H(b) Are all subordinat	
	Tax-ex	empt status: X 501(c)(3) 501(c) ( ) ◀ (insert no.) 4947(a)(1) or 52		List. (see instructions)
	Websi	te: NWW.ELECTROCHEM.ORG	H(c) Group exemption	n number 🕨
(	Form	of organization: X Corporation Trust Association Other  L Year of	formation: 1902 M Sta	
	rt I	Summary		
		Briefly describe the organization's mission or most significant activities: ADVANCE THEOR	Y & PRACTICE C	F ELECTROCHEM&
¢		SOLID STATE SCIENCE, ETC. AND TO ENCOURAGE RESEARCH, DIS	CUSSION.	
anc		CRITICAL ASSESSMENT, & DISSEMINATION OF KNOWLEDGE IN TH	ESE FIELDS.	
Governance	2	Check this box      if the organization discontinued its operations or disposed of more that		
ŝ	3	Number of voting members of the governing body (Part VI, line 1a)		23
જ	4	Number of independent voting members of the governing body (Part VI, line 1b)		23
ties	5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	· · · · · · · · · · · · · · · · · · ·	
Activities &	6	Trately sets and the set of the set of the set		<b>5</b> 500
Ac		Total unrelated business revenue from Part VIII, column (C), line 12		a 117,428
	b	Net unrelated business taxable income from Form 990-T, line 34		
			Prior Year	Current Year
	8	Contributions and grants (Part VIII, line 1h)		
nue	9	Program service revenue (Part VIII, line 2g)		
Revenue	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)		
Ř	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	96,708	
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12).	6,448,309	
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)		
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
s	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	2,299,573	3. 2,100,893
Expenses		Professional fundraising fees (Part IX, column (A), line 11e)		0
bei	b	Total fundraising expenses (Part IX, column (D), line 25) ► 27,549.		
ŵ	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	3,566,861	3,608,105
		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	5,924,619	
	19	Revenue less expenses. Subtract line 18 from line 12		
es.			Beginning of Current Ye	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	15,671,229	
Ass Ba	21	Total liabilities (Part X, line 26)	2,468,456	
Net L	22	Net assets or fund balances. Subtract line 21 from line 20.	13,202,773	
	rt II	Signature Block	1	
Un	der pe	nalties of perjury, I declare that I have examined this return, including accompanying schedules and state	ments, and to the best of i	my knowledge and belief, it
tru	e, corr	ect, and complete. Declaration of preparer (other than officer) is based on all information of which preparer h T	as any knowledge.	
_				
Sig		Signature of officer	Date	
He	re			
		Type or print name and title		
		Print/Type preparer's name Preparer's signature Date	Check	IF PTIN
Pai			self-employed	
	parer	LEirm's name NUTHUM SMLTH + BROWN PC		2-2027092
US	e Only	Firm's address ▶ 5 VAUGHN DR PRINCETON, NJ 08540-6313		09-520-1188
Ма	y the	IRS discuss this return with the preparer shown above? (see instructions)		X Yes N
			· · · · · · · · · · · · ·	

For Paperwork Reduction Act Notice, see the separate instructions.

THE	ELECTROCHEMICAL	SOCIETY,	INC.
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	Check if Schedule O contains a response or note to any line in this Part III	
	Briefly describe the organization's mission: ATTACHMENT 1	
	Did the organization undertake any significant assessment in the line the second state of the second state	•
	Did the organization undertake any significant program services during the year which were not listed on t prior Form 990 or 990-EZ?	he Yes X
	Did the organization cease conducting, or make significant changes in how it conducts, any prograservices?	
	If "Yes," describe these changes on Schedule O. Describe the organization's program service accomplishments for each of its three largest program ser expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and the total expenses, and revenue, if any, for each program service reported.	vices, as measure d allocations to otl
	(Code:) (Expenses \$2,127,074. including grants of \$) (Revenue \$)	2,660,730.)
	PUBLISH 4 PEER REVIEW JOURNALS, SYMPOSIUM VOLUMES, MEETING ABSTRACTS, MEMBER MAGAZINES AND MONOGRAPH VOLUMES. ALL	
	PUBLICATIONS WITH THE EXCEPTION OF MONOGRAPH VOLUMES ARE AVAILABLE	
	IN DIGITAL FORM AND AVAILABLE IN THE ECS DIGITAL LIBRARY.	
	CIRCULATE MONTHLY JOURNALS AND QUARTERLY MAGAZINE TO SUBSCRIBERS	
	AND MEMBERS, PUBLISH HARDBOUND AND SOFTBOUND VOLUMES FOR SALE.	
h		
	(Code: ) (Expenses \$ 183,396, including grants of \$ ) (Revenue \$	520 000 )
		529,990.)
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646	529,990.)
		529,990.)
	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646	529,990.)
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	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS. ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646 STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.	
c	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.	<u>529,990.</u> ) 2,072,274.)
c	MEMBERSHIP: COLLECT ANNUAL DUES FROM FOREIGN AND DOMESTIC MEMBERS.         ECS MEMBERSHIP IN 2013 TOTALLED 6,385: 4,549 ACTIVE, 1,646         STUDENT & 190 INSTITUTIONAL REPRESENTATIVES.	
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Form 990 (2013)

Part	Checklist of Required Schedules		P	age 3
			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes,"			
2	complete Schedule A	1 2	X X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to	- 2		
-	candidates for public office? If "Yes," complete Schedule C, Part I	3		х
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h)			
	election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	Х	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues,			
	assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C,			
c		5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If			
	"Yes," complete Schedule D, Part I	6		х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,	0		
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes,"			
	complete Schedule D, Part III	8		Х
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a			
	custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or			
40	debt negotiation services? If "Yes," complete Schedule D, Part IV	9	X	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	40	x	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI,	10	<u></u>	
	VII, VIII, IX, or X as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes,"			
	complete Schedule D, Part VI	11a	Х	
b	Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more			
	of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		X
С	Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more			
Ч	of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	11c		X
u	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	114		x
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11d 11e	X	<u> </u>
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	x	
12 a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes,"			
	complete Schedule D, Parts XI and XII	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if			
13	the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	X	X
14 a	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13 14a	X	
	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking,	14a		
	fundraising, business, investment, and program service activities outside the United States, or aggregate			
	foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or			
	for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		X
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other			
47	assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	X	
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e2 if "Xes," complete Schedule C. Part I (see instructions)	4-		x
18	Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I (see instructions)</i>	17	<u> </u>	<u> </u>
	Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18		x
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a?		†	
	If "Yes," complete Schedule G, Part III	19		Х
	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		X
<u>b</u>	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

JSA 3E1021 1.000 10633X F678 Form **990** (2013)

Form 990 (2013)

Part I	Checklist of Required Schedules (continued)			aye 🕇
			Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21		Х
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States			the state of the s
	on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the			
	organization's current and former officers, directors, trustees, key employees, and highest compensated			
	employees? If "Yes," complete Schedule J	23	Х	
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than			and the second second
	\$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b			
	through 24d and complete Schedule K. If "No," go to line 25a	24a		Х
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
с	Did the organization maintain an escrow account other than a refunding escrow at any time during the year			
		24c		
d		24d		
25 a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction			
		25a		Х
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior			
	year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?			
	If "Yes," complete Schedule L, Part L	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payable to any			
	current or former officers, directors, trustees, key employees, highest compensated employees, or			
	disqualified persons? If so, complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee,			
	substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity or family member of any of these persons? If "Yes," complete Schedule L, Part III.	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L,			
	Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a		28a		X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete			
_	Schedule L, Part IV.	28b		X
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof)			
20	was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV.	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	<b> </b>	X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified			v
31	conservation contributions? If "Yes," complete Schedule M.	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	~		x
32	Part I	31		
52	complete Schedule N, Part II	20		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations	32		
00	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	22	x	
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III,	33		
04	or IV, and Part V, line 1	24	x	
35 a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	34 35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a	<u>558</u>	<u> </u>	
L L	controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2,	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable	1350	†	+
	related organization? If "Yes," complete Schedule R, Part V, line 2	36		x
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
0,	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R,			
	Part VI	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and		+	+
÷ *	19? Note. All Form 990 filers are required to complete Schedule O	38	X	
		1 20	1	1

Form 990 (2013)

-	990 (2013)	Page 5
Par		
	Check if Schedule O contains a response or note to any line in this Part V	· · · · · · · · · · · · · · · · · · ·
4		Yes No
	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	
	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	
Ç	reportable gaming (gambling) winnings to prize winners?	1c X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax	
	Statements, filed for the calendar year ending with or within the year covered by this return . 2a 29	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b X
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions)	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a X
	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b X
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority	
	over, a financial account in a foreign country (such as a bank account, securities account, or other financial	
	account)?	4a X
b	If "Yes," enter the name of the foreign country: ► JAPAN	
<b>F</b> =	See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.	
	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a X 5b X
u c	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5bX5c
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the	50
	organization solicit any contributions that were not tax deductible as charitable contributions?	6a X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or	
	gifts were not tax deductible?	6b
7	Organizations that may receive deductible contributions under section 170(c).	
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods	
	and services provided to the payor?	<b>7a</b> X
	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was	
	required to file Form 8282?	7c X
	If "Yes," indicate the number of Forms 8282 filed during the year	
	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e         X           7f         X
n N	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	
9 h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7g 7h
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting	
	organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring	
	organization, have excess business holdings at any time during the year?	8
9	Sponsoring organizations maintaining donor advised funds.	
а		9a
b		9b
10	Section 501(c)(7) organizations. Enter:	
a		
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b	-
11 a	Section 501(c)(12) organizations. Enter: Gross income from members or shareholders	
	Gross income from members or shareholders	
, D	against amounts due or received from them.).	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	, Lu
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	
а	Is the organization licensed to issue qualified health plans in more than one state?	13a
	Note. See the instructions for additional information the organization must report on Schedule O.	
b	Enter the amount of reserves the organization is required to maintain by the states in which	
	the organization is licensed to issue qualified health plans	
C	Enter the amount of reserves on hand	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a X
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b

THE ELECTROCHEMICAL SOCIETY, INC.

13-1771269 Page **6** 

Part	VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. S	and i	for a	"No"
	Check if Schedule O contains a response or note to any line in this Part VI	• • • •	•••	X
Sect	ion A. Governing Body and Management			
		2000000	Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year <b>1a</b> 23			
	If there are material differences in voting rights among members of the governing body, or if the governing			
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b	Enter the number of voting members included in line 1a, above, who are independent <u>1b</u> 23			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with			
	any other officer, director, trustee, or key employee?	2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct			
	supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		Х
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6	Did the organization have members or stockholders?	6	<u>X</u>	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint			
	one or more members of the governing body?	7a	X	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members,			
	stockholders, or persons other than the governing body?	7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during			
	the year by the following:			17-4-1 
а	The governing body?	<u>8a</u>	X	
b	Each committee with authority to act on behalf of the governing body?	8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at			
	the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		X
Sect	ion B. Policies (This Section B requests information about policies not required by the Internal Revenue	Code	<del>)</del>	
			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	Х	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters,			
	affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Х	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Х	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Х	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give			
	rise to conflicts?	12b	X	
с	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes,"			
	describe in Schedule O how this was done	12c	Х	
13	Did the organization have a written whistleblower policy?	13	X	+
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by			
	independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15a	+	1
~	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	100		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement			
.00	with a taxable entity during the year?	16a	a ser si	X
h	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its	104	199310	
	participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the			
	organization's exempt status with respect to such arrangements?	16b	1949 E 2	9 9 9 A U
Sect	tion C. Disclosure	100		
17	List the states with which a copy of this Form 000 is required to be flat $\blacktriangleright$ NU,			
18	Section 6104 requires an organization to make its Forms 1022 (or 1024 if emplicable), 000, and 000 T (Casting			
10	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section available for public inspection. Indicate how you made these available. Check all that apply.	1501(	c)(3)	s only
	X       Own website       Another's website       X       Upon request       Other (explain in Schedule O)			
40				
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of in	terest	polic	y, an
-	financial statements available to the public during the tax year.			
20	State the name, physical address, and telephone number of the person who possesses the books and records of organization: <b>PAUL</b> GROTE 65 S MAIN ST PENNINGTON, NJ 08534-2839 609-737-1902	the		
ISA	0.942010			

Part VII	Compensation of	f Officers,	Directors,	Trustees,	Key	Employees,	Highest	Compensated	Employees,	and	
	Independent Contractors										
	Chock if Schodulo	0 contains			II.	an in this Dant	ν <i>α</i> ι				

Check if Schedule O contains a response or note to any line in this Part VII.......

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

• List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

List all of the organization's current key employees, if any. See instructions for definition of "key employee."

• List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

\_] Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

		(C)										
(A)	(B)							(D)	(E)	(F)		
Name and Title	Average					than o		Reportable	Reportable	Estimated		
	hours per	box, unless person is both an officer and a director/trustee)						compensation	compensation from	amount of		
	week (list any hours for				· · · · ·		· · ·	from the	related organizations	other compensation		
	related	Individual trustee or director	Institutional trustee	Officer	Key	Hìgh emp	Former	organization	(W-2/1099-MISC)	from the		
	organizations	/idu	tutic	ĕŗ	employee	lest loye	ner	(W-2/1099-MISC)	· · · · ·	organization		
	below dotted	ortr	nal		oloye	eom				and related organizations		
	line)	istee	trus		ě	pen				<b>J</b>		
		U U	ee			Highest compensated employee						
						<u>α</u>						
(1)ROQUE J CALVO	37.50											
EXEC DIRECTOR (1991-PRESENT)	+	X		X				249,839.	о о	43,687.		
(2)TETSUYA OSAKA	1.00											
PRESIDENT		X		X				c c	0	0		
(3)LLOYD GEORGE	1.00				1		1					
NONPROFIT FIN. PROF. THRU 2013		X						C	0	0		
(4) JEAN ST-PIERRE	1.00				1	l						
BOARD MEMBER THRU 2013	1	X						0	C	0		
(5)CHRISTINA BOCK	1.00		Γ									
TREASURER		X		X				0	) C	0		
(6)SHELLEY MINTEER	1.00											
BOARD MEMBER THRU 2013		X						(	) c	0		
(7) PABLO CHANG	1.00											
BOARD MEMBER THRU 2013		X						(	) C	0		
(8)JEFFREY FERGUS	1.00											
BOARD MEMBER THRU 2013		X						(	0 0	0		
(9)HARIKLIA DELIGIANNI	1.00											
SECRETARY		X	ļ	X				(	0 0	0		
(10)PAUL KOHL	1.00											
SR VICE PRESIDENT		X	ļ	X		L	ļ	(		0		
(11)DANIEL SCHERSON	1.00	-										
2ND VICE PRESIDENT		X	ļ	X	ļ			(		0		
(12)GERARDINE BOTTE	1.00	1										
BOARD MEMBER		X	ļ		ļ			(		0		
(13)JAMES BURGESS	1.00	4										
BOARD MEMBER		X	ļ	<b>_</b>		-	_	(		0		
(14)MICHAEL CARTER	1.00	~~										
BOARD MEMBER		X	<u> </u>			<u> </u>			( (	00		

JSA

Form 990 (2013)

### THE ELECTROCHEMICAL SOCIETY, INC. 13-1771269

Form	990	(2013)	

Part VII Section A. Officers, Directors, T	rustees, Ke	y Em	plo	yee	es, a	and H	ligl	nest Compensat	ed Employee	s (co	ntinued)
(A) Name and title	(B) Average hours per week (list any hours for related	box, office	unles r and	sspe dad	ition more rson lirect	than c is both or/trust 요 프	an tee)	(D) Reportable compensation from the	(E) Reportable compensation f related organization	s	(F) Estimated amount of other compensation from the
	organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	organization (W-2/1099-MISC)	(W-2/1099-MI	50)	organization and related organizations
15) JOHN COLLINS	1.00										
BOARD MEMBER THRU 2013 16) SHINJI FUJIMOTO	1 00	X		ļ			ļ	C		0	0
BOARD MEMBER	1.00	x									0
17) OANA LEONTE	1.00							(	F	<u> </u>	0
BOARD MEMBER		x							)	0	0
18) BOR YANN LIAW	1.00		<b> </b>				+		/		
BOARD MEMBER		X						0	)	0	C
19) R. WEISMAN	1.00	1									
BOARD MEMBER		X		ļ	ļ			(	)	0	С
20) GIOVANNI ZANGARI BOARD MEMBER	1.00	4									
21) KRISHNAN RAJESHWAR	1.00	X			ļ	ļ		(	)	0	(
3RD VICE PRESIDENT		x		x							C
22) ANDREW HOFF	1.00						+		1		(
BOARD MEMBER		x						(		0	(
23) ROBERT MANTZ	1.00			<u>†</u>	+		1				
BOARD MEMBER		X						(	b	o	(
24) ANANT SETLUR	1.00	1									
BOARD MEMBER		X	<u> </u>		ļ		_		0	0	(
25) ERIC WACHSMAN BOARD MEMBER	1.00										
1b Sub-total		X					1	249,839	J	0	12 (07
c Total from continuation sheets to Part VII,	 Section A	• • •	• •	•••	•••	• • •		242,701		0	43,687
d Total (add lines 1b and 1c)		· · ·	•••	•••	•••	• • •		492,540		0	113,057
2 Total number of individuals (including but no	t limited to	those	liste	ed a	ibov	e) wh	no re				
reportable compensation from the organizat	ion 🕨		2								
3 Did the organization list any former of employee on line 1a? If "Yes," complete Sche	ficer, direct	or, oi ich inc	r tr tivia	uste Iual	зе, ••	key 	em	oloyee, or highes	st compensat	ed	Yes No 3 X
<b>4</b> For any individual listed on line 1a, is the organization and related organizations of <i>individual</i>	greater than	ר \$1±	50,0	000	? 1	f "Ye	es,"	nd other comper complete Sched	sation from t ule J for su	he ch	4 X
5 Did any person listed on line 1a receive of for services rendered to the organization? If	or accrue co	mper	isat	ion	fror	n an	v ur	nrelated organizat	ion or individu	ual	5 X
Section B. Independent Contractors											
<ol> <li>Complete this table for your five highest co compensation from the organization. Report year.</li> </ol>	mpensated t compensat	indep ion fo	end r th	ent e ca	cor alen	tract dar y	ors ear	that received mor ending with or wit	e than \$100,0 thin the organ	00 o izatioi	f n's tax
(A) Name and business a	address							(B) Description of s	ervices	С	(C) Compensation
ATTACHMENT 3											
						<u> </u>		n en			
2 Total number of independent contractors more than \$100,000 in compensation from	(including b	out no	ot li	mite	ed t	o tho	se	listed above) who	o received		
ISA III III III III III III III III III	me organiza	100	-			/					

### THE ELECTROCHEMICAL SOCIETY, INC. 13-1771269

Form	990	(2013)

Part VII Section A. Officers, Directors, Tru	istees, Ke	y Em	plo	yee	es, a	and H	ligl	hest Compensat	ed Employee	es (co	Page <b>8</b> ntinued)
(A) Name and title	hours per week (list any hours for     (do not check more than one box, unless person is both an officer and a director/trustee)     compensation from the     compensation organization						(E) Reportable compensation related organization (W-2/1099-MI	from	(F) Estimated amount of other compensation from the organization and related organizations		
26) ADAM WEBER	1.00					<u> </u>					
BOARD MEMBER 27) XIAO-DONG ZHOU	1.00	X						C		0	0
BOARD MEMBER	1.00	x						C	}	0	0
28) STUART SWIRSON	1.00				+				/		0
NONPROFIT FINANCIAL PROF.		x						0	X	0	0
29) FERNANDO GARZON	1.00						T				
PAST PRESIDENT		X		ļ	ļ			(	)	0	0
30) ESTHER TAKEUCHI PAST PRESIDENT THRU 2013	1.00	x									~
31) PAUL GROTE	37.50				+		+		1		0
DIRECTOR OF FINANCE	+			x				98,538.		o	30,827.
32) MARY YESS	37.50	1	<u> </u>	†	1		-	· · · · · ·			
DEPUTY EXEC DIR		]				X		144,163.	•	0	38,543.
		-									
	+	4									
		+			+	1					······
		1									
		-									
1b Sub-total         c Total from continuation sheets to Part VII, S         d Total (add lines 1b and 1c)         2 Total number of individuals (including but not reportable compensation from the organization)	Section A	those	•••	•••				eceived more than	\$100,000 of		
3 Did the organization list any former offi employee on line 1a? If "Yes," complete Sched	cer, direct fule J for su	or, oi ich inc	r tr tivic	lual	•••		• •	••••••			Yes No 3 X
4 For any individual listed on line 1a, is the organization and related organizations gain individual	reater than	n \$1: 	50,0 •••	000 •••	? 1	f "Ye	es," •••	complete Sched	ule J for su	ıch • •	4 X
5 Did any person listed on line 1a receive of for services rendered to the organization? If "	r accrue co <i>(es," comple</i>	omper ete Sc	nsat <i>hed</i>	ion ule	fror J fo	n an <i>r sucl</i>	y u i pe	nrelated organizat erson	ion or individ	ual 	5 X
Section B. Independent Contractors											
<ol> <li>Complete this table for your five highest cor compensation from the organization. Report year.</li> </ol>	npensated compensat	indep ion fo	end r th	lent e c	cor alen	ntract dar y	ors ear	that received mor ending with or wi	e than \$100, thin the organ	000 o iizatio	f n's tax
(A) Name and business ac	Idress							<b>(B)</b> Description of s	services	С	<b>(C)</b> Compensation
2 Total number of independent contractors ( more than \$100,000 in compensation from t	including b he organiza	out no ation	ot li ►	mite	ed t	o the	ose	listed above) who	o received		

Par	t VIII Statement of Revenue Check if Schedule O contains a response or note to any line in this Part VIII										
					(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated	(D) Revenue excluded from tax under sections 512-514			
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a								
Gra	b	Membership dues	1b								
ifts,		Fundraising events	1 1								
a, G		Related organizations									
r Sil		Government grants (contribut									
the	f	All other contributions, gifts, grant		81,432.							
d of		and similar amounts not included Noncash contributions included ir		017452.							
a C	-	Total. Add lines 1a-1f		81,432.							
nue				Business Code							
evel	2a	MEMBERSHIP DUES		900099	529,990.	529,990.					
e R	b	SOCIETY MEETINGS AND ACTI	VITIES	900099	2,072,274.	2,072,274.					
Zic	с	SUBSCRIPTIONS		519100	2,660,730.	2,543,302.	117,428.				
י Se	d	CONSTITUENT PROGRAMS		900099	63,177.	63,177.					
Jran	е										
Program Service Revenue	f g	All other program service reve Total. Add lines 2a-2f		<b></b>	5,326,171.						
	3	Investment income (including			5,520,111.						
	·	other similar amounts).	TACHMENT 4	••••••••••••••••••••••••••••••••••••••	336,362.			336,362.			
	4	Income from investment of ta		roceeds ►	0						
	5	Royalties · · · · · · · ·			0						
			(i) Real	(ii) Personal							
	6a	Gross rents	468,764.								
	b	Less: rental expenses	480,489.								
	C L	Rental income or (loss)	-11,725.	L	11 205			44 545			
	d	Net rental income or (loss	(i) Securities	(ii) Other	-11,725.			-11,725.			
	7a	Gross amount from sales of assets other than inventory	3,130,846.	(.,							
	ь	Less: cost or other basis	·····								
		and sales expenses	2,447,974.								
	с	Gain or (loss)	682,872.								
	d	Net gain or (loss)		· · · · · · · •	682,872.			682,872.			
ne	8a	Gross income from fundra	÷								
/en		events (not including \$									
Re		of contributions reported on									
er	h	See Part IV, line 18 Less: direct expenses		1							
Other Revenue	c b	Net income or (loss) from ful			0						
0	9a	Gross income from gaming a See Part IV, line 19	activities.								
	ь	Less: direct expenses		1							
	c	Net income or (loss) from ga			0						
	10a	Gross sales of invent									
		returns and allowances									
	b	Less: cost of goods sold Net income or (loss) from sa	blog of inventory	L	_						
	c	Miscellaneous Rever		Business Code	0						
	11a	MISCELLANEOUS		900099	14,960.	14,960.					
	b					1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
	c										
	d	All other revenue									
	е	Total. Add lines 11a-11d •									
	12	Total revenue. See instruction	ons	🕨	6,430,072.	5,223,703.	117,428.	1,007,509.			

### Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Do not include amounts reported on lines 60, 7b, Bb, Bb, and 100 PTAT VI.         The development of the second second second second second parameter and the second second second second organizations and other assestance to individuals in the United States. See Part VI. Ince 2	Check if Schedule O contains a response or note to any line in this Part IX										
29. 99. and TUP of Part VI.         impance         games         games <thgames< th=""> <t< th=""><th>Do not include amounts reported on lines 6b, 7b,</th><th>(A)</th><th>(B)</th><th>(C)</th><th>(D)</th></t<></thgames<>	Do not include amounts reported on lines 6b, 7b,	(A)	(B)	(C)	(D)						
organization in the Unstatistics. See Part M, thene 21.         0	8b, 9b, and 10b of Part VII.	Total expenses									
2 Gents and other assistance to individual in the third States Ser Part M, Ine 22	1 Grants and other assistance to governments and										
the United States. See Part M line 22	organizations in the United States. See Part IV, line 21 ,	0									
3       Crants and other assistance to governments, organizations, and individuals outside the united States See Part M. Miss 15 and 16       7,000.       7,000.         4       Benefits paid to or to members	2 Grants and other assistance to individuals in										
organizations         and individuals         outside         f           0 United States See Part K, Nore S fault 6,         7,000.         7,000.         7,000.           4 Benefits paid to or for members,         0	the United States. See Part IV, line 22	36,051.	36,051.								
United States. See Part V. lines 15 and 16,	-										
4       Benefits paid to of or members       0         5       Compensation of current officers, trustees and key employees       605,597.       408,597.       197,000.         6       Compensation of current officers, directors, trustees and key employees.       605,597.       408,597.       197,000.         7       Other salaries and wages.       1,078,068.       727,372.       350,696.         8       Pension pain accounts in contribution (include sector 4050(pt)(i) and 00.       1078,068.       727,372.       350,696.         9       Other employee benefits       212,420.       143,320.       69,100.         10       Payrolitizes.       134,134.       90,500.       43,634.         11       Fees for services (non-employees):       0       1       1,552.       1,552.         11       Fees for services (non-employees):       0       1       1,552.       1,552.         12       Advertising and promotion       343,551.       262,246.       81,345.         12       Advertising and promotion       343,551.       262,246.       81,345.         13       Other employee benefits.       100.       107,553.       80,774.         14       Information technology.       248,307.       167,533.       80,774.	-	7 000	7 000								
6 Compensation of current officers directors, trustees, and key employees       605, 597.       408, 597.       197,000.         6 Compensation in include above to disculated persons (as defined under socien 498(R))) and persons described in socien 498(R)(R)       0       0         7 Other salaries and wages       1,078,068.       727,372.       350,696.         8 Pension plan accurate and combutors (include sector 401(8) employee contributions.       70,674.       47,684.       22,990.         9 Other anglogies band       13,4220.       143,320.       69,100.       13,634.         11 Fees for services (non employees)       0       1,552.       1,552.       1,552.         a Accounting       2,124.       32,124.       32,124.       0         9 Other (if the tig annual coests 10% of im 25 cuture (yamout lation to employees)       0       0       0         13 duality and promotion       4,613.       4,613.       0       0         14 Advertising and promotion       4,4613.       4,613.       0       0       0         14 Advertising and promotion       4,4613.       4,613.       0       0       0       0         15 Advertising and promotion       4,4613.       4,613.       0       0       0       0       0       0       0       0       0			7,000.								
tustees, and key employees.       605, 597.       408, 597.       197,000.         6 Compensation not included above to descuifed persons (accorded in section 4356(ty)) and persons described in section 4356(ty) and persons described in the section 4356(ty) and persons described in section 4356(ty) and persons described in the transmitter to the section 4356(ty) and persons described in the transmitter to the section 4356(ty) and persons described in the transmitter to the section 4356(ty) and persons described in the transmitter to the section 4356(ty) and persons described in the transmitter to the section 4356(ty) and persons described in the section 4356(ty) and the sectin	Γ	0		ng katalon sa dalak si katalon. T							
6       Compensation not included above, to disqualitied pressore section and waters 49607(1) and pressore section section 49607(1) and pressore section section 49607(1) and pressore section section 49607(1) and pressore section and waters 49607(1) and pressore section 49607(1) and pres		605 597	108 597	197 000							
persons (as defined under section 4980(01)) and persons described in section 4980(010)         0           9         Other staticies and vages         1, 078, 068         727, 372         350, 696           8         Pension pin accusals and contributors (include section 401(k) and 4010) employer contributors)         212, 420         143, 320         69, 100           9         Other employee benefits         212, 420         143, 320         69, 100           10         Payrolitizes         134, 134         90, 500         43, 634           11         Fores for services (non-employees):         0         43, 634           a Management         0         134, 134         90, 500         43, 634           b Legal         1, 552         1, 552         1         552           c         Accounting         32, 124         32, 124         32, 124           d Lobbying         0         1         4, 613         4, 613         4, 613           10         Other, (the 1a management fees         0         72, 082         72, 082         6         61, 345         1           11         Information technology         33, 661         26, 120         7, 541         1         1         1         1         6         1         1	ſ		400,007.	197,000.							
person decorded in esclon 4956((3)(6)         0           7         Other solatiles and wages         1,078,068         727,372         350,696           9         Ponsion place contributions (indude section 401(8) and 403(b) employee contributions)         70,674         47,684         22,990           9         Other enployee contributions)         134,134         90,500         43,634           10         Payroll taxes         134,134         90,500         43,634           11         Fees for services (non employees): a Management         0         0         1           11         Accounting         32,124         32,124         32,124           11         Investment management fees         0         0         0           9         Other of this 11g anown exasts 10% of the 25, cours (4) amount landmang services. See Patt N. Ine 17, Investment management fees         0         1         1           9         Other of this 11g anown exasts 10% of the 25, cours (4) amount set in the paynes or Sonadia 01         4,613         4,613         0           12         Advertising and pramotino         343,591         262,246         81,345.           16         Occupancy         109,187         156,556         33,631.           17         Travid         100,1880											
7       Other salaries and wages       1,078,068       727,372       350,696         8       Pension plan accuruls and contributions (indude section 4018) and 4020) employee contributions       70,674       47,684       22,990         9       Other employee benefits       0       134,134       90,500       43,634         10       Payrol taxes       1352       1,552       1,552         a Management       0       0       0         4       tobbying       0       0       0         9       Other employee benefits       0       0       0         1       552       1,552       1,552       0         0       0       32,124       32,124       0       0         0       0       0       0       0       0       0         10       beside       0       72,082       72,082       0		0									
8       Pension plan acoustal and contributions (include section do 1(8) and 403(9) employer contributions)		1,078,068.	727.372	350,696.							
401(k) and 403(b) employer contributions).       70, 674,       47, 684,       22, 990,         9 Other employee benefits       212, 420,       143, 320,       69, 100,         10 Payroll taxes.       134, 134,       90, 500,       43, 634,         11 Fees for services (non-employees):       0       0       0         a Management       0       0       0         b Legal       1, 552,       1, 552,       1, 552,         c Accounting       0       0       0       0         d Lobbying       0       0       0       0         9 Other, if the 11g annext exects 00 of the 25 colum       72, 082,       72, 082,       0         12 Advertising and promotion       44, 613,       4, 613,       0       0         14 Information technology.       248, 307,       167, 533,       80, 774,       0         15 Occupancy       33, 661,       26, 120,       7, 541,       0		_,,	,								
9       Other employee benefits       212,420       143,320       69,100         10       Payroll taxes       134,134       90,500       43,634         11       Fees for services (non-employees):       0       134,134       90,500       43,634         11       Fees for services (non-employees):       0       1,552       1,552       1,552         6       Accounting       32,124       32,124       32,124       0       0         6       Professional fundrating services. See Part N, Ine 17.       0       0       1,552       1,552         7       fivestiment management fees       0       14,613       4,613       1,613       1,613         9       Other. (if the 1g amout ecode 10% of the 2s, column (A amout hall nel fig appreso 0 sectave).       343,591       262,246       81,345         14       Information technology.       248,307       167,533       80,774         16       Occupancy       33,661       26,120       7,541       100,1830         17       Travel		70,674.	47,684.	22,990.							
10       Payroll taxes       134,134.       90,500.       43,634.         11       Fees for sorvices (non-employees):       0       1,552.       1,552.         a Management       0       1,552.       1,552.       1,552.         c Accounting       0       0       0       0         e Professional fundrations services. See Part IV, line 17.       0       0       0       0         f Investment management lees       0       1,552.       1,552.       0         9 Other, if the 14g assess tackeds 10% of live 25, column (A) amount lat line 11g aperase in Scredulo 0).       4,613.       4,613.       0         12 Advertising and promotion       4,613.       4,613.       0       0         13 Office expanses       343,551.       262,246.       61,345.         14 Information technology       33,661.       26,120.       7,541.         15 Royafiles       0       0       0       0         16 Occupancy       130,187.       156,556.       33,631.       0         17 Travel       190,187.       156,556.       33,631.       0       0         17 Travel       12,001,880.       1,001,880.       0       0       0         18 Payments of finates.       0 <th></th> <th></th> <th></th> <th></th> <th></th>											
11       Fees for services (non-employees):       0         a Management       1,552       1,552         c Accounting       32,124       32,124         d Lobbying       0       32,124         e Professional fundrating services. See Part IV. line 17.       0         e Professional fundrating services. See Part IV. line 17.       0         e Professional fundrating services. See Part IV. line 17.       0         g Other. (# line 11g answer excess 10% of line 25. column (A) amount. listine 11g answer excess 10% of line 25. column (A) amount. listine 11g answer excess 10% of line 25. column (A) amount. listine 11g answer excess 10% of line 25. column (A) amount. listine 11g answer excess 10% of line 25. column (A) amount. list line 24e expenses       72,082.       72,082.         for any federal, state. or local public officials       0       100,187.       156,556.       33,631.         19 Conferences. conventions, and meetings       1,001,880.       1,001,880.       0       12         21 Payments to affiliates.       0       250,755.       250,755.       250,755.       250,755.         21 for expenses       1,059,237.       2,801.       248.       27,58.         issuance		134,134.	90,500.	43,634.							
a Management       0         b Legal       1,552.         c Accounting       32,124.         d Lobbying       0         e Professional fundrating services. See Part N, line 17.       0         g Other. (if line 11g amont exceeds 10% of line 26. column (b) amount, list line 11g amont exceeds 10% of line 26. column (b) amount, list line 11g amont exceeds 10% of line 26. column (b) amount, list line 11g amont exceeds 10% of line 26. column (b) amount, list line 11g amont exceeds 10% of line 26. column (b) amount, list line 11g amont exceeds 10% of line 26. column (c) amount, list line 11g amont exceeds 10% of line 26. column (b) amount, list line 24e amont exceeds 10% of line 24. r1 line 24e amount exceeds 10% of line 24. r1 line 24e amount exceeds 10% of line 25. column (c) amount, list line 24e expenses in the 24. r1 line 24e amount exceeds 10% of line 25. column (c) amount, list line 24e expenses in the 24. r1 line 24e amount exceeds 10% of line 25. column (c) amount, list line 24e expenses on Schedule 0) aVEMENERSHIP_DIRECT_EXPENSES b2UBLICATIONS											
b Legal       1,552.       1,552.         c Accounting       32,124.       32,124.         d Lobbying       0       0         e Protessional fundrasing services. See Part IV, line 17, f liveestment management fees       0       0         g Other. (if the 11g amount exceeds 10% of line 25, column (A) amount lister 11g expenses on Schedule 0).       72,082.       72,082.         12 Advertising and promotion       4,613.       4,613.         13 Office expenses       0       0         14 Information technology.       248,307.       167,533.       80,774.         16 Occupancy       33,661.       26,120.       7,541.         17 Travel       190,187.       156,556.       33,631.         18 Payments of travel or entertainment expenses for any federal, state, or local public officials       0       0         21 Payments to affliates.       0       0       0         22 Depreciation, depletion, and amortization       250,755.       250,755.       0         23 Insurance       0       0       0       0         24 MARDS EXPENSES       57,468.       57,468.       57,468.         d Outer expenses       0       0       0       0         25 Joint costs. Complet this line only if the organization reporet bit noclum (R)		0									
c Accounting       32,124.       32,124.         d Lobbying       0       0         e Professional fundrating services. See Part IV, line 17, Investment management fees       0         g Other, of line 11g amount exceeds 10% of line 25, column (A) amount list ine 11g expenses of Standard D1.       72,082.         g Advertising and promotion       4,613.       4,613.         13 Office expenses       343,591.       262,246.         14 Information technology.       2489,307.       167,533.         15 Royatties,       0       0         16 Occupancy       33,661.       26,120.       7,541.         17 Travel.       190,187.       156,556.       33,631.         18 Payments of travel or entertainment expenses for any federal, state, or local public officials       0       0         21 Payments to affiliates,       0       0       0         21 Payments to affiliates,       0       0       0         22 Depreciation, depletion, and amortization       250,755.       250,755.       2       0         23 Insurance       0       12,503.       9,702.       2,801.       0         24 Adventy Expenses       79,282.       79,282.       57,468.       0       0         24 Payments to affiliates,       0		1,552.		1,552.							
d Lobbying       0         e Professional functioning services. See Part IV, line 17, f Investment management fees       0         9 Other, of the 11g amount exceeds 10% of line 25, column (A) amount, list line 11g amount exceeds 10%, or line 24, 248, 307, 146, 133, 44, 614, 74, 513, 48, 60, 774, 44, 60, 774, 44, 60, 774, 44, 60, 774, 44, 60, 774, 44, 60, 774, 44, 64, 77, 541, 44, 44, 44, 44, 44, 44, 44, 44, 44,		32,124.		32,124.							
e Professional fundraising services. See Part IV, line 17.       0         f Investment management fees       0         9 Other. (if the 11g amount exceeds 10% of line 25, column (A) amount list line 11g expenses on Scredule O).       72,082.         12 Advertising and promotion       4,613.       4,613.         13 Office expenses       343,591.       262,246.       81,345.         14 Information technology.       248,307.       167,533.       80,774.         15 Royatties.       0       0       7,541.         17 Travel       190,187.       156,556.       33,631.         18 Payments of travel or entertainment expenses for any federal, state, or local public officials       0       0         10 Interest       0       0       0         21 Payments to affiliates.       0       0       0         22 Depreciation, depletion, and amortization       250,755.       250,755.       2801.         23 Other expenses Itamize expenses in line 24e. If the 24 expenses on Schedule O) attamic depletion, and amortization       12,503.       9,702.       2,801.         24 Other expenses.       10,259,237.       1,059,237.       2,801.       0       0         24 amount list line 24e expenses on Schedule O) attamic depletion, and amortization       5,752,049.       4,801,312.       923,188.       <		-									
Investment investment investment investment investment investment investment investment is the title sectors 10% of line 25, column       72,082.       72,082.         It informatility is the title expenses on Secolulo 0).       4,613.       4,613.         Advertising and promotion       343,591.       262,246.       81,345.         It information technology.       248,307.       167,533.       80,774.         Royalties.       0       0       0         Occupancy       33,661.       26,120.       7,541.         If Travel       190,187.       156,556.       33,631.         Payments of travel or entertainment expenses for any federal, state, or local public officials       0       0         Interest       0       1,001,880.       1,001,880.         Insurance       0       250,755.       250,755.         Insurance       0       250,755.       2,801.         Avertising and expenses on to covered above (List miscellaneous expenses in line 24e. If line 24e expenses in line 24e. If line 24e expenses on Schedule 0)       79,282.       79,282.         MEMBERSHIP DIRECT EXPENSES       77,468.       57,769, 314.       193,314.         QUNITIONS       27,549.       27,549.       27,55         25       Total functional expenses. Add lines 1 through 24e       5,752,049. <td< th=""><th></th><th>-</th><th></th><th></th><th></th></td<>		-									
(A) amount, list line 11g expenses on Schedule 0),       72,082.       72,082.         12       Advertising and promotion	f Investment management fees	0									
12       Advertising and promotion       4, 613.       4, 613.         13       Office expenses       343,591.       262,246.       81,345.         14       Information technology.       248,307.       167,533.       80,774.         15       Royalties.       0       0         16       Occupancy.       33,661.       26,120.       7,541.         17       Travel       190,187.       156,556.       33,631.         18       Payments of travel or entertainment expenses for any federal, state, or local public officials       0       0         19       Conferences. conventions, and meetings       0       1,001,880.       1,001,880.         20       Interest       0       250,755.       250,755.       250,755.         21       Depreciation, depletion, and amortization       250,755.       250,755.       2801.         24       Other expenses Interize expenses not covered above (List miscellaneous expenses in Steedue 0)       37,468.       57,468.         32       Other expenses_on Schedue 0)       79,282.       79,282.       57,468.         33,14       193,314.       193,314.       27,5       27,5         34       Distances.       27,549.       27,5       27,5	g Other, (if line 11g amount exceeds 10% of line 25, column										
13       Office expenses       343,591.       262,246.       81,345.         14       Information technology.       248,307.       167,533.       80,774.         15       Royalties.       0       33,661.       26,120.       7,541.         16       Occupancy       33,661.       26,120.       7,541.       190,187.       156,556.       33,631.         17       Travel       .       190,187.       156,556.       33,631.       1001,880.       100,90,90,90,90,90,90,90,90,90,90,90,90,9											
14       Information technology	12 Advertising and promotion	-		01.015							
15       Royalties											
16       Noyalites       33,661.       26,120.       7,541.         16       Occupancy       190,187.       156,556.       33,631.         17       Travel       190,187.       156,556.       33,631.         18       Payments of travel or entertainment expenses for any federal, state, or local public officials       0       0         19       Conferences, conventions, and meetings       0       0       0         20       Interest       0       0       0         21       Payments to affiliates       0       0       0         22       Depreciation, depletion, and amortization       250,755.       250,755.       250,755.         23       Insurance       12,503       9,702       2,801.         24       Other expenses Itemize expenses not covered above (List miscellaneous expenses on Schedule O)       12,503.       9,702.       2,801.         atmount, list line 24e expenses on Schedule O)       1,059,237.       1,059,237.       57,468.       57,468.         dCONSTITUENT SERVICES EXPENSE       193,314.       193,314.       193,314.       27,5         25       Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26       Jo				80,774.							
17       Travel       190,187.       156,556.       33,631.         18       Payments of travel or entertainment expenses for any federal, state, or local public officials       0       0         19       Conferences, conventions, and meetings       1,001,880.       1,001,880.       0         20       Interest       0       0       0       0         21       Payments to affiliates,       0       0       0       0       0       0         22       Depreciation, depletion, and amortization       250,755.       250,755.       2801.       0         24       Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e expenses on Schedule O)       12,503.       9,702.       2,801.         24       Other expenses Itemize expenses on Schedule O)       79,282.       79,282.       190,314.         36       GONSTITUENT SERVICES EXPENSES       57,468.       57,468.       27,549.         37,549.       27,549.       27,5       27,5       27,5         36       Juit costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here $\blacktriangleright$ if       193,314.       193,312.       923,188.       27,5		0		7 5/1							
18       Payments of travel or entertainment expenses for any federal, state, or local public officials       0         19       Conferences, conventions, and meetings       1,001,880.       1,001,880.         20       Interest       0       0         21       Payments to affiliates.       0       0         22       Depreciation, depletion, and amortization       250,755.       250,755.         23       Insurance       12,503.       9,702.       2,801.         24       Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25. column (A) amount, list line 24e expenses on Schedule 0.)       79,282.       79,282.         8       PUBLICATIONS CAWARDS EXPENSES       57,468.       57,468.         4       Other expenses       57,468.       57,468.         4       Other expenses.       27,549.       27,5         25       Total functional expenses. Add lines 1 through 24e.       5,752,049.       4,801,312.       923,188.       27,5         26       Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here $\triangleright$ if											
for any federal, state, or local public officials       0         19 Conferences, conventions, and meetings       1,001,880         20 Interest       0         21 Payments to affiliates       0         22 Depreciation, depletion, and amortization       250,755         23 Insurance       12,503         24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)         aMEMBERSHIP DIRECT EXPENSES       79,282         bPUBLICATIONS       1,059,237         cAWARDS EXPENSES       57,468         dCONSTITUENT SERVICES EXPENSE       193,314         e All other expenses. Add lines 1 through 24e       5,752,049         25 Total functional expenses. Add lines 1 through 24e       5,752,049         26 Joint costs, Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶       if		190,107.	150,550.	55,051.							
19       Conferences, conventions, and meetings       1,001,880.       1,001,880.         20       Interest       0         21       Payments to affiliates,       0         22       Depreciation, depletion, and amortization       250,755.       250,755.         23       Insurance       12,503.       9,702.       2,801.         24       Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)       79,282.       79,282.         amEMBERSHIP DIRECT EXPENSES       79,282.       79,282.       1,059,237.         bPUBLICATIONS       1,059,237.       1,059,237.       27,55.         cAWARDS EXPENSES       57,468.       57,468.       27,59.         cAWARDS EXPENSES       27,549.       27,59.       27,59.         25       Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26       Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶       if       17,5		(									
20       Interest       0         21       Payments to affiliates.       0         22       Depreciation, depletion, and amortization       250,755.       250,755.         23       Insurance       12,503.       9,702.       2,801.         24       Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25. column (A) amount, list line 24e expenses on Schedule O.)       79,282.       79,282.         3       MEMBERSHIP_DIRECT_EXPENSES       79,282.       79,282.         4       Other expenses.       1,059,237.       1,059,237.         5       7,468.       57,468.       27,549.         4       Other expenses.       27,549.       27,5         25       Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26       Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <b>&gt;</b> if       if		1.001.880.	1.001.880.								
21       Payments to affiliates,											
22       Depreciation, depletion, and amortization       250,755.       250,755.         23       Insurance       12,503.       9,702.       2,801.         24       Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25. column (A) amount, list line 24e expenses on Schedule O.)       3       8       79,282.       79,282.       79,282.         3       BEMBERSHIP DIRECT EXPENSES       79,282.       79,282.       1,059,237.       1,059,237.         5       BPUBLICATIONS       1,059,237.       1,059,237.       27,5         cAWARDS EXPENSES       57,468.       57,468.       27,5         dCONSTITUENT SERVICES EXPENSE       193,314.       193,314.       27,5         25       Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26       Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here if if       if       10       10       10       10       10       10       10       12       10       10       10       10       10       10       10       10       10       10       10       10       10       10       10		(	1								
23       Insurance       12,503.       9,702.       2,801.         24       Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)       1		250,755.	250,755.								
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) <ul> <li>aMEMBERSHIP_DIRECT_EXPENSES</li> <li>bPUBLICATIONS</li> <li>cAWARDS_EXPENSES</li> <li>dCONSTITUENT_SERVICES_EXPENSE</li> <li>314.</li> <li>193, 314.</li> <li>193, 314.</li> <li>193, 314.</li> <li>27, 549.</li> <li>27, 55.</li> <li>26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here if if</li> </ul>		12,503.	9,702.	2,801.							
line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)											
(A) amount, list line 24e expenses on Schedule 0.)       Image: constraint of the structure of the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ if	above (List miscellaneous expenses in line 24e. If										
a       MEMBERSHIP DIRECT EXPENSES       79,282.       79,282.         b       PUBLICATIONS       1,059,237.       1,059,237.         c       AWARDS EXPENSES       57,468.       57,468.         d       CONSTITUENT SERVICES EXPENSE       193,314.       193,314.         e       All other expenses       27,549.       27,5         25       Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26       Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶       if       if	line 24e amount exceeds 10% of line 25, column										
b       PUBLICATIONS       1,059,237.       1,059,237.         cAWARDS_EXPENSES       57,468.       57,468.         dCONSTITUENT_SERVICES_EXPENSE       193,314.       193,314.         e All other expenses       27,549.       27,5         25 Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ if       if											
cAWARDS EXPENSES       57,468.       57,468.         dCONSTITUENT SERVICES EXPENSE       193,314.       193,314.         e All other expenses       27,549.       27,5         25 Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶       if       if											
dCONSTITUENT_SERVICES_EXPENSE       193,314.       193,314.         e All other expenses       27,549.       27,5         25 Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶       if       if		the second design of the secon									
e All other expenses       27,549.       27,5         25 Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ if       if		Internet in an annual state of the second state of									
25 Total functional expenses. Add lines 1 through 24e       5,752,049.       4,801,312.       923,188.       27,5         26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ if       if	dCONSTITUENT SERVICES EXPENSE										
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ if					27,549.						
organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here  if		5,752,049	4,801,312	. 923,188	. 27,549.						
following SOP 98-2 (ASC 958-720)	organization reported in column (B) joint costs from a combined educational campaign_and										

JSA 3E1052 1.000

art X				Page 11
	Check if Schedule O contains a response or note to any line in this Pa	rt X		X
		<b>(A)</b> Beginning of year		<b>(B)</b> End of year
1	Cash - non-interest-bearing	1,153,409.	1	1,029,785.
2	Savings and temporary cash investments	617,345.	2	1,049,005.
3	Pledges and grants receivable, net	17,575.	3	17,575.
4	Accounts receivable, net	148,985.	4	42,616.
5	Loans and other receivables from current and former officers, directors,			
	trustees, key employees, and highest compensated employees.			
	Complete Part II of Schedule L	d	5	(
6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	Q	6	(
5 7	Notes and loans receivable, net	C	7	(
7 7 8	Inventories for sale or use	0	8	(
9	Prepaid expenses and deferred charges	81,132.	9	221,313
10 a	Land, buildings, and equipment: cost or			
	other basis. Complete Part VI of Schedule D 10a 5,007,611.			
ł	Less: accumulated depreciation <b>10b</b> 603,058.	4,489,657.	10c	4,404,553
11	Investments - publicly traded securities ATCH 5	7,503,166.	11	8,838,076
12	Investments - other securities. See Part V, line 11	567,593.	12	513,714
13	Investments - program-related. See Part N, line 11	С	13	
14	Intangible assets	243,873.	14	
15	Other assets. See Part N, line 11	848,494.	15	797,214
16	Total assets. Add lines 1 through 15 (must equal line 34)	15,671,229.	16	16,913,851
17	Accounts payable and accrued expenses	314,030.	17	357,462
18	Grants payable	C	18	
19	Deferred revenue	1,290,501.	19	1,600,829
20	Tax-exempt bond liabilities	(	20	
ဖ္မ 21	Escrow or custodial account liability. Complete Part IV of Schedule D	717,910.	21	630,284
21 22 22 22	Loans and other payables to current and former officers, directors,			
ap	trustees, key employees, highest compensated employees, and			
]	disqualified persons. Complete Part II of Schedule L	(	22	
23	Secured mortgages and notes payable to unrelated third parties		23	
24	Unsecured notes and loans payable to unrelated third parties		24	
25	Other liabilities (including federal income tax, payables to related third		1	
	parties, and other liabilities not included on lines 17-24). Complete Part X			
	of Schedule D	146,015.		150,229
26	Total liabilities. Add lines 17 through 25	2,468,456	- 26	2,738,804
es	Organizations that follow SFAS 117 (ASC 958), check here  X and complete lines 27 through 29, and lines 33 and 34.			
u 27	Unrestricted net assets	11,950,633	. 27	12,875,468
28	Temporarily restricted net assets			424,196
29	Permanently restricted net assets	841,513		875,383
Net Assets or Fund Balances222222333343435555657565757585758575758575857585 </td <td>Organizations that do not follow SFAS 117 (ASC 958), check here  and complete lines 30 through 34.</td> <td></td> <td></td> <td></td>	Organizations that do not follow SFAS 117 (ASC 958), check here  and complete lines 30 through 34.			
<u>عام 30</u>	Capital stock or trust principal, or current funds	ture existence i	30	and the second
8 31	Paid-in or capital surplus, or land, building, or equipment fund		31	
¥ 32	Retained earnings, endowment, accumulated income, or other funds		32	
50 Net	Total net assets or fund balances	13,202,773		14,175,047
34	Total liabilities and net assets/fund balances.	15,671,229		16,913,851

Form 990 (2013)

THE ELECTROCHEMICAL SOCIETY, INC.

13-1771269

Part XI       Reconciliation of Net Assets         Check if Schedule O contains a response or note to any line in this Part XI       1         1       Total expenses (must equal Part VIII, column (A), line 12)       1       6, 430, 072.         2       Total expenses (must equal Part VIII, column (A), line 25)       2       5, 752, 049.         3       678, 022.       3       678, 022.       3         4       13, 202. 773.       5       Net unrealized gains (losses) on investments       5       294, 251.         6       0       0       1       10, 277.3.       5       Net unrealized gains (losses) on investments       5       294, 251.         6       0       0       1       10, 294.       13, 202.       7       0         7       0       0       10       14, 175, 047.       10       14, 175, 047.         8       0       0       0       0       14, 175, 047.       10       14, 175, 047.         9       Other changes in net assets or fund balances (explain in Schedule O)       9       0       14, 175, 047.         10       Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part XII       10       14, 175, 047.         10       Net dischedule O contains a response or note to any		90 (2013)				Pag	e 12
1       Total revenue (must equal Part VIII, column (A), line 12)       1       6, 430, 072.         2       Total expenses (must equal Part IX, column (A), line 25)       2       5, 752, 049.         3       678, 023.       4       13, 202, 773.         4       13, 202, 773.       5       Net unrealized gains (losses) on investments       6       0         1       0.01444       13, 202, 773.       5       294, 251.       6       0         1       0.01444       seeses on line of facilities       7       0       0         2       0.01444       seeses on line of facilities       7       0       0         3       0.01444       seeses on line lines 3 through 9 (must equal Part X, line 33, column (B))       9       0         3       column tassets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))       14, 175, 047.         PartXUI       Financial Statements and Reporting       10       14, 175, 047.         4       Accounting method used to prepare the Form 990.       Cash       X Accrual       Other       10         1       Accounting method used to prepare the Form 990.       Cash       X Accrual       Other       2a       X         1       the organization's financial statemen	Part						
1       Total revenue (must equal Part VIII, column (A), line 12)       1       6, 430, 072.         2       Total expenses (must equal Part IX, column (A), line 25)       2       5, 752, 049.         3       678, 023.       4       13, 202, 773.         4       13, 202, 773.       5       Net unrealized gains (losses) on investments       6       0         1       0.01444       13, 202, 773.       5       294, 251.       6       0         1       0.01444       seeses on line of facilities       7       0       0         2       0.01444       seeses on line of facilities       7       0       0         3       0.01444       seeses on line lines 3 through 9 (must equal Part X, line 33, column (B))       9       0         3       column tassets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))       14, 175, 047.         PartXUI       Financial Statements and Reporting       10       14, 175, 047.         4       Accounting method used to prepare the Form 990.       Cash       X Accrual       Other       10         1       Accounting method used to prepare the Form 990.       Cash       X Accrual       Other       2a       X         1       the organization's financial statemen		Check if Schedule O contains a response or note to any line in this Part XI					
3       Revenue less expenses. Subtract line 2 from line 1	1				6,4	30,0	
4       Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))       4       13, 202, 773.         5       Net unrealized gains (losses) on investments       5       294, 251.         6       0       0         7       0         8       0         9       0         10       Net assets or fund balances (explain in Schedule 0)       7         10       Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))       9         10       Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))       14, 175, 047.         Year Check if Schedule 0 contains a response or note to any line in this Part XII         Year Note:         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other	2	Total expenses (must equal Part IX, column (A), line 25)	2				
5       Net unrealized gains (losses) on investments       6       294,251.         6       0       0         7       0       0         8       0       0         9       0       0         9       0       0         9       0       0         10       Net assets or fund balances (explain in Schedule 0)       9       0         10       Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))       14, 175, 047.         Part XII       Financial Statements and Reporting       14, 175, 047.         11       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other         11       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other         11       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other         11       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       2a       X         11       Mere the organization's financial statements compiled or reviewed by an independent accountart?       2a       X       2a       X         11       Separate basis       Consolidated basis, or both:       <	3	Revenue less expenses. Subtract line 2 from line 1	3				
6       Donated services and use of facilities         7       Investment expenses         8       O         9       Other changes in net assets or fund balances (explain in Schedule O)         9       Other changes in net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))         10       Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 10, 14, 175, 047.         Part XII       Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII         1       Accounting method used to prepare the Form 990: Cash X Accrual Other If the organization's financial statements compiled or reviewed by an independent accountant?       2a         1       Accounting method used to prepare the Form 990: Cash X Accrual Other If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis. Consolidated basis D both consolidated and separate basis       2a       X         1       "Yes" check a box below to indicate whether the financial statements for the year were audited on a separate basis. Consolidated basis D both consolidated and separate basis       2b       X         1       "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?       2c       X         1       "Yes" to lin	4		4	1			
7       Investment expenses       7       0         8       Prior period adjustments       8       0         9       Other changes in net assets or fund balances (explain in Schedule 0)       9       0         10       Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))       10       14, 175, 047.         Part XII       Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII       10       14, 175, 047.         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       10         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       2a       X         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       2a       X         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       2a       X         2a       Were the organization's financial statements compiled or reviewed by an independent accountart?       2a       X       2a       X         16       "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis       Both consolidated and separate basis       <	5		5		2	94,2	251.
<ul> <li>a Prior period adjustments</li> <li>b Prior period adjustments</li> <li>c Interview of the balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</li> <li>c Interview of the balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</li> <li>c Interview of the balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</li> <li>c Interview of the balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</li> <li>c Interview of the balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</li> <li>c Interview of the balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</li> <li>c Interview of the balances at end of year. Combine lines 3 through 9 (must equal Part X, line 34, 175, 047.</li> <li>Part XII Financial Statements and Reporting Check if Schedule 0 contains a response or note to any line in this Part XII</li> <li>c Interview of the addit consolidated basis, or both:</li> <li>c Interview of the addit consolidated basis, or both:</li> <li>c Interview of a reganization changed either its oversight process or selection process during the tax year, explain in Schedule 0.</li> <li>3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</li> <li>b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the line addit consolidated basis or selection for audits?</li> </ul>	6	Donated services and use of facilities	6				-
<ul> <li>9 Other changes in net assets or fund balances (explain in Schedule 0)</li></ul>	7						-
10       Net assets or fund balances tend of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)).       10       14, 175, 047.         Part XII       Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII       10       14, 175, 047.         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       10         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       12         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       12         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other       2a       X         1       Mere the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.       Yes       No         2a       X       Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis       Both consolidated and separate basis       2b       X         16       'Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis       Both consolidated and separate basis       2b       X         16       'Yes," to line 2a or 2b, does the organ	8						-
33. column (B))       14, 175, 047.         Part XII       Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII       Image: Check if Schedule O contains a response or note to any line in this Part XII         1       Accounting method used to prepare the Form 990:       Cash       X Accrual       Other         If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.       Yes       No         2a       Were the organization's financial statements compiled or reviewed by an independent accountant?       2a       X         If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis       Both consolidated and separate basis       2b       X         b       Were the organization's financial statements audited by an independent accountant?       2b       X         if "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis       Both consolidated and separate basis       2b       X         c       If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?       2c       X         lf the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			9				0
PartXII Financial Statements and Reporting   Check if Schedule O contains a response or note to any line in this Part XII     1   Accounting method used to prepare the Form 990:   Cash   X   Accrual   Other        If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.      2a   Vere the organization's financial statements compiled or reviewed by an independent accountant?   If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis   Device the organization's financial statements audited by an independent accountant?   If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis   Device the organization's financial statements audited by an independent accountant?   If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, or both:   If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, or both:   Separate basis   X   Consolidated basis   Detter the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.   3a   As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?   b   If "Yes," did the organization undergo the required audit or audits	10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line					
Check if Schedule O contains a response or note to any line in this Part XII       Image: Schedule O contains a response or note to any line in this Part XII         1       Accounting method used to prepare the Form 990: Cash Accrual Other       Other         If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.       Yes No         2a       Were the organization's financial statements compiled or reviewed by an independent accountant?       2a       X         If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis. consolidated basis Both consolidated and separate basis       2b       X         If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis. consolidated basis Both consolidated and separate basis       2b       X         If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis Both consolidated and separate basis       2b       X         If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountart?       2c       X         If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.       3a       As a result of a federal award, was the organization required to un		33, column (B))	10		.4,1	75,0	)47.
1       Accounting method used to prepare the Form 990:       Cash       X       Accrual       Other       Ves       No         If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.       2a       Vere the organization's financial statements compiled or reviewed by an independent accountant?       2a       X         If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both.       Separate basis       Consolidated basis, or both.       2b       X         If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both.       Separate basis       Consolidated basis       Both consolidated and separate basis       2b       X         If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both.       2b       X         Separate basis       X       Consolidated basis       Both consolidated and separate basis       2b       X         If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?       2c       X         If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.       3a	Part						r
<ul> <li>Accounting method used to prepare the Form 990: Cash X Accrual Other</li></ul>			• • •	• • • •	•••		
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<ul> <li>separate basis, consolidated basis, or both:</li> <li>Separate basis</li> <li>Consolidated basis</li> <li>Both consolidated and separate basis</li> <li>If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.</li> <li>3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</li> <li>b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the</li> </ul>	a	If "Very " aback a back below to indicate whether the financial statements (i.e. the			40		
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Form 990 (2013)

# Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.
Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990. Open to Public Department of the Treasury Internal Revenue Service Inspection Name of the organization Employer identification number THE ELECTROCHEMICAL SOCIETY, INC. 13-1771269 Reason for Public Charity Status (All organizations must complete this part.) See instructions. Part The organization is not a private foundation because it is: (For lines 1 through 11, check only one box) 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public 7 described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) Χ 9 An organization that normally receives: (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 10 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h. Type I **b** Type II **c** Type III-Functionally integrated а d Type III-Non-functionally integrated e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disgualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box Since August 17, 2006, has the organization accepted any gift or contribution from any of the g following persons? (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and No Yes (iii) below, the governing body of the supported organization? 11g(i) (ii) A family member of a person described in (i) above? 11g(ii) (iii) A 35% controlled entity of a person described in (i) or (ii) above? 11g(iii) Provide the following information about the supported organization(s) h (i) Name of supported (ii) EIN (iii) Type of organization (iv) Is the (v) Did you notify (vi) Is the (vii) Amount of monetary organization (described on lines 1-9 organization in the organization organization in support col. (i) listed in above or IRC section in col. (i) of your col. (i) organized your governing (see instructions)) in the U.S.? support? document? Yes Yes No Yes No No (A) (B) (C) (D) (E) Total

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

OMB No. 1545-0047

### Schedule A (Form 990 or 990-EZ) 2013

Page 2 Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sect	ion A. Public Support						
Caler	idar year (or fiscal year beginning in) 🕨 🕨	<b>(a)</b> 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3	The value of services or facilities furnished by a governmental unit to the organization without charge						
4	Total. Add lines 1 through 3						
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6	Public support. Subtract line 5 from line 4.						
	tion B. Total Support	Г		T	r	т — т	
Caler	ndar year (or fiscal year beginning in) 🕨 🕨	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 8	Amounts from line 4						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11	Total support. Add lines 7 through 10						
12	Gross receipts from related activities, etc.					12	
13	First five years. If the Form 990 is organization, check this box and stop here	<u> </u>	<u></u>	nd, third, fourth,	or fifth tax ye	ear as a section	501(c)(3) ▶
Sec	tion C. Computation of Public Sup		- ¥				
14	Public support percentage for 2013 (						%
15	Public support percentage from 2012	Schedule A, Pa	art II, line 14	• • • <i>•</i> • • • • •		15	%
16a	331/3% support test - 2013. If the						
	this box and <b>stop here</b> . The organizat						
a	331/3% support test - 2012. If the						
170	check this box and stop here. The org 10%-facts-and-circumstances test -						
1/4	10% or more, and if the organizatio						
	Part IV how the organization meets	the "facts-and-	circumstances"	test The organ	ization qualifier	and stop here. I	
	organization						
b	10%-facts-and-circumstances test -						and line
-	15 is 10% or more, and if the org						
	Explain in Part IV how the organiza						•
	supported organization				*		
18	Private foundation. If the organizatio	n did not check	a box on line 1	3, 16a, 16b, 17a	a, or 17b, chec	k this box and se	e
	instructions		<u></u>	<u>· · · · · · · · · · · · · · · · · · · </u>	<u></u>	• • • • • • • • •	· · · · F L

Schedule A (Form 990 or 990-EZ) 2013

THE PRECINCERENCE SOCIETI' INC	THE	ELECTROCHEMICAL	SOCIETY,	INC.
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Page 3

Schedule	A (Form	990 or	990-EZ)	2013
				Contractor and the second s

 Support Schedule for Organizations Described in Section 509(a)(2)

 (Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.

 If the organization fails to qualify under the tests listed below, please complete Part II.)

Sec	tion A. Public Support						
Caler	ndar year (or fiscal year beginning in) 🕨	(a) 2009	<b>(b)</b> 2010	(c) 2011	(d) 2012	<b>(e)</b> 2013	(f) Total
1	Gifts, grants, contributions, and membership fees						
	received. (Do not include any "unusual grants.")	798,885.	761,688.	897,382.	735,974.	611,422.	3,805,351.
2	Gross receipts from admissions, merchandise						
	sold or services performed, or facilities						
	furnished in any activity that is related to the						
	organization's tax-exempt purpose	2,241,386.	5,070,105.	5,180,180.	5,347,036.	4,796,181.	22,634,888.
3	Gross receipts from activities that are not an						
	unrelated trade or business under section 513	2,918,367.					2,918,367.
4	Tax revenues levied for the						
	organization's benefit and either paid						
	to or expended on its behalf						0
5	The value of services or facilities						
	furnished by a governmental unit to the						
	organization without charge						0
6	Total. Add lines 1 through 5	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603.	29,358,606.
7a	Amounts included on lines 1, 2, and 3			· · · · · · · · · · · · · · · · · · ·			
	received from disgualified persons			143,528.			143,528.
b	Amounts included on lines 2 and 3						
	received from other than disqualified persons that exceed the greater of \$5,000						
	or 1% of the amount on line 13 for the year						0
с	Add lines 7a and 7b			143,528.			143,528.
8	Public support (Subtract line 7c from						1.070001
	line 6.)						29,215,078.
Sec	tion B. Total Support		لتهنئ ومستوار ترويته وتستعم ومسا		Langer and the state		1 23/213/0/07
	ndar year (or fiscal year beginning in) 🕨	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9	Amounts from line 6	5,958,638.	5,831,793.	6,077,562.	6,083,010.	5,407,603	+
10 a	Gross income from interest, dividends,						1
	payments received on securities loans,						
	rents, royalties and income from similar sources	840,416.	885,153.	784,434.	781,112.	1,487,998	4,779,113.
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after June 30, 1975						0
с	Add lines 10a and 10b	840,416.	885,153.	784,434.	781,112.	1,487,998	. 4,779,113.
11	Net income from unrelated business		· · · ·			,	
	activities not included in line 10b,						
	whether or not the business is regularly carried on						
12	Other income. Do not include gain or					······	
•	loss from the sale of capital assets						
	(Explain in Part IV.) ATCH 1	3,944.	147,588.	26,515.	15,564.	14,960	. 208,571.
13	Total support. (Add lines 9, 10c, 11,						1
	and 12.)	6,802,998	6,864,534.	6,888,511.	6,879,686	6,910,561	. 34,346,290.
14	First five years. If the Form 990 is for		And the second s				
	organization, check this box and stop here						
Sec	tion C. Computation of Public Sup						in in in in its second
15	Public support percentage for 2013 (line 8			mn (f))		15	85.06%
16	Public support percentage from 2012 Sche					16	86.02%
Sec	tion D. Computation of Investme			and the second			/0
17	Investment income percentage for 2013 (li			13 column (f))		17	13.91%
18	Investment income percentage from 2012					18	12.97%
	331/3% support tests - 2013. If the or						
	17 is not more than 331/3%, check th						
h	331/3% support tests - 2012. If the org-						
~	line 18 is not more than 331/3%, check						
20	Private foundation. If the organization						
JSA		not oncort					990 or 990-EZ) 2013
3E122	21 1.000					. (. 2000	,

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Schedule A (Form 990 or 990-EZ) 2013

**Part IV** Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

				ATT	ACHMENT 1							
SCHEDULE A, PART III	SCHEDULE A, PART III - OTHER INCOME											
DESCRIPTION	2009	2010	2011	2012	2013	TOTAL						
MISCELLANEOUS INCOME	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.						
TOTALS	3,944.	147,588.	26,515.	15,564.	14,960.	208,571.						

SCHEDULE C (Form 990 or 990-EZ)		Political Campaign a	nd Lobbying	Activities	OMB No. 1545-0047
Department of the Treasury Internal Revenue Service	Compl	ganizations Exempt From Income lete if the organization is described be eparate instructions. ► Informatio instruction	elow. ► Attach to on about Schedule C	o Form 990 or Form 990-E (Form 990 or 990-EZ) and i	Z.
	red "Yes," t	o Form 990, Part IV, line 3, or Form 9			s), then
		Complete Parts I-A and B. Do not comple			-,,
		501(c)(3)) organizations: Complete Pa		o not complete Part I-B.	
<ul> <li>Section 527 organization</li> </ul>				·	
If the organization answe	red "Yes," t	o Form 990, Part IV, line 4, or Form 9	90-EZ, Part VI, line 47	(Lobbying Activities), then	
<ul> <li>Section 501(c)(3) org</li> </ul>	anizations th	nat have filed Form 5768 (election und	er section 501(h)): Cor	nplete Part II-A. Do not comp	lete Part II-B.
		nat have NOT filed Form 5768 (election			
If the organization answe	red "Yes," t	o Form 990, Part IV, line 5 (Proxy Ta	() or Form 990-EZ, Par	t V, line 35c (Proxy Tax), the	en
	), or (6) orgar	nizations: Complete Part III.			
Name of organization				Employer identifi	cation number
THE ELECTROCHEMI				13-177	
		ganization is exempt under s			ization.
		organization's direct and indirect p			
2 Political expenditu	res				
3 Volunteer hours.				· · · · · · · · · <u> </u>	
Part I-B Complet	e if the or	rganization is exempt under s	ection 501(c)(3).		
1 Enter the amount	of any exci	se tax incurred by the organizatior	under section 495	5 ▶ \$	·····
2 Enter the amount	of any exci	se tax incurred by organization ma	anagers under section	on 4955 🕨 \$	
3 If the organization	incurred a	section 4955 tax, did it file Form 4	4720 for this year?.		Yes No
					Yes No
b If "Yes," describe i					
		rganization is exempt under s			
1 Enter the amount activities	directly ex	pended by the filing organization	for section 527 ex	<pre>kempt function     ▶\$</pre>	
2 Enter the amount 527 exempt funct	of the filing	g organization's funds contributed	to other organizati	ons for section ▶\$	
line 17b	• • • • • •	nditures. Add lines 1 and 2. Ent		· · · · · · ▶\$	
<ul> <li>4 Did the filing organization</li> <li>5 Enter the names, organization made the amount of po</li> </ul>	nization file addresses e payments litical contr	Form 1120-POL for this year? and employer identification numbers. For each organization listed, environmentations received that were promed or a political action committee (Figure 1).	er (EIN) of all section ter the amount paid ptly and directly de	on 527 political organiza from the filing organiz livered to a separate po	Yes No ations to which the filing ation's funds. Also enter litical organization, such
(a) Name		<b>(b)</b> Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
For Paperwork Reduction	n Act Notice	e, see the Instructions for Form 990 or	r 990-EZ.	Schedul	e C (Form 990 or 990-EZ) 2013

Pa	art II-A Complete if the organization section 501(h)).	on is exempt under section 501(c)(3) and	filed Form 5768 (elec	tion under
Α	Check ► if the filing organization name, address, EIN, expe	belongs to an affiliated group (and list in Pa enses, and share of excess lobbying expend	irt IV each affiliated gro litures).	oup member's
В	Check ► if the filing organization	checked box A and "limited control" provision	ons apply.	
	Limits on Lobb	ying Expenditures	(a) Filing	(b) Affiliated
		eans amounts paid or incurred.)	organization's totals	group totals
1a	<ul> <li>Total lobbying expenditures to influence</li> </ul>	e public opinion (grass roots lobbying)		
Ł	<ul> <li>Total lobbying expenditures to influence</li> </ul>	e a legislative body (direct lobbying)		
C	<ul> <li>Total lobbying expenditures (add lines</li> </ul>	1a and 1b)		
c	Other exempt purpose expenditures			
e	<ul> <li>Total exempt purpose expenditures (a</li> </ul>	dd lines 1c and 1d)		
f		he amount from the following table in both		
	columns.			·····
	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:		
	Not over \$500,000	20% of the amount on line 1e.		
	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.		
	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.		
		\$225,000 plus 5% of the excess over \$1,500,000.		
	Over \$17,000,000	\$1,000,000.		
ç	Grassroots nontaxable amount (enter	25% of line 1f)		
ł	Subtract line 1g from line 1a. If zero or	· less, enter -0		
i	Subtract line 1f from line 1c. If zero or	less, enter -0		
j		o on either line 1h or line 1i, did the organiz		[]
	reporting section 4911 tax for this yea	<u> ? </u>	<u> </u>	Yes No
		4-Year Averaging Period Under Section 501(h)		

### (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

		Lobbying Exper	nditures During 4-Ye	ear Averaging Period		
	Calendar year (or fiscal year beginning in)	<b>(a)</b> 2010	<b>(b)</b> 2011	(c) 2012	<b>(d)</b> 2013	(e) Total
2a	Lobbying nontaxable amount					
b	Lobbying ceiling amount (150% of line 2a, column (e))					
c	Total lobbying expenditures					
d	Grassroots nontaxable amount					
е	Grassroots ceiling amount (150% of line 2d, column (e))					
f	Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2013

Page 3

Schedule C (Form 990 or 990-EZ) 2013

Part II-B	Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768
	(election under section 501(h)).

		(	a)		(b	)	
	each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed cription of the lobbying activity.	Yes	No		Amo		
1 a	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: Volunteers?	x					
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X				
с	Media advertisements?		X	1920244935			
d	Mailings to members, legislators, or the public?		X				
е	Publications, or published or broadcast statements?		X				
f	Grants to other organizations for lobbying purposes?		X				
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	X	ļ	ļ			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X				
i :	Other activities?		X	<u> </u>			<u> </u>
j 2a	Total. Add lines 1c through 1i Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	1968-1948	a sa citazo		1.265
b	If "Voc " optor the amount of any tax incurred under eaching 4040	1259253		14994999			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912	•					
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	099.00.39					
Pa	rt III-A Complete if the organization is exempt under section 501(c)(4), section 50 501(c)(6).		), or :	sectio	n		
						Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?				1		ļ
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?				2		ļ
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?			<u></u>			
r a	rt III-B Complete if the organization is exempt under section 501(c)(4), section 50 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No, answered "Yes."	1(c)(5 " OR	), or : (b) Pa	sectio art III-/	n 4, line	9 3, is	
1	Dues, assessments and similar amounts from members			1			
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amo	 Sunts		102.2			*
	political expenses for which the section 527(f) tax was paid).	Junto	0.				
а	Current year			2a			
b	Carryover from last year		• • •	2b			
С	Total			2c			
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) d			3			
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion						
	excess does the organization agree to carryover to the reasonable estimate of nondeductible	lobby	ing		i		
F	and political expenditure next year?			4			
5	Taxable amount of lobbying and political expenditures (see instructions)         Supplemental Information	<u></u>	<u>···</u>	5			
Prov	vide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated : II-B, line 1. Also, complete this part for any additional information.						t
PAI	RT II-B, LINE 1A, 1G						
AT.I	L ACTIVITY IS VOLUNTEER BASED WITH NO ASSOCIATED EXPENSE.						
	A REFERRE						
				· <b></b>			

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Schedule C (Form 990 or 990-EZ) 2013

Part IV Supplemental Information (continued)

V 13-4.9F

SCHEE	DULE	D
(Form	990)	

# Supplemental Financial Statements Complete if the organization answered "Yes," to Form 990,

Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

2 3 **Open to Public** 

OMB No. 1545-0047

	rtment of the Treasury		Attach to Form 990.			Open to Public
	of the organization	Information about Schedule	e D (Form 990) and its inst	ructions is at		Inspection
	-	TONI COSTEMN THO			Employer identific	
		ICAL SOCIETY, INC.			13-1771:	269
Par		ons Maintaining Donor Advis if the organization answered "	Yes" to Form 990 Par	milar Fund	s or Accounts.	
		i die organization anowered	(a) Donor advise			d other accounts
1	Total number at e	nd of year				
2		outions to (during year)		· · · · · · · · · · · · · · · · · · ·		
3		from (during year)				
4		at end of year.				
5		ion inform all donors and donor	L	the ceeste h		
Ŭ		anization's property, subject to the				Yes No
6	Did the organization	on inform all grantees, donors, a	nd donor advisors in write	ting that are	nor funde can be used	. Yes No
•		e purposes and not for the benef				
		nissible private benefit?				. Yes No
Par	t II Conservati	on Easements. Complete if t	the organization answe	ered "Yes"	to Form 990 Part IV	
1	Purpose(s) of con	nservation easements held by the	e organization (check all t	hat apply).		
		n of land for public use (e.g., recr			ation of an historically i	mportant land area
	Protection o	f natural habitat	,		ation of a certified histo	
	Preservation	n of open space				
2	Complete lines 2a	a through 2d if the organization h	neld a qualified conserva	tion contribu	ution in the form of a co	Inservation
	easement on the	last day of the tax year.				
						ne End of the Tax Year
а	Total number of c	conservation easements			<u>2</u> a	
b	Total acreage res	tricted by conservation easement	ts		<u>2b</u>	
С		rvation easements on a certified				
d		rvation easements included in (c				
		listed in the National Register				
3	Number of conse	rvation easements modified, trai	nsferred, released, extir	guished, or	terminated by the organ	ization during the
4		where property subject to cons				
5	Does the organiza	ation have a written policy regard	ding the periodic monito	ring, inspect	tion, handling of	
	violations, and en	forcement of the conservation e	asements it holds?			. Yes No
6	Staff and voluntee	er hours devoted to monitoring, i	inspecting, and enforcing	g conservati	ion easements during th	e year
-		·				
7		ses incurred in monitoring, inspe	ecting, and enforcing cor	iservation ea	asements during the yea	ar
	►\$					
8		ervation easement reported on lin				
9	In Port VIII. docor	O(h)(4)(B)(ii)?	• • • • • • • • • • • • • • • •			. Yes No
5	halance sheet ar	ibe how the organization reports nd include, if applicable, the text	of the footnote to the or	ts in its reve	nue and expense statem	ient, and
	organization's acc	counting for conservation easem	ents	yanization s		at describes the
Pa		ations Maintaining Collection		easures of	r Other Similar Asset	te
	Complete	e if the organization answered	d "Yes" to Form 990, F	Part IV, line	8.	
1a						ont and balance shoot
• •	works of art, his	n elected, as permitted under S storical treasures, or other simi ovide, in Part XIII, the text of the	lar assets held for pub	lic exhibitio	on, education, or resea	arch in furtherance of
	public service, pro	ovide, in Part XIII, the text of the	footnote to its financial s	statements t	hat describes these iten	ns.
b	If the organization	on elected, as permitted under	SFAS 116 (ASC 958),	to report i	in its revenue stateme	nt and balance sheet
	public service, pro	storical treasures, or other simi ovide the following amounts rela	iting to these items:		in, education, of resea	arch in turtherance of
		luded in Form 990, Part VIII, line			•	\$
		ed in Form 990, Part X				
2		on received or held works of a				
-		ts required to be reported under				olar gain, provide the
а	Revenues include	ed in Form 990, Part VIII, line 1			•••••••••••••••	\$
b	Assets included in	n Form 990, Part X	· · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · ·	Ψ \$
For F	Paperwork Reductio	on Act Notice, see the Instructions f	or Form 990.			chedule D (Form 990) 2013

THE	ELECTROCHEMICAL	SOCIETY,	INC.
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CONTRACTOR OF THE OWNER.	ule D (Form 990) 2013								Pa	age <b>2</b>
Par	t III Organizations Maintainin	g Collections of	Art, Histor	ical Tr	easures, c	or Othe	r Similar Asse	t <mark>s</mark> (con	tinue	d)
3	Using the organization's acquisitio collection items (check all that appl	n, accession, and o y):	ther records,	, check	any of the	followin	g that are a sigr	iificant i	use of	f its
а	Public exhibition		d	Loan or	exchange	orograms	;			
b	Scholarly research		e Other							
с	Preservation for future gener	ations	i	-						
4	Provide a description of the organ		and explain	how th	ey further	the orga	nization's exemp	t purpos	se in '	Part
	XIII.				,	5	•			
5	During the year, did the organizatio	n solicit or receive d	onations of a	rt. histo	rical treasur	es. or ot	ner similar			
	assets to be sold to raise funds rath	er than to be mainta	ined as part o	of the or	ganization's	s collecti	on?	Yes	Γ	No
Par	t IV Escrow and Custodial Ar or reported an amount or	rangements. Com	plete if the	organiz	zation ansv	vered "\	es" to Form 99			ie 9,
1a	Is the organization an agent, truste									
	included on Form 990, Part X?						[	Yes	X	No
b	If "Yes," explain the arrangement in	Part XIII and comple	ete the follow	ing tabl	e:				Language	1
							Amount			
С	Beginning balance									
d	Additions during the year									
е	Distributions during the year									
f	Ending balance									
2a	Did the organization include an am	ount on Form 990, I	Part X, line 21	?				X Yes		No
b	If "Yes," explain the arrangement in	Part XIII. Check hei	e if the expla	ination h	has been pr	ovided in	Part XIII	• • • •		
Par	tV Endowment Funds. Com	plete if the organi	zation answ	ered "Y	es" to For	m 990,	Part IV, line 10.			
		(a) Current year	(b) Prior y		(c) Two year		(d) Three years back	(e) Fou	r years	back
1a	Beginning of year balance	7,217,373.	7,171,	757.	6,462,		6,019,723.	5,	872,	894
b	Contributions	336,420.	19,	643.	631,	819.	512,135.		61,	095
С	Net investment earnings, gains,									
	and losses	255,082.		.297.	144,	,246.	37,637.		165,	008
d	Grants or scholarships	13,813.	62,	.287.	44,	,459.	106,800.		79,	,274
е	Other expenditures for facilities									
	and programs	41,574.	100,	,037.	22,	,403.	141.			
f	Administrative expenses									
g	End of year balance						6,462,554.	6,	019,	,723
2	Provide the estimated percentage			line 1g,	column (a))	held as:	*****************			
а	Board designated or quasi-endowr		)%							
b	Permanent endowment  11.3									
С	Temporarily restricted endowment	· ·								
	The percentages in lines 2a, 2b, and									
3a	Are there endowment funds not in	the possession of the	he organizati	on that a	are held and	d adminis	stered for the			
	organization by:							_	Yes	No
	(i) unrelated organizations							3a(i)		X
	(ii) related organizations							3a(ii)		X
b	If "Yes" to 3a(ii), are the related or							3b		
4	Describe in Part XIII the intended u		ion's endown	nent fun	nds.					
Pai	t VI Land, Buildings, and Equ Complete if the organization	ipment. Informanswered "Ve	s" to Form	aan Da	art IV line :	110 50	Eorm 000 Po	rt V lin	- 10	
	Description of property				r other basis	(c) Accu		(d) Book v		
		(inves	stment)	) (ot	ther)	depre				
1a	Land	and the second sec	252,819.		50,608.				503 <b>,</b> 4	
b	Buildings		703,443.	7	00,741.	60	3,058.	2,8	301,1	126.
c	Leasehold improvements	and the second s								
d	Equipment									
	Other									
Tota	I. Add lines 1a through 1e. (Columi	n (d) must equal Fon	m 990, Part X	, colum	n (B), line 10	)(C).)	>	4,4	104,5	553.

Schedule D (Form 990) 2013 .

Schedule D (F			Pagi
Part VII	Investments - Other Securities. Complete if the organization answered	l "Yes" to Form 990	), Part IV, line 11b. See Form 990, Part X, line 12.
	(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financia	al derivatives		
(2) Closely-	held equity interests		
(3) Other			
<u>(A)</u>			
<u>(B)</u>			
<u>(C)</u>			
(D)			
(E)			
(F)			
<u>(G)</u>			
(H)			
	n (b) must equal Form 990, Part X, col. (B) line 12.)	L	
Part VIII		d "Yes" to Form 990	0, Part IV, line 11c. See Form 990, Part X, line 13.
	(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
	n (b) must equal Form 990, Part X, col. (B) line 13.)		
Part IX	Other Assets.	d "Voc" to Earm 00(	0, Part IV, line 11d. See Form 990, Part X, line 15.
(1)	(a	) Description	(b) Book value
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
	umn (b) must equal Form 990, Part X, col. (B)	line 15.)	· · · · · · · · · · · · · · · · · · ·
Part X	Other Liabilities. Complete if the organization answere line 25.	d "Yes" to Form 99	0, Part IV, line 11e or 11f. See Form 990, Part X,
1.	(a) Description of liability	(b) Book va	lue -
	ral income taxes		
	RITY DEPOSITS		,900.
	RRED COMPENSATION	and the second	,329.
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Colur	nn (b) must equal Form 990, Part X, col. (B) line 25.	) 🕨 150	,229.
2. Liability for	or uncertain tax positions. In Part XIII, provide the	e text of the footnote to	the organization's financial statements that reports the
organization	's liability for uncertain tax positions under FIN 4	8 (ASC 740). Check he	ere if the text of the footnote has been provided in Part XIII

THE ELECTROCHEMICAL SOCIETY, INC.	THE	ELECTROCHEMICAL	SOCIETY,	INC.
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distant in the second second	e D (Form 990) 2013		Page 4
Part		n.	
	Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.	<b>.</b>	
1	Total revenue, gains, and other support per audited financial statements	1	7,204,812.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments 2a 294,251		
b	Donated services and use of facilities 2b		
C	Recoveries of prior year grants		
d	Other (Describe in Part XIII.) 2d		004 074
e	Add lines 2a through 2d	2e	294,251.
3	Subtract line 2e from line 1	3	6,910,561.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a h	Investment expenses not included on Form 990, Part VIII, line 7b 4a Other (Describe in Part XIII.) 4b -480, 489		
b		1 1	400 400
с 5	Add lines 4a and 4b Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	4c	-480,489.
Part			6,430,072.
Fall	XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Retu Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.	urn.	
1	Total expenses and losses per audited financial statements		6 222 520
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:	1	6,232,538.
2 a	Depeted convices and use of facilities		
b		-	
c		-	
d	Other (Describe in Part XIII)	-	
e	Add lines 2a through 2d		480,489.
3	Subtract line 2e from line 1	2e 3	5,752,049.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:	3	5,752,045.
a	Investment expenses not included on Form 990, Part VIII, line 7b 4a		
b	Other (Describe in Part XIII.)	-	
č	Add lines 4a and 4b		
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	4c 5	E 750 040
	retar expenses nad mes o and 4c. (nno must equal ronn 550, ratti, nne ro.).		
		5	5,752,049.
	XIII Supplemental Information.		
Provid	Supplemental Information. the the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. the the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line
Provid 2; Pai	Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; F rt XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional infor	art V. I	ne 4: Part X. line

Schedule D (Form 990) 2013

PART XI, LINE 4B

RENTAL EXPENSES \$480,489

PART XII, LINE 2D

RENTAL EXPENSES \$480,489

PART X

TEXT OF FOOTNOTE TO ORGANIZATION'S FINANCIAL STATEMENTS THAT REPORTS THE ORGANIZATION'S LIABILITY FOR UNCERTAIN TAX POSITIONS UNDER FIN 48 - THE SOCIETY FOLLOWS THE ACCOUNTING PRONOUNCEMENT THAT PROVIDES GUIDANCE ON UNCERTAIN TAX POSITIONS. THE SOCIETY HAD NO UNRECOGNIZED TAX BENEFITS AT DECEMBER 31, 2013 AND 2012. IN ADDITION, THE SOCIETY HAS NO INCOME TAX RELATED PENALTIES OR INTEREST FOR THE PERIODS REPORTED ON THESE FINANCIAL STATEMENTS.

PART IV, LINE 2B

BOTH CUSTODIAL ASSETS AND LIABILITIES OF \$630,284 ARE INCLUDED IN THE BALANCE SHEET. ASSETS ARE INCLUDED WITH INVESTMENTS.

PART V, LINE 4

THE SOCIETY'S ENDOWMENT FUNDS CONSIST OF SEVERAL FUNDS ESTABLISHED TO FUND AWARDS, AS WELL AS AN EDUCATIONAL ENDOWMENT FUND, PUBLICATIONS ENDOWMENT FUND AND AN ECS ENDOWMENT FUND. THE ENDOWMENT FUNDS INCLUDE BOTH DONOR-RESTRICTED FUNDS AND FUNDS DESIGNATED BY THE BOARD OF DIRECTORS TO FUNCTION AS ENDOWMENTS. THE SOCIETY HAS ADOPTED INVESTMENT POLICIES FOR ENDOWMENT ASSETS THAT ATTEMPT TO PROVIDE A PREDICTABLE

Schedule D (Form 990) 2013

Part XIII Supplemental Information (continued)

STREAM OF FUNDING TO PROGRAMS SUPPORTED BY ITS ENDOWMENT WHILE SEEKING TO

MAINTAIN THE PURCHASING POWER OF THE ENDOWMENT ASSETS.

SCHEDULE F	Statement of A	ctivities	Outside the Unit	ed States	OMB No. 1545-0047
(Form 990)			'Yes" on Form 990, Part IV, I	line 14b, 15, or 16.	2013
Department of the Treasury Internal Revenue Service	► Attach t Information about Schedu		See separate instructions. ) and its instructions is at ww		Open to Public
Name of the organization				Employer identific	
	ICAL SOCIETY, INC.			13-177126	
	nformation on Activities ( Part IV, line 14b.	Outside the L	Jnited States. Complete	if the organization answ	ered "Yes" on
assistance, the gra	Does the organization mainta antees' eligibility for the grant e?	s or assistance	e, and the selection criteria	a used to award the	X Yes No
assistance outside			-	C C	and other
3 Activities per Regi (a) Region	on. (The following Part I, line (b) Number of offices in the region	3 table can be (c) Number of employees, agents, and independent contractors in region	e duplicated if additional sp (d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	ace is needed.) (e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) EAST ASIA AND THE	5 PACIFIC		GRANTMAKING		1,000.
(2) EUROPE			GRANTMAKING		4,500.
(3) NORTH AMERICA			GRANTMAKING		1,500.
(4)					
(5)					
(6)					
(8)					
(9)					
(10)					
(11)					
<u>(12)</u>					

(13)				 
(14)				
(15)				
(16)		 		
(17)				
3a b	Sub-total Total from continuation sheets to Part I			7,000.
С	Totals (add lines 3a and 3b)			7,000.

For Paperwork Reduction Act Notice, see the Instructions for Form 990. JSA 10633X F678 V 13

Schedule F (Form 990) 2013

INC.	
SOCIETY,	
ELECTROCHEMICAL	
THE	

Page 2 schedule F (Form 990) 2013 Pert II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990,

	section and EIN (if applicable)	grant	cash grant	cash disbursement	non-cash assistance	of non-cash assistance	valuation (book, FMV, appraisal, other)
(2)							
(3)							
(4)							
(5)							
9							
<b>(1</b> )							
(6)							
(10)							
12)							
(13)							
(14)							
(15)							
(16)							

Schedule F (Form 990) 2013 3 Enter total number of other organizations or entities

INC.	
SOCIETY,	
ELECTROCHEMICAL	
THE	

Page 3 schedule F (Form 990) 2013 Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	<b>(d)</b> Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, apprisal, other)
<pre>(1) H.H. DOW MEMORIAL</pre>	EAST ASIA/PACIFIC		1,000.	CHECK			FMV
(2) DAVID C. GRAHME	NORTH AMERICA		1,500.	CHECK			EMV
(3) SRINIVASAN YOUNG INVESTIGATOR AWARD	EUROPE/ICELAND/GREENLAND	. 1	1,000.	CHECK			FMV
(4) RICHARD SMALLEY RESEARCH AWARD	EUROPE/ICELAND/GREENLAND	1.	1,000.	СНЕСК			EMV
(5) CARL WAGNER MEMORIAL AWARD	EUROPE/ICELAND/GREENLAND	* 1-1	1,000.	CHECK			FMV
(6) H.H. UHLIG CORROSION AWARD	EUROPE/ICELAND/GREENLAND	• 1-4	1,500.	CHECK			FMV
(2)							
(8)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							
						Sche	Schedule F (Form 990) 2013

JSA 3E12761.000 10633X F678

Page	4
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		Page
Foreign Forms		
Jas the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign torporation (see Instructions for Form 926)	Yes	X No
id the organization have an interest in a foreign trust during the tax year? If "Yes," the organization have be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and eccipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a S. Owner (see Instructions for Forms 3520 and 3520-A)	Yes	XNo
id the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," he organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To ertain Foreign Corporations. (see Instructions for Form 5471)	Yes	X No
Jas the organization a direct or indirect shareholder of a passive foreign investment company or a ualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing und. (see Instructions for Form 8621)	Yes	X No
id the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," ne organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain foreign Partnerships. (see Instructions for Form 8865)	Yes	x No
tid the organization have any operations in or related to any boycotting countries during the tax year? If Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713)	Yes	X No
	As the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," ie organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign orporation (see Instructions for Form 926)	As the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign orporation (see Instructions for Form 926)

Schedule F (Form 990) 2013

Page 5

#### PartV Supplemental Information Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I, LINE 2

THE ORGANIZATION GRANTS AWARDS AND FELLOWSHIPS TO RECIPIENTS BASED UPON WORK THAT HAS ALREADY BEEN PERFORMED PRIMARILY TO FURTHER THE STUDY IN THEIR FIELD. AWARD RECIPIENTS AND FELLOWS MUST BE NOMINATED USING A NOMINATION FORM. LETTERS OF ENDORSEMENT ARE REQUIRED. AWARD RECIPIENTS AND FELLOWS ARE CHOSEN BY THE HONORS AND AWARDS COMMITTEE AND APPROVED BY THE BOARD OF DIRECTORS BASED UPON MERIT.

PART 1, LINE 3

THE ORGANIZATION USES THE ACCRUAL METHOD OF ACCOUNTING.

SCHEDULE I		Grants and	other A	Other Assistance to Organizations,	o Organiza	tions, 4 States		OMB No. 1545-0047
	Comp	lete if the or	rganization ans	Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.	orm 990, Part IV,	line 21 or 22.		ZU <b>13</b> Onen to Public
Department of the Treasury Internal Revenue Service		ion about Sc	hedule I (Form	Attach to Form 390. Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.	uctions is at www	ı.irs.gov/form990.		Inspection
Name of the organization							Employer Identification number	n number
1	MICAL SOCIETY, INC.						13-1771269	
Part I General In	General Information on Grants and Assistance	Assistance						
<ol> <li>Does the organiz.</li> <li>the selection crite</li> <li>Describe in Part 1</li> </ol>	Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?	stantiate the or assistance res for monit	e amount of the ?	grants or assistan	ice, the grantees' United States.	eligibility for the grants or assistance, and		X Yes
art II	Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.	overnments t received r	and Organiza	ttions in the Unit	ed States. Com e duplicated if ac	plete if the organizaditional space is ne	ation answered "Ye eeded.	s" to Form 990,
1 (a) Name and (	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(2)								
(3)								
(4)								
(5)								
(9)								
(7)								
(6)								
(10)								
(11)								
(12)								
2 Enter total numbe	Enter total number of section 501(c)(3) and government organizations listed in the line 1 table	vernment or	ganizations liste	ed in the line 1 table				
For Paperwork Reduc	For Paperwork Reduction Act Notice, see the Instructions for Form 990.	tructions for	Form 990.	· · · · ·			Schedu	Schedule I (Form 990) (2013)
וטיע								

JSA 3E1288 1.000 10633X F678

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SOCIETY,	
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# Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed. Schedule I (Form 990) (2013) Part III

	(h) Number of	(c) Amount of	(d) Amount of	(a) Method of visitiation (hook	(f) Description of non-rash assistance
(a) type of grant or assistance	recipients	cash grant	non-cash assistance	FMV, appraisal, other)	
1 NORMAN HACKERWAN YOUNG AUTHORS AWARD	4	4,000.		FMV	
2 DANIEL CUBICCIOTTI AWARD	, m	3,000.		EMV	
3 SRINIVASSAN YOUNG INVESTIGATOR AWARD		1,500.		EMV	
4 H.H. DOW MEMORIAL AWARD	5.	2,000.		EMV	
5 HTM OUTSTANDING ACHIEVEMENT AWARD	• •-1	1,000.		EMV	
6 MORAIS COHEN AWARD	~	2,000.		FMV	
		1,500.		FMV	
Part IV Supplemental Information. Complete this part information.		vide the informat	ion required in I	<sup>o</sup> art I, line 2, Part III, c	to provide the information required in Part I, line 2, Part III, column (b), and any other additional

Schedule I (Form 990) (2013) Part III Grants and Other Assistance to Individuals in the U Part III can be duplicated if additional space is needed.	duals in the Ur ace is needed.	lited States. Col	nplete if the o	rganization answered "Y	the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 DAVID C. GRAHAME AWARD	e k-q	1,500.		EMV	
2 GORDON MOORE AWARD		7,500.		EMV	
3 HEINZ GERISCHER AWARD	1.	4,101.		FMV	
<b>4</b> OLIN PALLADIUM AWARD	1.	7,500.		FMV	
5 CARL WAGNER MEMORIAL AWARD	1.	450.		FMV	
Q					
			-		
PART I, LINE 2					
THE ORGANIZATION GRANTS AWARDS AND FEI	FELLOWSHIPS T	TO RECIPIENTS	S BASED UPON	Z	
WORK THAT HAS ALREADY BEEN PERFORMED P	PRIMARILY T	TO FURTHER TI	THE STUDY IN		
THEIR FIELD. AWARD RECIPIENTS AND FEL	FELLOWS MUST	BE NOMINATED	A USING A		
NOMINATION FORM. LETTERS OF ENDORSEMENT	ARE	REQUIRED. AWARD	XD RECIPIENTS	TS	
AND FELLOWS ARE CHOSEN BY THE HONORS A	AND AWARDS	COMMITTEE AND	ID APPROVED	ВҮ	
THE BOARD OF DIRECTORS BASED UPON MERIT	. 1				
					Schedule I (Form 990) (2013)

THE ELECTROCHEMICAL SOCIETY, INC.

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3E1504 1.000 10633X F678

SCHE	EDULE J	Compensation Information	0	AB No. 1	545-00	047
(For	n 990)	For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees		୬ଲ	12	
		Complete if the organization answered "Yes" to Form 990, Part IV, line 23		ß	10	
	ent of the Treasury Revenue Service	<ul> <li>Attach to Form 990. See separate instructions.</li> <li>Information about Schedule J (Form 990) and its instructions is at www.irs.gov/i</li> </ul>	• form000	pen to		
	of the organization		Employer identification	Inspe		n
THE	ELECTROCH	EMICAL SOCIETY, INC.	13-177126			
Part	and the second	s Regarding Compensation				
					Yes	No
1a	Check the ap	propriate box(es) if the organization provided any of the following to or for a pers	on listed in Form			
	990, Part VII,	Section A, line 1a. Complete Part III to provide any relevant information regarding	g these items.			
		ss or charter travel Housing allowance or residence for	personal use			
		or companions Payments for business use of perso	nal residence			
		emnification and gross-up payments Health or social club dues or initiation	on fees			
	Discreti	onary spending account Personal services (e.g., maid, chauff	eur, chef)			
b	or reimburse	boxes on line 1a are checked, did the organization follow a written policy reement or provision of all of the expenses described above? If "No," com	nlete Part III to			
2	Did the error	anization require substantiation prior to reimbursing or allowing expenses		<b>1</b> b		
2	directors tru	anization require substantiation prior to reimbursing or allowing expenses	incurred by all			
	1a?	stees, and officers, including the CEO/Executive Director, regarding the item	s checked in line			
~				2		
3	organization's	h, if any, of the following the filing organization used to establish the compensations of the compensations of ECO/Executive Director. Check all that apply. Do not check any boxes for method	on of the			
	related organ	ization to establish compensation of the CEO/Executive Director, but explain in P	as used by a			
		nsation committee Written employment contract				
		Ident compensation consultant X Compensation survey or study				-C
		90 of other organizations     X     Approval by the board or compensation	tion committee			
4	organization	ar, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to or a related organization:	o the filing			200 m.
а	Receive a se	verance payment or change-of-control payment?		4a	9009999	X
b	Participate in	, or receive payment from, a supplemental nonqualified retirement plan?		4b		X
с	Participate in	, or receive payment from, an equity-based compensation arrangement?		4c		X
	If "Yes" to an	y of lines 4a-c, list the persons and provide the applicable amounts for each i	tem in Part III.			
	Only section	501(c)(3) and 501(c)(4) organizations must complete lines 5-9.				
5		listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue	2014			
-		n contingent on the revenues of:	ariy			
а				5a	분성, 환장	X
b	Any related o	tion? 		5b	<u> </u>	X
	If "Yes" to lin	e 5a or 5b, describe in Part III.		50	1	<b>†</b>
6		listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue	anv			
		n contingent on the net earnings of:				
а	The organizat	tion?		6a		x
b	Any related o	rganization?		6b	1	X
	If "Yes" to lin	e 6a or 6b, describe in Part III.				
7	For persons	listed in Form 990, Part VII, Section A, line 1a, did the organization prov	ide any non-fixed			
	payments no	t described in lines 5 and 6? If "Yes," describe in Part III		7	X	
8	Were any an	nounts reported in Form 990, Part VII, paid or accrued pursuant to a contract	t that was subjec	:		1
	to the initia	I contract exception described in Regulations section 53.4958-4(a)(3)? I	f "Yes," describe			1
	in Part III			8		Х
9	If "Yes" to I	ine 8, did the organization also follow the rebuttable presumption proceed	dure described ir			
<u></u>	Regulations s	section 53.4958-6(c)?		9		
For Pa	aperwork Redu	ction Act Notice, see the Instructions for Form 990.		tule J (F	orm 99	0) 2013

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Page 2

Schedule J (Form 990) 2013

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed. Part II

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that

		(B) Breakdowr	(B) Breakdown of W-2 and/or 1099-MIS	1099-MISC compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)-(D)	reported as deferred in prior Form 990
ROQUE J CALVO	Ξ	227,582	22,257.		0 34,414.	9,273.	293, 526.	a maa aan ama doo wax aan aan aan aa aa aa aa aa aa
TEXEC DIRECTOR (1991-PRESENT)	(ii)							
MARY YESS	Ξ	141,670.	2,493		0 11,334.	27,209.	182,706.	
2 DEPUTY EXEC DIR	Ξ		۶ I		0			
	(E)		50 AND 400 AND					
	Ξ							
	Ξ							
<i>च</i>	(iii)							
	Ξ	900 MA 400 MA						
5	(ii)							
	Ξ		82 2020 2020 2020 2020 2020 2020 2020 2	, par any par and par and any and any and the set of the set				
6	(E)							
	Ξ	ann						
7	(ii)							
	Ξ	Ann						
8	(ii)							
	Ξ	2000 2000 2000 2000 2000 2000 2000 200						
6	(ii)							
	Ξ							
10	Ξ							
	Ξ							
dan dari	(ii)							
	Ξ	, sana - daka - maka						
12	(ii)							
	Ξ		<u>An</u> and any					
13	Ē							
	Ξ			و معني معني بعد بعد معد معد معد مع بعد بعد معد مع				
14	(ii)							
	Ξ							
15	(ii)							
	Ξ							
	1							

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Design of the monoperio         Part 1, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 5a, 5b, 5a, 6b, 7, and 8, and for Part 1.           Chordnell His part (prioring)         Chordnell His part (prioring)         Also complete this part (prioring)         Also complete this part (prioring)         Also complete this part (prioring)         Fand 8, and for Part 1.           First complete this part (prioring)         Prioring and the part 1.         Also complete this part (prioring)         Fand 8, and for Part 1.           First complete this part (prioring)         Prioring and part 1.         Prioring and part 1.         Fand 8, and for Part 1.           First complete this part (prioring)         Prioring and prioring)         Prioring and Part 1.         Fand 8, and for Part 1.           First complete this part (prioring)         Prioring and Part 1.         Prioring 1.         Fand 9.           First complete this part 1.         Prioring 1.         Prioring 1.         Fand 9.         Fand 9.           First complete this part 1.         Prioring 1.         Prioring 1.         Fand 9.         Fand 9.           First complete this part 1.         Prioring 1.         Prioring 1.         Fand 9.         Fand 9.           First complete this part 1.         Prioring 1.         Prioring 1.         Fand 9.         Fand 9.           First complete this part 1.         Prioring 1.         Prioring 1.         Fand 9.	THE ELECTROCHEMICAL SOCIETY, INC.	
	Schedule J (Form 990) 2013	α.
	1b,	b, 6a, 6b, 7, and 8, and for Pa
THAT BOARD MENBER TERMS KUN ERON OCTOBER TO SEPTEMBER OF EACH ENT" DENOTES A BOARD MEMBER SERVING FROM OCTOBER 2013 THRU 14. "PAST" DENOTES A BOARD MEMBER SERVING FROM JANUARY THRU 13. 7 3. FART II, COLUMN B(II) FOR BONUS AND INCENTIVE INFORMATION.	FORM 990, SCHEDULE J-2	
14. "PAST" DENOTES A BOARD MEMBER SERVING FROM JANUARY THRU 13. 7 J. PART II, COLUMN B(II) FOR BONUS AND INCENTIVE INFORMATION.	PLEASE NOTE THAT BOARD MEMBER TERMS RUN FROM OCTOBER TO SEPTEMBER OF EACH	
14. "PAST" DENOTES À BOARD MEMBER SERVING FROM JANUARY THRU 13. 7 3. FART IL, COLUMN B(II) FOR BONUS AND INCENTIVE INFORMATION.	"CURRENT" DENOTES A BOARD MEMBER SERVING FROM OCTOBER 2013	
13. J, FART II, COLUMN B(II) FOR BONUS AND INCENTIVE INFORMATION.	2014, "PAST" DENOTES A BOARD MEMBER	
7 J. PART II, COLUNN B(II) FOR BONUS AND INCENTIVE INFORMATION.		
7 J. PART II, COLUMN B(II) FOR BONUS AND INCENTIVE INFORMATION.		
J, PART II, COLUMN B(II) FOR BONUS AND INCENTIVE INFORMATION.	I, LINE	
INFORMATION.	SCHEDULE J, PART II, COLUMN B(II) FOR BONUS	

SCHEDULE O (Form 990 or 990-EZ)

# Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ► Attach to Form 990 or 990-EZ.

O-EZ s on COMB No. 1545-0047 2013 Open to Public Inspection Employer Identification number

13-1771269

Department of the Treasury Internal Revenue Service Name of the organization

THE ELECTROCHEMICAL SOCIETY, INC.

FORM 990, PART VI, SECTION B, LINE 11

A DRAFT COPY OF THE FORM 990 WILL BE PROVIDED TO ALL MEMBERS OF THE GOVERNING BODY FOR REVIEW AND COMMENT TO THE AUDIT COMMITTEE. THE AUDIT COMMITTEE WILL REVIEW THE RETURN AND RECOMMEND THAT THE EXECUTIVE COMMITTEE APPROVE THE 990 ON BEHALF OF THE BOARD.

FORM 990, PART VI, SECTION B, LINE 12C

MEMBERS OF THE BOARD OF DIRECTORS ARE REQUIRED TO DISCLOSE CONFLICTS OF INTEREST ANNUALLY. CONFLICTS ARE REVIEWED AND EVALUATED BY THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION C, LINE 19

THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST AND VIA THE ORGANIZATION'S WEBSITE, D&B AND THE ECS QUARTERLY INTERFACE MAGAZINE.

FORM 990, PART VI, SECTION B, LINES 15A AND B FORMAL EMPLOYEE APPRAISALS ARE CONDUCTED ANNUALLY IN DECEMBER FOR BOTH FULL AND PART-TIME EMPLOYEES. THE RESPONSIBILITIES OF EACH STAFF POSITION ARE CAPTURED IN JOB DESCRIPTIONS WHICH ARE REVIEWED AND UPDATED ANNUALLY. SALARY ADJUSTMENTS ARE DETERMINED AT THE TIME OF THE APPRAISAL AND ARE BASED UPON PERFORMANCE AND COST OF LIVING ADJUSTMENTS. ADJUSTMENTS ARE NOT RELEASED UNTIL JANUARY 1, THE EFFECTIVE DATE OF THE

SALARY ADJUSTMENT. THE COMPENSATION PARAMETERS FOR EACH POSITION ARE DESCRIBED IN A SALARY RANGE, WHICH IS UPDATED ONCE PER YEAR IN JULY BASED ON CHANGES IN RESPONSIBILITIES AND COMPARABLE MARKET INFORMATION. SALARY AND BENEFIT AMOUNTS ARE APPROVED IN THE ANNUAL BUDGET BY THE ECS BOARD OF DIRECTORS. THE EXECUTIVE DIRECTOR IS APPRAISED ANNUALLY IN OCTOBER BY THE ECS BOARD BASED UPON COMPENSATION AND PERFORMANCE REQUIREMENTS AS OUTLINED IN THE EMPLOYMENT CONTRACT.

#### FORM 990, PART III, LINE 4D

AWARDS - PRESENT AWARDS FOR OUTSTANDING ACHIEVEMENTS IN THE FIELD OF ELECTROCHEMISTRY, INCLUDING AWARDS AND TRAVEL GRANTS TO ATTEND MEETINGS.

CONSTITUENT PROGRAMS - PROVIDE EDUCATIONAL COURSES DURING THE BI-ANNUAL MEETINGS ON TOPICS RELATED TO THE SCIENCE OF ELECTROCHEMISTRY. FUND ECS STUDENT CHAPTERS AT UNIVERSITIES THROUGHOUT THE UNITED STATES AND IN SEVERAL FOREIGN COUNTRIES. OPERATE THE REDCAT WEBSITE, WHICH PROVIDES A COMMUNITY FOR RESEARCHERS TO REVIEW PUBLISHED RESEARCH, SHARE IDEAS AND DEVELOP NEW RESEARCH WITH OTHERS IN THE COMMUNITY.

#### FORM 990 PART X1 LINE 5

OTHER CHANGES IN NET ASSETS OR FUND BALANCE: \$294,251 UNREALIZED GAIN ON

#### MARKETABLE SECURITIES

#### FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

THE MISSION OF THE SOCIETY IS TO ADVANCE THEORY AND PRACTICE AT THE FOREFRONT OF ELECTROCHEMISTRY, SOLID-STATE SCIENCE, AND ALLIED SUBJECTS. TO ENCOURAGE RESEARCH, DISCUSSION, CRITICAL ASSESSMENT, AND

ATTACHMENT 1

JSA

Name of the organization	Employer identification number
THE ELECTROCHEMICAL SOCIETY, INC.	13-1771269
	ATTACHMENT 1 (CONT'D)
FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION	

DISSEMINATION OF KNOWLEDGE IN THESE FIELDS, THE SOCIETY HOLDS

MEETINGS, PUBLISHES SCIENTIFIC PAPERS, FOSTERS TRAINING AND EDUCATION

OF SCIENTISTS AND ENGINEERS, AND COOPERATES WITH OTHER ORGANIZATIONS

TO PROMOTE SCIENCE AND TECHNOLOGY IN THE PUBLIC INTEREST.

FORM 990, PART III, LINE 4D - OTHER PROGRAM S	ERVICES	ATTACHMENT	2
DESCRIPTION	GRANTS	EXPENSES	REVENUE
AWARDS, PRIZES AND FELLOWSHIPS	43,051.	135,908.	
CONSTITUENT PROGRAMS		635,591.	63,177.
MISCELLANEOUS			14,960.
TOTALS	43,051.	771,499.	78,137.

	ATTACHME	NT 3
990, PART VII- COMPENSATION OF THE FIVE HIGHEST	PAID IND. CONTRACTORS	
NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
REDEYE INC 1675 WHITEHORSE MERCERVILLE RD SUITE 104 HAMILTON, NJ 08619	COMPUTER SERVICES	211,243.
HILTON SAN FRANCISCO 333 O'FARRELL ST SAN FRANCISCO, CA 94102	MEETING VENUE	328,517.
APTARA, INC. BOX #13963 COLLECTIONS, CENTER DRIVE CHICAGO, IL 60693	PUBLISHING SERVICES	170,771.
THE SHERIDAN PRESS P.O. BOX 842377 BOSTON, MA 02284-2377	JOURNAL PRINTING	154,930.
HIGH WIRE 425 BROADWAY STREET	E-PUBLISHING	127,020.

Name of the organization THE ELECTROCHEMICAL SOCIETY, INC.			Employer identification 13-1771269	
			ATTACHMENT 3 (	
990, PART VII- COMPENSATION OF THE	FIVE HIGHEST	PAID IND. CONTRA	CTORS	
NAME AND ADDRESS		DESCRIPTION OF	SERVICES COM	PENSATION
REDWOOD CITY, CA 94063				
DODM 000 DODE MILL THURSDAR	NGONE		ATTACHMENT 4	
FORM 990, PART VIII - INVESTMENT I				
DESCRIPTION	(A) TOTAL REVENUE	(B) RELATED OR EXEMPT REVENUE	(C) UNRELATED BUSINESS REV.	(D) EXCLUDEI REVENUE
INTEREST AND DIVIDEND INCOME	336,36	52.		336,362.
TOTALS	336,36	52.		336,362.
		A	TTACHMENT 5	
FORM 990, PART X - INVESTMENTS - P	UBLICLY TRADE	) SECURITIES		
DESCRIPTION		В	ENDING OOK VALUE	COST OR FMV
CORPORATE US BONDS			2,597,785.	FMV
STOCKS/MUTUAL FUNDS			5,848,474.	FMV

TOTALS

FMV

391,817.

8,838,076.

Page 2

BONDS & MUTUAL FUNDS

Schedule O (Form 990 or 990-EZ) 2013

SCHEDULE R (Form 990)	Related Org     ►Complete if the organiz     Attach	Organizations and Unrelated Partnerships organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or Attach to Form 990. ► See separate instructions.	Id Unrelated Partne on Form 990, Part IV, line 33, 34 See separate instructions.	I Partnersh V, line 33, 34, 35b, instructions.	<b>1105</b> 36, or 37.		2012 1545-0047
Department of the Treasu Internal Revenue Service	Department of the Treasury Department of the Treasury Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.	nedule R (Form 990) a	ind its instructions is	at www.irs.gov/for	m990.		Inspection
Name of th THE EI	Name of the organization THE ELECTROCHEMICAL SOCIETY, INC.					Employer identificati 13-1771269	Employer identification number 13-1771269
Part I	Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33	organization answ	/ered "Yes" on Fo	orm 990, Part IV	/, line 33.		
	(a) Name, address, and EIN (if applicable) of disregarded entity		(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) EC SOUTH	(1) ECS HOLDINGS, LLC SOUTH MAIN STREET PENNINGTON, NJ 0	08534 REAL	ESTATE	NЛ	88,638.	4,593,392.	ECS
(3)							
(4)							
(9)							
Part II	Identification of Related Tax-Exempt Organizations Complete i one or more related tax-exempt organizations during the tax year.	Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had he tax year.	ganization answe	red "Yes" on Fo	orm 990, Part IV,	line 34 because	it had
	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes No
(1)				-			
(2)							
(3)							
(4)							
(9)							
(7)							
For Paper	For Paperwork Reduction Act Notice, see the Instructions for Form 990.					Schedule	Schedule R (Form 990) 2013

THE ELECTROCHEMICAL SOCIETY, INC.

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Schedule R (Form 990) 2013 Part In Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" because it had one or more related organizations treated as a partnership during the tax year.	ed Organizations more related orga	<b>Taxable</b>	<b>as a Partnersh</b> s treated as a pa	ip Complete if artnership during	the organizatior the tax year.	answered "Ye	s" on Form	on Form 990, Part IV, line 34	ne 34	4 0 000
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Dispropartiemate allocations?	(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	Gener manae partn	(k) Percentage ownership
(1) LITTHIUM BATTERY EUND LIMITED 4 65 S. MAIN STREET, BUILDING D	IMLB MEETINGS	ĹΝ	ECS	RELATED		0	Yes         No           0         1	0	Yes No	
(2)										
(4)										
(2)										
(9)										
(7)										
Part N Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.	ed Organizations	<b>Taxable</b> Ited organ	as a Corporatio	<mark>on or Trust</mark> Con I as a corporatic	uplete if the org	anization answ g the tax year.	ered "Yes" o	on Form 990, I	⊃art IV,	
(a) Name, address, and EIN of related organization	) V of related organization		(b) Primary activity	ctivity Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percen- sets tage ownership	1
(1)										Yes No
(2)										
(3)										
(4)										
(5)										
(9)										
(1)										
JSA 3E1308 1 000								Schedule	: R (Form	Schedule R (Form 990) 2013

THE ELECTROCHEMICAL SOCIETY, INC.

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Page 3

Schedule R (Form 990) 2013

Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36. Part V

NO	Note Complete line 1 if any entity is listed in Parts II. III. or IV of this schedule				Yes No
, , ,	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?	lated organizations liste	ed in Parts II-IV?		
g		• • • • • • • •	- - - - - - - - - - - - - - - - - - -		
9		- - - - - - - - - - - - - - - - - - -	•	1 1 1 1 1 1 1	
Ċ				10	×
) T		· · · · · · · · · · · · · · · · · · ·		14	X
3 4		•		1e	X
0 U	e Loans or loan guarantees by related organization(s),	• • • • • • •	• • • • • • • • • • •	:    - - - -	
kgan	f Dividends from related organization(s)	- - - - - - - - - - - - - - - - -	· · · · · · · · · · · · · · · · · · ·	<b>4</b>	×
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<u></u>	m Derformance of services or membership or fundralsing solicitations by related organization(s)				
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>					
2	n Reimbursement paid to related organization(s) for expenses		· · · · · · · · · · · · · · · · · · ·	1p	
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h.	r Other transfer of cash or property to related organization(s)	• • • • • • • • • • • • • •	· · · · · · · · · · · · · · · · · · ·		
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00	0	is line, including covere	ed relationships and transa	ction threshold	ds.
4		(p)	(c)	(d) Method of determining	tarmining
	Name of related organization	I ransaction type (a-s)		amount involved	volved
6					
(7)	(7				
3	3)				
(4)	4)				
(2)	5)				
(9)					
키			0	Schedule R (Form 990) 2013	m 990) 2013

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Part VI Unrelated Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 37. Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.	axable as a Partn entity taxed as a p anization. See instr	ership Comple artnership throu uctions regardin	Complete if the organization answered "Yes" on Form 990, Part IV, line 37. ip through which the organization conducted more than five percent of its activiti regarding exclusion for certain investment partnerships.	nization ans rganization co certain invest	wered "Yes" onducted mo tment partner	on Form 99 re than five p ships.	0, Part ercent c	IV, line	37. tivities (meas	ured by t	otal assets
(a) Name, address, and EIN of entity	(b) Primary activity		(d) Predominant income (related, unrelated, excluded from tax under	(e) Are all partners section 501(c)(3) organizations? Yes No	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations? Yes No		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	() General or managing partner? Yes No	(k) Percentage ownership
			(Fr)-4-0 (00000								
(3)											
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Schedule R (Form 990) 2013

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Schedule R (F	orm 990) 2013	Page 5
Part VII	Supplemental Information	
	Complete this part to provide additional information for responses to questions on Schedule R (see	
	instructions).	
FORM 99	0 - SCHEDULE R - PART I - COLUMN D	
TOTAL I	NCOME OF ECS HOLDINGS, LLC INCLUDES: GROSS RENTAL INCOME OF	

\$468,764 LESS: RENTAL EXPENSES (480,489) (\$11,725)

# **RENT AND ROYALTY INCOME**

Taxpayer's Name THEELECTROCHEMIC	CAL SOCIETY	, INC.						ldentifyii -1771	ng Number 1269
DESCRIPTION OF PROPERTY ECS HOLDINGS, LL	C								99999999999999999999999999999999999999
	tively participate in the	operation o	f the act	ivity du	uring the tax year?				
TYPE OF PROPERTY:		·····				*******			
REAL RENTAL INCO	ME			• • •					
OTHER INCOME:									
RENTAL INCOME						46	8,76	4.	
TOTAL GROSS INCOME	<u></u>		• • • • •		<u></u>	<u></u> .			468,764.
OTHER EXPENSES:									
SEE ATTACHMENT		·····							
DEPRECIATION (SHOWN BELOW)									
LESS: Beneficiary's Portion					•				
AMORTIZATION									
LESS: Beneficiary's Portion						Second en contra antes			
DEPLETION									
LESS: Beneficiary's Portion									
TOTAL EXPENSES	• • • • • • • • • • • •			• • • •				· ·	480,489.
TOTAL RENT OR ROYALTY INCOME	(LOSS)	<u></u>		• • •	<i></i>	• • • • • • • • • •	<u></u>	<u>  </u>	-11,725.
Less Amount to									
Rent or Royalty						* * • •			
Depreciation									
Depletion									
Investment Interest Expense									
Other Expenses									
Net Income (Loss) to Others Net Rent or Royalty Income (Loss)	* * * * * * * * * * * *				• • • • • • • • • • •		• • • •	•	-11,725.
Deductible Rental Loss (if Applicable	••••••••••••••••••••••••••••••••••••••					· · · · · · · · · · ·		*	-11,123.
SCHEDULE FOR DEPRECIAT	ION CLAIMED			<u></u>	· · · · · · · · · · · ·	* * * * * * * * * * *	• • • •	•	
		[	T			Ī	T	T	
	(b) Cost or	(c) Date	(d)	(e)	(f) Basis for	(g) Depreciation	(h)	(i) Life	(j) Depreciation
(a) Description of property	unadjusted basis	acquired	ACRS	Bus.	depreciation	in	Method	or	for this year
		-	des.	%	,	prior years		rate	
							1	1	
								1	
								T	
								1	
Totals		1							

# SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

RENTAL INCOME	468,764. 468,764.
OTHER DEDUCTIONS	
CLEANING	15,134.
COMMISSIONS	1,326.
INSURANCE	15,331.
LEGAL AND OTHER PROFESSIONAL FEES	5,728.
MANAGEMENT FEES	76,841.
REPAIRS	137,294.
TAXES	74,190.
UTILITIES	59,410.
TELEPHONE	4,335.
POSTAGE	629.
BANK CHARGE	5,022.
SECURITY SERVICE	144.
DEPRECIATION	85,105.
	480,489.

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# RENT AND ROYALTY SUMMARY

PROPERTY	TOTAL INCOME	DEPLETION/ DEPRECIATION	OTHER EXPENSES	ALLOWABLE NET <u>INCOME</u>
ECS HOLDINGS, LLC	468,764.		480,489.	-11,725.
	468,764.		480,489.	-11,725.



THE ELECTROCHEMICAL SOCIETY, INC. AND SUBSIDIARIES

**Consolidated Financial Statements** 

December 31, 2013 and 2012

With Independent Auditors' Report

### Page(s)

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Schedule 4-Consolidated Schedule of Changes in Net Assets2	!1



#### WithumSmith+Brown, PC Certified Public Accountants and Consultants

5 Vaughn Drive Princeton, NJ 08540-6313 609 520 1188 . fax 609 520 9882 www.withum.com

Additional Offices in New Jersey, New York, Pennsylvania, Maryland, Florida, and Colorado.

#### **Independent Auditors' Report**

To the Board of Directors, The Electrochemical Society, Inc. and Subsidiaries

We have audited the accompanying consolidated financial statements of The Electrochemical Society, Inc. and Subsidiaries (the "Society"), which comprise the consolidated statements of financial position as of December 31, 2013 and 2012, and the related consolidated statements of activities, changes in net assets, and cash flows for the years then ended, and the related notes to the consolidated financial statements.

#### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

#### Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.



#### Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the consolidated financial position of The Electrochemical Society, Inc. and Subsidiaries as of December 31, 2013 and 2012, and the results of its operations and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

#### **Other Matters**

Our audits were conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The supplementary information listed in the accompanying table of contents is presented for purposes of additional analysis and is not a required part of the consolidated financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the consolidated financial statements as a whole.

Withum Smith + Brown PC

May 23, 2014

# The Electrochemical Society, Inc. and Subsidiaries Consolidated Statements of Financial Position December 31, 2013 and 2012

	2013	2012
Assets Cash and cash equivalents Accounts receivable, net Unconditional promises to give, net Prepaid expenses, deposits, and other assets Investments Custodial account investments Deferred rent Land - Office Park Buildings - Office Park, less accumulated depreciation of \$457,655 and \$390,068 at December 31, 2013 and 2012, respectively Land - ECS occupied Buildings - ECS occupied, less accumulated depreciation of \$145,403 and \$127,884 at December 31, 2013 and 2012, respectively Intangible assets, net	\$ 1,762,595 42,616 17,575 329,801 9,667,985 630,284 58,442 1,252,819 2,245,788 350,608 555,338 	\$ 1,255,149 148,985 17,575 130,709 8,586,364 717,910 81,005 1,252,819 2,313,375 350,608 572,857 243,873
Liabilities and Net Assets	<u>\$ 16,913,851</u>	<u>\$ 15,671,229</u>
Liabilities Accounts payable and accrued expenses Deferred revenue Custodial account liability Security deposits Deferred compensation Total liabilities	\$ 357,462 1,600,829 630,284 30,900 119,329 2,738,804	\$ 314,030 1,290,501 717,910 30,987 115,028 2,468,456
Net assets Unrestricted General operating LLC Custodial Designated Sections Total unrestricted	1,170,654 1,648,741 9,377,966 642,727 35,380 12,875,468	1,046,360 1,560,103 8,661,748 636,434 45,988 11,950,633
Temporarily restricted	424,196	410,627
Permanently restricted	875,383	<u>841,513</u>
Total net assets	<u>14,175,047</u> <u>\$ 16,913,851</u>	<u>13,202,773</u> <u>\$ 15,671,229</u>

The Notes to Financial Statements are an integral part of these statements.

#### The Electrochemical Society, Inc. and Subsidiaries Consolidated Statements of Activities and Changes in Net Assets Years Ended December 31, 2013 and 2012

		2013			2012			
	Unrestricted	Temporarily restricted	Permanently restricted	Total	Unrestricted	Temporarily restricted	Permanently restricted	Total
Revenues and support								
Publications	\$ 2,660,730	\$	\$	\$ 2,660,730	\$ 2,710,514	\$	\$	\$ 2,710,514
Membership	529,990			529,990	719,147			719,147
Constituent programs	63,177			63,177	71,236			71,236
Society meetings and activities	2,072,274			2,072,274	2,558,322			2,558,322
Investment income	970,276	48,958		1,019,234	209,208	59,383		268,591
Contributions and grants	47,562		33,870	81,432	15,246		8,545	23,791
Rental income	468,764			468,764	512,521			512,521
Other revenues	14,960			14,960	15,564			15,564
Total revenues and support	6,827,733	48,958	33,870	6,910,561	6,811,758	59,383	8,545	6,879,686
Net assets released from restrictions	35,389	(35,389)			56,003	(56,003)		
Revenues	6,863,122	13,569	33,870	6,910,561	6,867,761	3,380	8,545	6,879,686
Expenses								
Program services	0 407 074			0 407 074	4 000 405			4 000 405
Publications	2,127,074 183,396			2,127,074	1,688,125 105,091			1,688,125
Membership	635,591			183,396 635,591	702,046			105,091 702,046
Constituent programs	1,719,343			1,719,343	2,477,434			2,477,434
Society meetings and activities	, ,			, ,	, ,			, ,
Awards, fellowships, and grants	135,908			135,908	86,582			86,582
Total program services	4,801,312			4,801,312	5,059,278			5,059,278
Supporting services								
General and administrative	923,188			923,188	865,341			865,341
Fundraising	27,549			27,549				
Rental operations	480,489			480,489	431,377			431,377
Total supporting services	1,431,226			1,431,226	1,296,718			1,296,718
Total expenses	6,232,538			6,232,538	6,355,996			6,355,996
Increase in net assets from operations	630,584	13,569	33,870	678,023	511,765	3,380	8,545	523,690
Non-operating gain Net change in fair value of investments	294,251			294,251	346,418			346,418
Increase in net assets	924,835	13,569	33,870	972,274	858,183	3,380	8,545	870,108
Net assets - beginning of year	11,950,633	410,627	841,513	13,202,773	11,092,450	407,247	832,968	12,332,665
Net assets - end of year	<u>\$ 12,875,468</u>	\$ 424,196	<u>\$ 875,383</u>	<u>\$ 14,175,047</u>	<u>\$ 11,950,633</u>	\$ 410,627	<u>\$ 841,513</u>	\$ 13,202,773

The Notes to Financial Statements are an integral part of these statements.

# The Electrochemical Society, Inc. and Subsidiaries Consolidated Statements of Cash Flows Years Ended December 31, 2013 and 2012

	2013	2012
Cash flows from operating activities		
Change in net assets	\$ 972,274	\$ 870,108
Adjustments to reconcile change in net assets to net cash		
provided by operating activities		
Depreciation and amortization	328,979	328,979
Contributions - permanently restricted	(33,870)	(8,545)
Allowance for doubtful accounts		(291,902)
Unrealized (gain) on investments	(294,251)	(346,418)
Increase (decrease) in cash from		
Accounts receivable	106,369	187,381
Unconditional promises to give		(17,575)
Prepaid expenses, deposits, and other assets	(199,092)	2,569
Deferred rent	22,563	(12,349)
Accounts payable and accrued expenses	43,432	113,652
Deferred revenue	310,328	457,929
Custodial account liability	(87,626)	(3,658)
Security deposits	(87)	(2,500)
Deferred compensation	 4,301	 80,637
Net cash provided by operating activities	 1,173,320	 1,358,308
Cash flows from investing activities		
Purchase of investments and custodial account investments	(3,630,371)	(6,667,329)
Proceeds from sale of investments and custodial account investments	2,930,627	5,904,766
Additions to buildings - office park		(5,602)
Additions to intangible assets	 	 (262,000)
Net cash used in investing activities	 (699,744)	 (1,030,165)
Cash flows from financing activities		
Investment in permanent endowment	 33,870	 8,545
Net increase in cash and cash equivalents	507,446	336,688
Cash and cash equivalents, beginning of year	 1,255,149	 918,461
Cash and cash equivalents, end of year	\$ 1,762,595	\$ 1,255,149

The Notes to Financial Statements are an integral part of these statements.

#### 1. Organization and Summary of Significant Accounting Policies

#### Organization

The Electrochemical Society, Inc. (ECS) was founded in 1902 as an international nonprofit, educational organization concerned with a broad range of phenomena relating to electrochemical and solid-state science and technology. ECS was originally named the American Electrochemical Society. Its name was officially changed to The Electrochemical Society, Inc. in 1930 to more accurately reflect its international activities and membership. ECS has more than 8,000 scientists and engineers in over 70 countries worldwide that hold individual memberships, as well as approximately 100 corporations and laboratories that hold corporate membership. ECS's objectives are:

- to advance the theory and practice of electrochemistry, solid-state science, and allied subjects;
- to encourage research and dissemination of knowledge in these fields; and
- to assure the availability of adequate training and education of fundamental and applied scientists and engineers in these fields.

ECS Holdings LLC (LLC) is a single-member limited liability company owned entirely by ECS. It was organized for the purpose of operating, using, developing, improving, renovating, maintaining, managing, leasing, and when applicable, selling, exchanging, or otherwise disposing of real property.

Lithium Batteries Fund Limited Liability Company (LBF) was incorporated in December 2011 for the management of the IMLB custodian funds.

#### **Summary of Significant Accounting Policies**

Significant accounting policies followed by the Society in the preparation of the accompanying consolidated financial statements are summarized below:

#### **Principles of Consolidation**

The consolidated financial statements include the accounts of The Electrochemical Society, Inc. and its Divisions, Groups and Sections, the LLC, as well as LBF, since the majority of LBF's members are also the Board Committee members of the Society, the Society is considered to have controlling interest in LBF. All intercompany balances and transactions have been eliminated in consolidation.

#### Method of Accounting

The consolidated financial statements are prepared on the accrual basis of accounting. Revenue, other than contributions, is recognized when earned and expense is recognized when the obligation is incurred.

#### **Financial Statement Presentation**

Financial statement presentation follows the recommendations of the accounting guidance provided by "*Not-for-Profit Entities*" which requires the Society to report information regarding its financial position and activities according to three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets.

The consolidated financial statements have been prepared to focus on The Electrochemical Society, Inc. and Subsidiary (the Society) as a whole, and to present balances and transactions according to the existence or absence of donor-imposed restrictions. Accordingly, net assets and changes therein are classified as follows:

Unrestricted net assets – net assets not subject to donor-imposed stipulations:

- General operating funds available for general operations of ECS;
- LLC represents the funds available for general operations of the LLC;

- Custodial funds held by ECS for the Divisions, Groups and Sections to be invested and expended
  as specified by the Divisions, Groups and Sections, and certain funds held by ECS for designated
  purposes;
- Designated represents net assets and earnings on those assets designated by ECS and the Divisions to be maintained for a specific purpose or for a specified period of time;
- Sections funds available for general operations of the Sections.
- Lithium Battery Fund funds available for general operations of LBF.

Temporarily restricted net assets – net assets subject to donor-imposed stipulations that will be met by actions of ECS and/or by the passage of time. As of December 31, 2013 and 2012, the temporarily restricted net asset balance is primarily to be used for various awards.

Permanently restricted net assets (endowment funds) – net assets subject to donor-imposed stipulations that they be maintained permanently by ECS. Generally, the donors permit ECS to use all or part of the income earned on related investments for various awards. The income earned on these funds is designated as temporarily restricted until they are expended.

#### Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

#### **Income Tax Status and Income Taxes**

ECS and its Divisions, Groups, and Sections qualify as a tax-exempt organization described under Section 501(c)(3) of the Internal Revenue Code and all of its income, except income generated through the advertising included in its publications, is exempt from Federal income taxes under Section 501(a) of the Code.

As a single-member limited liability company, the LLC is treated as a "disregarded entity" for income tax purposes and, as such, its financial activity is reported in conjunction with the Federal income tax filings of ECS.

LBF is a limited liability company. Therefore, the income or loss is passed through to the members and no provision or liability for federal and state income taxes has been included in the financial statements for the company.

The Society files tax returns in the U.S. federal jurisdiction and New Jersey. The Society has no open years prior to December 31, 2010.

The Society follows the accounting pronouncement that provides guidance on uncertain tax positions. The Society had no unrecognized tax benefits at December 31, 2013 and 2012. In addition, the Society has no income tax related penalties or interest for the periods reported on these financial statements.

#### Cash and Cash Equivalents

For purposes of the Consolidated Statements of Financial Position and the Consolidated Statements of Cash Flows, the Society considers all highly liquid investments with an initial maturity of three months or less to be cash equivalents.

#### Accounts Receivable

Accounts receivable consist of amounts owed to the Society primarily for subscriptions of publications. Accounts receivable are recorded at net realizable value. The Society provides for probable losses on accounts receivable using the allowance method. The allowance is determined based on management's

experience and collection efforts. Balances that remain outstanding after the Society has used reasonable collection efforts are written off. The allowance for doubtful accounts at both December 31, 2013 and 2012 was \$3,037.

#### **Investments and Custodial Account Investments**

Investments and custodial account investments are stated at fair value which is determined as follows:

- Investments in marketable securities and debt securities are stated at quoted market value;
- Certificates of deposits purchased from banks are stated at original deposit plus accrued interest;
- Certificates of deposits purchased from trading brokers are stated at quoted market value;
- Real estate trust investment is stated at estimated market value;
- Common stock in privately held company, received as a gift, is stated at zero market value, as could best be determined by management.

Investment income and realized and unrealized net gains and losses on investments of permanently restricted net assets are reported as follows:

- as increases or decreases in temporarily restricted net assets if the terms of the gift impose restrictions on the use of the income and/or net gains;
- as increases or decreases in unrestricted net assets in all other cases.

#### Land and Buildings

Land and buildings are recorded at cost at the date of acquisition or at accumulated construction cost, if constructed. Additions with an original value of \$10,000 or more and more than one year of life are capitalized. Buildings are stated primarily at cost less accumulated depreciation. Constructed buildings are depreciated when completed and placed in service. The Society depreciates buildings using straight-line method over the estimated useful lives of the assets, which is generally forty years for buildings and improvements. Maintenance and minor repairs are charged to operations when incurred. Depreciation expense for 2013 and 2012 amounted to \$85,106 and \$85,105, respectively.

#### Impairment of Long-Lived Assets

Long-lived assets are reviewed for impairment whenever events or changes in circumstances indicate that the carrying amount may not be recoverable. If the sum for the expected future undiscounted cash flows is less than the carrying amount of the asset, a loss is recognized for the difference between the fair value and carrying fair value of the assets. The Society did not record an impairment charge during the years in question.

#### Intangible Assets

Intangible assets with respect to community website development are amortized on a straight-line method over a 3-year period. The gross carrying amount, at cost, is \$600,620. The related accumulated amortization amounted to \$600,620 and \$356,747 at December 31, 2013 and 2012, respectively. Amortization expense for the community website development amounted to \$243,873 for both the years ended December 31, 2013 and 2012.

#### Deferred Revenue

Cash received in advance for subsequent years' individual and corporate membership dues, subscriptions, meeting abstracts and proceedings volumes is recorded as deferred revenue.

#### Compensated Absences

Costs of compensated absences are estimated based upon accrued time and charged to expense as the accrued time is utilized. Accrued compensated absences amounted to \$15,522 and \$48,680 at December 31, 2013 and 2012, respectively.

#### **Custodial Accounts**

The Society holds funds on behalf of other entities as a fiduciary agent for the purpose of symposia. These funds are invested until the point at which they are utilized or returned.

#### **Functional Allocation of Expenses**

The cost of providing program and supporting services of the Society have been summarized on a functional basis in the consolidated financial statements. Accordingly, certain costs have been allocated among the program and supporting services benefited.

Expenses are reported in the Consolidated Statements of Activities in functional categories. The Society's primary program services are publications; membership; meetings and activities; and awards, fellowships, and grants. Expenses reported as general and administrative are incurred in support of these primary program services. Fund-raising expenses are disclosed separately.

#### **Unconditional Promises to Give and Support Recognition**

Contributions are recognized when the donor makes a promise to give to the Society that is, in substance, unconditional. Unconditional promises to give due in the next year are reflected as current promises to give and are recorded at their net realizable values. Unconditional promises to give due in the subsequent years are reflected as long-term promises to give and are recorded at the present value of their net realizable value, using risk-free interest rates applicable to the years in which the promises are received to discount the amounts.

The Society records contributions and grants as unrestricted, temporarily restricted, or permanently restricted support depending on the existence and/or nature of any donor restrictions. Temporarily restricted net assets are reclassified to unrestricted net assets upon satisfaction of the time or purpose restrictions. However, if a restriction is fulfilled in the same time period in which the contribution or grant is received, the Society reports the support as unrestricted.

#### **Revenue Recognition**

Revenues are reported as increases in unrestricted net assets unless the use of the related asset is limited by donor-imposed restrictions. Expenses are reported as decreases in unrestricted net assets.

Gains and losses on investments and other assets or liabilities are reported as increases or decreases in unrestricted net assets unless their use is restricted by explicit donor stipulation or by law.

#### Advertising

The Society uses advertising to promote its membership, publication, and program activities. The costs of advertising are expensed as incurred. During the years ended December 31, 2013 and 2012, advertising costs totaled \$3,172 and \$5,359, respectively.

#### Reclassification

Certain items in the 2012 financial statements have been reclassified to conform to the financial presentation used in 2013. Such reclassification had no effect on changes in net assets.

#### 2. Unconditional Promises to Give

As of December 31, unconditional promises consist of the following:

	2013	2012
Receivables in less than one year Receivables in one to five years Total promises receivable	\$ 10,000 <u>10,000</u> 20,000	\$5,000 <u>15,000</u> 20,000
Less discounts to net present value	(2,425)	(2,425)
Net unconditional promises to give	<u>\$ 17,575</u>	<u>\$ 17,575</u>

#### 3. Fair Value of Investments

The Society follows the accounting guidance provided by "*Fair Value Measurements and Disclosures*" which defines fair value, establishes a framework for measuring fair value under generally accepted accounting principles and enhances disclosure about fair value measurements. Fair value is defined as the exchange price that would be received for an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. Valuation techniques used to measure fair value must maximize the use of observable inputs and minimize the use of unobservable outputs. The standard describes a fair value hierarchy based on three levels of inputs, of which the first two are considered observable and the last unobservable.

These levels are:

- Level 1 Valuation is based upon quoted prices for identical instruments traded in active markets.
- Level 2 Valuation is based upon quoted prices for similar instruments in active markets, quoted prices for identical or similar instruments in markets that are not active, and model-based valuation techniques for which all significant assumptions are observable in the market.
- Level 3 Valuation is generated from model-based techniques that use significant assumptions not
  observable in the market. These unobservable assumptions reflect one's estimates of assumptions that
  a market participant would use in pricing the asset or liability. Valuation techniques include use of option
  pricing models, discounted cash flow models and similar techniques.

The following is a description of the valuation methodologies used for the Society's investments measured at fair value. There have been no changes in the methodologies used for periods presented in these financial statements.

*Cash and money market funds:* Shares of cash and money market funds are valued at quoted market prices at the Society's fiscal year-end.

*Stocks and mutual funds*: Shares of stocks and mutual funds are valued at quoted market prices at the Society's fiscal year-end.

*Certificates of deposit*: Savings certificates are valued with original deposited amount and interest earned during the period of deposit.

*Corporate and US bonds*: Valued at the closing price reported on the active market on which the individual securities or bonds are traded.

*Real estate trusts*: Investment in real estate trusts is valued at the offering price reported on the limited secondary market on which the real estate trusts investments are traded.

The following table represents the Society's fair value hierarchy for its financial assets and liabilities measured at fair value on a recurring basis as of December 31, 2013:

	Level 1	Level 2	Level 3	<u>Total</u>
Cash and cash equivalents	\$ 1,762,595	\$	\$	\$ 1,762,595
Money market funds	1,855			1,855
Stocks and mutual funds				
Consumer discretionary	432,690			432,690
Consumer staples	1,332,878			1,332,878
Energy	527,272			527,272
Financials	342,801			342,801
Healthcare	361,078			361,078
Industrials	1,495,514			1,495,514

# The Electrochemical Society, Inc. and Subsidiaries Notes to Consolidated Financial Statements December 31, 2013 and 2012

Information technology	908,895			908,895
Materials	270,000			270,000
Telecommunication services	328,380			328,380
Utilities	409,777			409,777
Index fund	14,423			14,423
Fixed income funds	445,012			
Certificates of deposit		316,195		316,195
Corporate and US bonds				
Treasury/Agency		853,111		853,111
AAA		153,805		153,805
AA		486,378		486,378
A		756,195		756,195
BBB		233,036		233,036
Other rating		115,260		115,260
Real estate trusts			513,714	513,714
Total	<u>\$ 8,633,170</u>	<u>\$ 2,913,980</u>	<u>\$513,714</u>	\$12,060,864

In addition, the Society owns common stock in a privately held company that was received as a gift during 2011. Management has determined that this stock should be classified as a Level 3 investment with a fair market value of \$ -0- at December 31, 2013 and 2012.

The following table represents the Society's fair value hierarchy for its financial assets and liabilities measured at fair value on a recurring basis as of December 31, 2012:

	Level 1	Level 2	Level 3	<u>Total</u>
Cash and cash equivalents	\$ 1,255,149	) \$	\$	\$ 1,255,149
Money market funds	3,527	,		3,527
Stocks and mutual funds				
Consumer discretionary	356,816	;		356,816
Consumer staples	1,120,175	;		1,120,175
Energy	917,915			917,915
Financials	230,739			230,739
Healthcare	801,555			801,555
Industrials	585,942			585,942
Information technology	421,546	;		421,546
Materials	91,364			91,364
Telecommunication services	277,535	·		277,535
Utilities	335,212			335,212
Fixed income funds	347,477			347,477
Certificates of deposit		515,605		515,605
Corporate and US bonds				
Treasury/Agency		791,754		791,754
AAA		298,801		298,801
AA		516,438		516,438
A		691,705		691,705
BBB		306,120		306,120
Other rating		126,455		126,455
Real estate trusts			567,593	567,593
Total	<u>\$    6,744,952</u>	<u>\$ 3,246,878</u>	<u>\$ 567,593</u>	<u>\$10,559,423</u>

The following is a summary of activity for the years ended December 31, 2013 and 2012 for assets measured at fair value based upon unobservable measure criteria:

	Real Estate Trust
	<u>Investment</u>
Balance, January 1, 2012	\$ 300,000
Purchases	250,000
Investment income	17,593
Balance, December 31, 2012	\$ 567,593
Investment income (loss)	(53,879)
Balance, December 31, 2013	<u>\$513,714</u>

	Fa	<u>air Value a</u>	t De	cember 31	, Ur	nfunded	Redemption	Redemption
		<u>2013</u>		<u>2012</u>	Com	nmitment	Frequency	Notice Period
Real estate investment trus	ts							
Chamber Street Properties	\$	249,299	\$	317,593	\$		N/A	N/A
CPA:17 – Global		264,415		250,000			N/A	N/A
	\$	513,714	\$	567,593	\$			

The real estate investment trusts ("REIT") primarily invest in commercial properties in U.S. or foreign countries. The fair values of these trusts have been estimated using the net asset value of the Society's ownership interest. These investments cannot be redeemed prior to trusts' liquidation. However, the trusts have share redemption programs to provide eligible shareholders with limited, interim liquidity by enabling them to sell shares back to the trusts prior to a liquidity event. The amount received from the redemption may depend on the numbers of years the shares are held. It is estimated that the underlying assets of the fund will be liquidated over next 7 years.

#### 4. Investments and Custodial Account Investments

Investments and custodial account investments are reported at fair market value. Cost, market value and unrealized appreciation (depreciation) at December 31, 2013 are summarized as follows:

	<u>Cost</u>	Ма	Fair arket <u>alue</u>	Unrealized Appreciation		
Money market funds	\$ 1,855	\$	1,855	\$		
Stocks and mutual funds	6,019,011	6	,868,720		849,709	
Certificates of deposit	316,195		316,195			
Corporate and US bonds	2,417,263	2	,597,785		180,522	
Real estate trust	550,000		513,714		(36,286)	
Total	\$ 9,304,324	\$ 10	,298,269	\$	993,945	

In addition, the Society owns common stock in a privately held company that was received as a gift during 2011. Management has determined that this stock has both a cost and fair market value of \$-0-upon receipt of the gift and at December 31, 2013 and 2012. Therefore, there is no unrealized appreciation as related to this investment during 2013 and 2012.

Cost, market value and unrealized appreciation (depreciation) at December 31, 2012 are summarized as follows:

	<u>Cost</u>	Fair Market <u>Value</u>	Unrealized Appreciation		
Money market funds	\$ 3,527	\$ 3,527	\$		
Stocks and mutual funds	5,185,619	5,486,276	300,657		
Certificates of deposit	515,605	515,605			
Corporate and US bonds	2,416,257	2,731,273	315,016		
Real estate trust	550,000	567,593	17,593		
Total	\$ 8,671,008	\$ 9,304,274	\$ 633,266		

At December 31, 2013 and 2012, \$875,383 and \$841,513 of the investments are donor designated for long-term purposes.

The following schedule summarizes the investment return for the year ended December 31, 2013:

Investment income	Unrestricted	Temporarily <u>Restricted</u>	Total	
	\$ 970,276	\$ 48,958	\$ 1,019,234	
Unrealized gains	<u>294,251</u>		294,251	
Total	<u>\$ 1,264,527</u>	<u>\$ 48,958</u>	<u>\$ 1,313,485</u>	

The following schedule summarizes the investment return for the year ended December 31, 2012:

Investment income	Unrestric		mporarily <u>estricted</u>	-	Total	
	\$    209,7	+	59,383	\$	268,591 346,418	
Unrealized gains Total	<u> </u>		 59,383	\$	<u> </u>	

#### 5. Deferred Compensation

In accordance with the terms of a supplemental retirement agreement, the Society has agreed to pay a former employee certain compensation benefits. The amounts reported included the actuarial value of the future benefits less current payments. As of December 31, 2013 and 2012, the Society estimated this liability to be \$53,927 and \$63,435, respectively.

The Society has a 457(b) non-qualified tax-deferred compensation plan covering the Executive Director of the Society. Funds are held by the Society for the exclusive benefit of the plan participant. For the years ended December 31, 2013 and 2012, the Society had made contributions of \$16,207 and \$15,026, respectively.

# 6. Endowment Funds

The Society's endowment funds consist of several funds established to fund awards, as well as an educational endowment fund, publications endowment fund and an ECS endowment fund. The endowment funds include both donor-restricted funds and funds designated by the Board of Directors to function as endowments. As required by generally accepted accounting principles (GAAP), net assets associated with endowment funds are classified based on the existence or absence of donor-imposed restrictions.

The Society's policy requires the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result, the Society classifies as permanently restricted net assets the original value of gifts donated to the permanent endowment and the original value of subsequent gifts to the permanent endowment. The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets until those amounts are appropriated for expenditure by the Society. The Society considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- The duration and preservation of the fund
- The purposes of the Society and the donor-restricted endowment fund
- General economic conditions
- The possible effect of inflation and deflation
- The expected total return from income and appreciation of investments
- Other resources of the Society
- The investment policies of the Society

The endowment net asset composition by type of fund at December 31, 2013 is as follows:

	Unrestricted		Temporarily Restricted		Permanently Restricted		Total	
Donor-restricted	\$		\$	405,214	\$	875,383	\$ 1,280,597	
Board-designated endowment	6,4	72,891					6,472,891	
Total	<u>\$ 6,4</u>	72,891	\$	405,214	\$	875,383	<u>\$ 7,753,488</u>	

The endowment net asset composition by type of fund at December 31, 2012 is as follows:

	Unrestricted		Temporarily Restricted		Permanently Restricted		Total	
Donor-restricted	\$		\$	381,425	\$	841,513	\$ 1,222,938	
Board-designated endowment	5,9	94,435					5,994,435	
Total	<u>\$ 5,9</u>	94,435	\$	381,425	\$	841,513	<u>\$ 7,217,373</u>	

Changes in endowment net assets for the year ended December 31, 2013 are as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total	
Endowment net assets, beginning of year	\$ 5,994,435	\$ 381,425	\$ 841,513	\$ 7,217,373	
Investment return-income Contributions Transfer Award income (expenditures) Other expenditures	206,124 34,920 300,000 (21,014) (41,574)	48,958  (25,169) 	 1,500  32,370 	255,082 36,420 300,000 (13,813) (41,574)	
Endowment assets, end of year	<u>\$ 6,472,891</u>	<u>\$ 405,214</u>	<u>\$    875,383</u>	<u>\$ 7,753,488</u>	

Changes in endowment net assets for the year ended December 31, 2012 are as follows:

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total	
Endowment net assets, beginning of year	\$ 5,961,014	\$ 377,775	\$ 832,968	\$ 7,171,757	
Investment return-income Contributions Award expenditures Other expenditures	133,649 11,098 (11,289) <u>(100,037</u> )	54,648  (50,998) 	 8,545  	188,297 19,643 (62,287) (100,037)	
Endowment assets, end of year	<u>\$ 5,994,435</u>	<u>\$ 381,425</u>	<u>\$ 841,513</u>	<u>\$ 7,217,373</u>	

At December 31, 2013, the Society's Board of Directors approved a designation of \$300,000 in net assets from the General Operating Fund to the Publications Endowment Fund. Earnings from the Publications Endowment Fund, at an annual rate of six percent, are designated to support a portion of the editorial, production and distribution costs of the Society's publications.

The Society has adopted investment policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Endowment assets include those assets of donor-restricted funds that the Society must hold in perpetuity or for a donor-specified period as well as board designated funds. Under this policy, as approved by the Board of Directors, the endowment assets are invested in a manner that is intended to produce results which will provide current usable income of 5% of portfolio value and which will increase funds to help offset inflation. The Society expects its endowment funds, over time, to provide a rate of return which should exceed expenses by at least 1%.

To satisfy its long term rate-of-return objectives, the Society relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Society targets a diversified asset allocation to achieve its long-term return objectives within prudent risk constraints.

The Society has a policy of appropriating for distribution each year an amount necessary to fulfill the donor's award expense. Over the long-term, the Society expects the current spending policy to allow its endowment to grow at approximately 1% annually. This is consistent with the Society's objective to maintain the purchasing power of the endowment assets held in perpetuity or for a specified term as well as to provide additional real growth through new gifts and investment return.

#### 7. Restrictions on Net Assets

#### **Temporarily Restricted Net Assets**

Temporarily restricted net assets of \$424,196 and \$410,627 are available primarily for awards at December 31, 2013 and 2012, respectively.

Temporarily restricted net assets were released from donor restrictions during 2013 and 2012 by incurring expenses of \$35,389 and \$56,003, respectively.

#### Permanently Restricted Net Assets

Permanently restricted net assets of \$875,383 and \$841,513 are restricted for awards at December 31, 2013 and 2012, respectively.

# 8. Pension Plan

The Society has a non-contributory defined contribution pension plan covering substantially all employees under arrangements with Teachers Insurance and Annuity Association (TIAA) and a tax-deferred annuity plan with the College Retirement Equities Fund (CREF) which plan provides for the purchase of annuities for employees. The cost of the plan for the years ended December 31, 2013 and 2012 was \$124,105 and \$124,356, respectively.

#### 9. Leases

ECS Holdings LLC leases office space to various tenants under operating lease arrangements expiring through 2019. Rental income under the aforementioned leases totaled \$468,764 and \$512,521 (net of Society rentals of \$90,117 and \$78,115) for the years ended December 31, 2013 and 2012, respectively.

Future minimum lease rentals for non-cancelable operating leases as of December 31, 2013 are as follows:

Amount

### Year Ending December 31,

2014	\$	407,198
2015		207,375
2016		199,515
2017		180,844
2018		158,205
Thereafter		290,337
Total	\$ ´	1,443,474

#### **10.** Property Management Agreement

The Society has entered into an agreement to retain the independent services of an asset manager for the purpose of securing and overseeing property management services, financial oversight, budgeting, and information, and to generally act on behalf of the Society to assure that the premises are operated and maintained in good condition.

Should the Society terminate the agreement, except in the event of willful malfeasance or gross negligence of the asset manager, the Society is obligated to pay the manager a termination fee. This fee is the greater of (1) 1½% of the appraised value of the premises valued as if the Society were paying the average rental per square foot; or (2) an amount calculated by subtracting the gross purchase of the premises from such appraised value, and multiplying the result by 1% for each full year the asset manager has operated the premises, however not to exceed 10%. Management currently has no intention to terminate the agreement.

# 11. Operating Lease Commitments

The Society has non-cancelable operating leases for office equipment that expire through 2017. Monthly rent expense was approximately \$1,800. Rental expense for the years ended December 31, 2013 and 2012 was \$21,517 and \$21,155, respectively.

Future minimum lease payments for non-cancelable operating leases as of December 31, 2013 are as follows:

Year Ending December 31,	Amount	Amount				
2014	\$ 10,988					
2015	10,988					
2016	6,362					
2017	868					
Total	\$ 29,206					

### 12. Concentration of Credit Risk

The Society maintains cash balances with major financial institutions. At various times during the year, these balances may exceed federally insured limits. The Society has not experienced any losses in such accounts. Management monitors the soundness of these institutions and considers the Society's risk negligible.

The Society also invests funds in professionally managed mutual funds that contain various types of marketable and debt securities. Such investments are exposed to various risks, such as fluctuations in market value and credit risks. Thus, it is at least reasonably possible that changes in the near term could materially affect investment balances and the amounts reported in the consolidated financial statements.

### 13. Subsequent Events

The Society has evaluated subsequent events occurring after the balance sheet through May 23, 2014, which is the date the financial statements were available to be issued. Based on this evaluation, the Society has determined that no subsequent events have occurred, which require disclosure in the financial statements.

SUPPLEMENTARY INFORMATION

# The Electrochemical Society, Inc. and Subsidiaries Consolidating Schedule of Financial Position December 31, 2013

	F	cs				
Assets	ECS (excluding sections)	Sections	LLC	Lithium Battery Fund, LLC	Consolidating and eliminating entries	Total
Cash and cash equivalents	\$ 1,596,818	\$ 35,380	\$ 130,397	\$	\$	\$ 1,762,595
Accounts receivable, net	42,616			396,830	(396,830)	42,616
Unconditional promises to give, net	17,575					17,575
Prepaid expenses, deposits, and other assets	329,801					329,801
Investments	9,667,985					9,667,985
Investment in subsidiary	2,913,751				(2,913,751)	
Custodial account investments	630,284					630,284
Deferred rent			58,442			58,442
Land - Office Park			1,252,819			1,252,819
Buildings - Office Park, net			2,245,788			2,245,788
Land - ECS occupied			350,608			350,608
Building - ECS occupied, net			555,338			555,338
Intangible assets, net						
	<u>\$ 15,198,830</u>	\$ 35,380	<u>\$ 4,593,392</u>	\$ 396,830	<u>\$ (3,310,581)</u>	<u>\$ 16,913,851</u>
Liabilities and Net Assets						
Liabilities						
Accounts payable and accrued expenses	\$ 357.462	\$	\$	\$	\$	\$ 357,462
Deferred revenue	1,600,829	÷	÷	÷	÷	1,600,829
Custodial account liability	630,284					630,284
Security deposits			30,900			30,900
Deferred compensation	119,329					119,329
Total liabilities	2,707,904		30,900			2,738,804
Net assets						
Unrestricted	11,191,347	35,380	4,562,492	396,830	(3,310,581)	12,875,468
Temporarily restricted	424,196		4,302,432		(3,310,301)	424,196
Permanently restricted	875,383					875,383
,	. <u></u>					· · · · · · · · · · · · · · · · · · ·
Total net assets	12,490,926	35,380	4,562,492	396,830	(3,310,581)	14,175,047
	\$ 15,198,830	\$ 35,380	\$ 4,593,392	\$ 396,830	\$ (3,310,581)	\$ 16,913,851

See accompanying independent auditors' report.

		ECS			Consolidating and eliminating entries			Total
Revenues and support								
Publications	\$	2,660,730	\$		\$		\$	2,660,730
Membership	•	529,990	•		•		•	529,990
Constituent programs		63,177						63,177
Society meetings and activities		2,072,274						2,072,274
Investment income		970,276						970,276
Contributions and grants		47,562						47,562
Rental income				558,881		(90,117)		468,764
Other revenues		4,714		10,246				14,960
Total revenues and support		6,348,723	_	569,127		(90,117)		6,827,733
Expenses								
Program Services								
Publications		2,127,074						2,127,074
Membership		183,396						183,396
Constituent programs		635,591						635,591
Society meetings and activities		1,719,343						1,719,343
Awards, fellowships, and grants		100,519						100,519
Supporting services								
General and administrative								
Operating		888,924						888,924
Society office building		123,778				(90,117)		33,661
Other expenses		603						603
Fundraising		27,549						27,549
Rental operations				480,489				480,489
Total expenses		5,806,777		480,489		(90,117)		6,197,149
Increase in net assets from operations		541,946		88,638				630,584
Nonoperating gain								
Net change in fair value of investments		294,251						294,251
Increase in net assets		836,197		88,638				924,835
Net assets - beginning of year		10,390,530		4,635,856		(3,075,753)		11,950,633
Member distributions				(162,002)		162,002		
Net assets - end of year	\$	11,226,727	\$	4,562,492	\$	(2,913,751)	\$	12,875,468
		_		_				_

See accompanying independent auditors' report.

Custodial operating         Consolidating and designated         Consolidating and eliminating entries         Total           Revenues and support Publications         \$ 2,610,675         \$ 50,055         \$ -         \$ -         \$ 2,600,730           Membership         63,177         -         -         63,177         -         -         63,177           Society meetings and activities         2,000,236         72,038         -         -         2,072,274           Investment income         707,133         262,744         399         -         470,552           Other revenues         4,529         -         185         -         4,714           Total revenues and support         5,888,920         459,219         584         -         6,348,723           Expenses         Program Services         -         -         185,398         -         -         63,591           Constituent programs         635,591         -         -         -         19,719,433           Awards, tellowships, and grants         1,272,074         -         -         -         633,591           Society office building         1,322,478         166,336         10,589         -         1,719,343           Awards, tellowships, and grants						
Publications         \$ 2,610,675         \$ 50,055         \$         \$         \$ 2,660,730           Membership         490,638         39,352           529,990           Constituent programs         63,177           200,276         72,038           2,072,274           Investment income         707,133         262,744         399           47,562           Other revenues and grants         12,532         35,030           4,714           Total revenues and support         5,888,920         459,219         584          63,48,723           Expenses         Program Services           185          4,714           Membership         136,519         46,877           183,396           Constituent programs         635,591           635,591           Society meetings and activities         1,522,418         186,336         10,589          1719,343           Awards, fellowships, and grants         2,773         9,7746           100,519           Society office building         1			and	Sections	and eliminating	Total
Membership         490,638         39,352           529,980           Constituent programs         63,177           63,177           Society meetings and activities         2,000,237         72,038           63,177           Society meetings and activities         707,133         262,744         399          970,276           Constributions and grants         12,532         35,030           47,162           Other revenues         4,529          185          4,714           Total revenues and support         5,888,920         459,219         584          6,348,723           Expenses            185,551           183,366           Program Services         2,127,074            183,365           Society meetings and activities         1,522,418         186,336         10,589          1,719,343           Awards, fellowships, and grants         2,773         97,746          -         100,519           Supporting services           603         -						
Constituent programs         63,177         -         -         -         -         63,177           Society meetings and activities         2,000,236         72,038         -         -         2,072,274           Investment income         707,133         262,744         399         -         970,276           Contributions and grants         12,532         35,030         -         -         47,562           Other revenues         4,529         -         185         -         4,714           Total revenues and support         5,888,920         459,219         584         -         6,348,723           Expenses         Program Services         -         -         -         2,127,074         -         -         -         2,127,074           Publications         2,127,074         -         -         -         133,396         Constituent programs         635,519         46,877         -         -         133,396           Constituent programs         635,519         46,877         -         -         179,343           Awards, fellowships, and grants         2,773         97,746         -         -         100,519           Supporting services         -         -         - </td <td></td> <td></td> <td></td> <td>•</td> <td>•</td> <td>+ _,,</td>				•	•	+ _,,
Society meetings and activities         2,000,236         72,038           2,072,274           Investment income         707,133         262,744         399          970,276           Contributions and grants         12,532         35,030           4,7162           Other revenues         4,529          185          4,714           Total revenues and support         5,888,920         459,219         584          6,348,723           Expenses           185          4,714           Membership         136,519           2,127,074           Membership         136,519           183,396           Constituent programs         635,591           1635,591           Society meetings and activities         1,522,418         186,336         10,589          100,519           Supporting services           603          100,519           Supporting services            100,519           Supporting services			,			
Investment income         707,133         262,744         399          970,276           Contributions and grants         12,532         35,030           47,562           Other revenues         4,529          185          4,714           Total revenues and support         5,888,920         459,219         584          6,348,723           Expenses         Program Services         -         -         -         2,127,074           -         2,127,074           Membership         136,519         46,877           183,336         Constituent programs         635,591           1719,343           Awards, fellowships, and grants         2,773         97,746           100,519           Supporting services           -         88,924         -         -         27,549           Other expenses            100,519         5,060,777           Membership         27,7549            27,549           Operating         27,549						
Contributions and grants         12,532         35,030           47,562           Other revenues         4,529          185          4,714           Total revenues and support         5,888,920         459,219         584          6,348,723           Expenses         Program Services         -         -         -         6,348,723           Publications         2,127,074         -         -         -         -         6,355,91           Constituent programs         6,35,591         -         -         -         185,591         -         -         183,396           Society meetings and activities         1,522,418         186,336         10,589         -         1,719,343           Awards, fellowships, and grants         2,773         97,746         -         -         100,519           Supporting services         -         -         -         603         -         102,778           General and administrative         -         -         -         603         -         27,549           Other expenses         -         -         -         603         -         27,549           Total expenses         5,464,						
Other revenues         4,529          185          4,714           Total revenues and support         5,888,920         459,219         584          6,348,723           Expenses         Program Services           6,348,723           Publications         2,127,074           6,348,723           Constituent program Services         2,127,074           2,127,074           Constituent programs         635,591         46,877         -          183,396           Constituent programs         635,591           1719,343          1719,343           Awards, fellowships, and grants         2,773         97,746          100,519         Supporting services          100,519           General and administrative           603          123,778           Operating         27,549            603         603           Fundraising         27,549            27,549           Total expenses         5,464,626         330,959         11,192          5,8						
Total revenues and support         5,888,920         459,219         584          6,348,723           Expenses         Program Services         Publications         2,127,074           2,127,074           Membership         136,519         46,877           183,396           Constituent programs         635,591           635,591           Society meetings and activities         1,522,418         186,336         10,589          1,719,343           Awards, fellowships, and grants         2,773         97,746           100,519           Supporting services         2            100,519           General and administrative            100,519           Supporting services            100,519           General and administrative            100,519           Society office building         123,778            27,549           Total expenses         5,464,626         330,959         11,192          5,806,777           Increase (decrease			,			
Expenses         Program Services         -         -         -         -         -         2,127,074         -         -         -         2,127,074         Membership         136,519         46,877         -         -         -         183,396         Constituent programs         635,591         -         -         -         1719,343         Awards, fellowships, and grants         2,773         97,746         -         -         -         100,519         Supporting services         General and administrative         -         -         -         100,519         Supporting services         -         -         -         100,519         Supporting services         -         -         -         123,778         -         -         -         27,549         -         -         -         27,549 <td>Other revenues</td> <td>4,529</td> <td></td> <td></td> <td></td> <td>4,714</td>	Other revenues	4,529				4,714
Program Services         -         -         -         -         -         2,127,074         -         -         -         2,127,074           Membership         136,519         46,877         -         -         -         183,396           Constituent programs         635,591         -         -         -         635,591           Society meetings and activities         1,522,418         186,336         10,589         -         1,719,343           Awards, fellowships, and grants         2,773         97,746         -         -         100,519           Supporting services         -         -         -         603         -         -         100,519           Supporting services         -         -         -         -         100,519         -           Supporting services         -         -         -         -         100,519         -         -         100,519           Supporting services         -         -         -         -         603         -         603         -         603         -         603         -         603         -         27,549         -         -         -         27,549         -         -         - <td>Total revenues and support</td> <td>5,888,920</td> <td>459,219</td> <td>584</td> <td></td> <td>6,348,723</td>	Total revenues and support	5,888,920	459,219	584		6,348,723
Publications       2,127,074           2,127,074         Membership       136,519       46,877         183,591         Constituent programs       635,591          635,591         Society meetings and activities       1,522,418       186,336       10,589        1,719,343         Awards, fellowships, and grants       2,773       97,746         100,519         Supporting services           100,519         General and administrative          100,519         Operating       888,924          100,519         Society office building       123,778         123,778         Other expenses         603        603         Fundraising       27,549          27,549         Total expenses       5,464,626       330,959       11,192        5,806,777         Increase (decrease) in net assets from operations       424,294       128,260       (10,608)        541,946	Expenses					
Membership         136,519         46,877           183,396           Constituent programs         635,591            635,591           Society meetings and activities         1,522,418         186,336         10,589          1,719,343           Awards, fellowships, and grants         2,773         97,746           100,519           Supporting services         2,773         97,746           100,519           General and administrative         2,773         97,746           100,519           Society office building         123,778           123,778           123,778           Other expenses           603          27,549           27,549           Total expenses         5,464,626         330,959         11,192          5,806,777           Increase (decrease) in net assets from operations         424,294         128,260         (10,608)          541,946           Nonoperating gain          294,251           294,251         10,46,360	Program Services					
Constituent programs         635,591             635,591           Society meetings and activities         1,522,418         186,336         10,589          1,719,343           Awards, fellowships, and grants         2,773         97,746           100,519           Supporting services         2,773         97,746           100,519           General and administrative         0         2,773         97,746           100,519           Operating         888,924            888,924          100,519           Society office building         123,778            123,778           Other expenses           603          603           Fundraising         27,549           27,549           Total expenses         5,464,626         330,959         11,192          5,41,946           Nonoperating gain         -         294,251           294,251           Increase (decrease) in net assets         424,294         422,511         (10,60	Publications	2,127,074				2,127,074
Society meetings and activities         1,522,418         186,336         10,589          1,719,343           Awards, fellowships, and grants         2,773         97,746           100,519           Supporting services         General and administrative            100,519           Operating         888,924            888,924           Society office building         123,778           123,778           Other expenses           603          603           Fundraising         27,549           27,549           Total expenses         5,464,626         330,959         11,192          5,806,777           Increase (decrease) in net assets from operations         424,294         128,260         (10,608)          541,946           Nonoperating gain           294,251           294,251           Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988	Membership	136,519	46,877			183,396
Awards, fellowships, and grants       2,773       97,746         100,519         Supporting services       General and administrative         888,924          888,924         Operating       888,924          888,924         888,924         Society office building       123,778         123,778         603        603         Fundraising       27,549          27,549         27,549         Total expenses       5,464,626       330,959       11,192        5,806,777         Increase (decrease) in net assets from operations       424,294       128,260       (10,608)        541,946         Nonoperating gain         294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        836,197         Net assets - beginning of year       1,046,360       9,298,182       45,988        10,390,530         Board designation       (300,000)       300,000						
Supporting services       General and administrative         Operating       888,924          888,924         Society office building       123,778         123,778         Other expenses         603        603         Fundraising       27,549         27,549         Total expenses       5,464,626       330,959       11,192        5,806,777         Increase (decrease) in net assets from operations       424,294       128,260       (10,608)        541,946         Nonoperating gain        294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        836,197         Net change in fair value of investments        294,251         836,197         Net assets - beginning of year       1,046,360       9,298,182       45,988        10,390,530         Board designation       (300,000)       300,000			/	10,589		
General and administrative       888,924          888,924         Society office building       123,778          123,778         Other expenses         603        603         Fundraising       27,549          27,549         Total expenses       5,464,626       330,959       11,192        5,806,777         Increase (decrease) in net assets from operations       424,294       128,260       (10,608)        541,946         Nonoperating gain        294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        836,197         Net change in fair value of investments        294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        836,197         Net assets - beginning of year       1,046,360       9,298,182       45,988        10,390,530         Board designation       (300,000)       300,000		2,773	97,746			100,519
Operating         888,924             888,924           Society office building         123,778            123,778           Other expenses           603          603           Fundraising         27,549           27,549           Total expenses         5,464,626         330,959         11,192          5,806,777           Increase (decrease) in net assets from operations         424,294         128,260         (10,608)          541,946           Nonoperating gain          294,251           294,251           Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000						
Society office building         123,778            123,778           Other expenses           603          603           Fundraising         27,549           603            Total expenses         5,464,626         330,959         11,192          5,806,777           Increase (decrease) in net assets from operations         424,294         128,260         (10,608)          541,946           Nonoperating gain          294,251           294,251           Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000						
Other expenses           603          603           Fundraising         27,549           603          603           Total expenses         27,549            27,549           Total expenses         5,464,626         330,959         11,192          5,806,777           Increase (decrease) in net assets from operations         424,294         128,260         (10,608)          541,946           Nonoperating gain          294,251           294,251           Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000						
Fundraising       27,549         27,549         Total expenses       5,464,626       330,959       11,192        5,806,777         Increase (decrease) in net assets from operations       424,294       128,260       (10,608)        541,946         Nonoperating gain        294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        836,197         Net assets - beginning of year       1,046,360       9,298,182       45,988        10,390,530         Board designation       (300,000)       300,000		,				-, -
Total expenses       5,464,626       330,959       11,192        5,806,777         Increase (decrease) in net assets from operations       424,294       128,260       (10,608)        541,946         Nonoperating gain        294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        541,946         Net change in fair value of investments        294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        836,197         Net assets - beginning of year       1,046,360       9,298,182       45,988        10,390,530         Board designation       (300,000)       300,000						
Increase (decrease) in net assets from operations       424,294       128,260       (10,608)        541,946         Nonoperating gain        294,251         294,251         Increase (decrease) in net assets       424,294       422,511       (10,608)        836,197         Net assets - beginning of year       1,046,360       9,298,182       45,988        10,390,530         Board designation       (300,000)       300,000	Fundraising	27,549				27,549
Nonoperating gain          294,251          294,251           Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000	Total expenses	5,464,626	330,959	11,192		5,806,777
Net change in fair value of investments          294,251           294,251           Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000	Increase (decrease) in net assets from operations	424,294	128,260	(10,608)		541,946
Net change in fair value of investments          294,251           294,251           Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000	Nonoperating gain					
Increase (decrease) in net assets         424,294         422,511         (10,608)          836,197           Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000	1 00		294 251			294 251
Net assets - beginning of year         1,046,360         9,298,182         45,988          10,390,530           Board designation         (300,000)         300,000	0		· · · · ·			·
Board designation (300,000) 300,000		,	,	( , , ,		,
	Net assets - beginning of year	1,046,360	9,298,182	45,988		10,390,530
Net assets - end of year         \$ 1,170,654         \$ 10,020,693         \$ 35,380         \$         \$ 11,226,727	Board designation	(300,000)	300,000			
	Net assets - end of year	\$ 1,170,654	<u>\$ 10,020,693</u>	\$ 35,380	<u>\$</u>	\$ 11,226,727

#### The Electrochemical Society, Inc. and Subsidiaries Consolidated Schedule of Changes in Net Assets Year Ended December 31, 2013

	Unr	estricted – Desi	gnated	· · ·	A Temporarily res	Award Balances	F	ermanently res	tricted	
	Balance	Increase	Balance	Balance	Increase	Balance	Balance	Increase	Balance	Balances
	as of	(decrease)	as of	as of	(decrease) in net	as of December 31,	as of	(decrease)	as of December 31,	as of
	January 1, 2013	in net assets	December 31, 2013	January 1, 2013	assets	2013	January 1, 2013	in net assets	2013	December 31, 2013
Unrestricted – General operating	\$ 1,046,360	\$ 124,294	\$ 1,170,654	<u>\$</u>	\$	\$	<u>\$</u>	\$	\$	\$ 1,170,654
Unrestricted – LLC	<u>\$ 1,560,103</u>	<u>\$ 88,638</u>	\$ 1,648,741	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$ 1,648,741</u>
Unrestricted – Custodial										
Society Reserve	\$ 1,932,188	\$	\$ 1,932,188	\$	\$	\$	\$	\$	\$	\$ 1,932,188
Education Endowment Fund Publications Endowment Fund	501,001 2,339,984	(816) 449,551	500,185 2,789,535							500,185 2,789,535
ECS Endowment	2,339,984	23,968	2,420,810							2,420,810
Society Headquarters	247,986	7,079	255,065							255,065
ECS Charitable Gift Annuity	120,174	(540)	119,634							119,634
Battery Division	77,316	(14,635)	62,681							62,681
Corrosion Division Dielectric Science & Technology Division	58,510 72,452	631 7,167	59,141 79,619					-		59,141 79,619
Electrodeposition Division	64,508	(1,644)	62,864							62,864
Electronics & Photonics Division	98,370	(14,062)	84,308							84,308
Energy Technology Division	89,710	(14,172)	75,538							75,538
Fullerenes Division	39,496	4,515	44,011							44,011
High Temperature Materials Division Industrial Electrochemistry & Electrochemical Engr Division	104,550 50,585	(9,343) (7,626)	95,207 42,959							95,207 42,959
Luminescence & Display Materials Division	16,824	2,883	19,707							19,707
Organic & Biological Electrochemistry Division	30,059	7,277	37,336							37,336
Physical & Analytical Electrochemistry Division	131,285	(25,448)	105,837							105,837
Sensor Division	89,125	(9,334)	79,791							79,791
Arizona Section Brazilian Section	664 2 1 2 2	27	691 3 425							691 3.425
Brazilian Section Canadia Section	3,122 26,226	303 166	3,425 26,392							3,425 26,392
Chicago Section	18,235	1,433	19,668	-		-				19,668
China Section	12		12							12
Cleveland Section	19,664	(1,200)	18,464							18,464
Detroit Section	6,362	997	7,359							7,359
European Section	64,589	400 705	64,989							64,989
Georgia Section India Section	5,759 935	705 145	6,464 1,080							6,464 1,080
Israel Section	481	104	585							585
Japan Section	14,096	6,238	20,334							20,334
Korea Section	2,416	722	3,138							3,138
Mexico Section	1,445	366	1,811							1,811
National Capital Section	23,848	1,756 2,487	25,604 6,873							25,604 6,873
New England Sectioin Pittsburgh Section	4,386 1,855	2,487	6,873 2,194							2,194
San Francisco Section	21,665	1,915	23,580							23,580
Taiwan Section		30	30							30
Texas Section	10,293	1,541	11,834							11,834
Twin Cities Section	590	416	1,006							1,006
Solid Oxide Fuel Cells Symposium Society Reserve – Net Unrealized Gain/Loss	30,748	(2,374) 294,251	28,374 237,643							28,374 237,643
Total custodial	(56,608) \$ 8,661,748	\$ 716,218	\$ 9,377,966	s	<u></u>	\$	<u></u>	\$	<u></u> \$	\$ 9,377,966
Unrestricted – Sections	+ + + + + + + + + + + + + + + + + + + +	<u>+ · · · · · · · · · · · · · · · · · · ·</u>	<u> </u>	<u>*</u>	<u>*</u>	. <u></u>	<u>*</u>	<u>*</u>	<u> </u>	<u></u>
Japan	\$ 16,364	\$ (5,243)	\$ 11,121	\$	\$	\$	\$	\$	\$	\$ 11,121
San Francisco Twin Cities	4,398	(716)	3,682							3,682
Total sections	25,226 \$ 45,988	(4,649) \$ (10,608)	20,577 \$ 35,380	\$	s	\$	<u></u> \$	\$	\$	20,577 \$ 35,380
Awards and Symposia Edward Goodrich Acheson Award	s	s	s	\$ 168.177	\$ 8,045	\$ 176,222	\$ 100,000	\$	\$ 100,000	\$ 276,222
Vittorio de Nora Award	÷	φ	÷	54,865	\$ 0,045 5,846	60,711	140,000	Ψ	140,000	200,711
Olin Palladium Award	58,015		58,015	43,167	(1,501)	41,666	50,000		50,000	149,681
Gordon E. Moore SSS&T Award			-	23,341	(1,329)	22,012	186,100		186,100	208,112
Carl Wagner Memorial Award				53,374	150	53,524	19,100		19,100	72,624
Henry B. Linford Award Edward Westom Fellowship	68,182 69,000	(2,185)	68,182 66,815	(474) 1,380	2,331	1,857 1,380	10,000		10,000	80,039 68,195
David C. Grahame Award	10,442	5,708	16,150							16,150
Norman Hackerman Young Authors' Award				(1,245)	(368)	(1,613)	94,916	40	94,956	93,343
Allen J. Bard Award					619	619		30,975	30,975	31,594
Max Bredig Award	7,607	10,504	18,111							18,111
HTM Div. Achievement Award	30,530	201	30,731							30,731
H.H. Dow Memorial Student Award Sensor Div. Achievement Award	19,239 5,313	(2,290) 213	16,949 5,526							16,949 5,526
Manuel M. Baizer Award	25,376	1,015	26,391	-						26,391
Thomas D. Callinan Award	30,436	(302)	30,134					-		30,134
Daniel Cubicciotti Student Award	82,436	(572)	81,864							81,864
Morris Cohen Student Award	39,330	(467)	38,863					-		38,863
H. H. Uhlig Award Electrodeposition Division Research Award	37,920 44,300	(13) 1,772	37,907 46,072					-		37,907 46,072
New Electrochemistry Technology Award	44,300 31,826	1,772	46,072 33,099							46,072 33,099
Heinz Gerischer Award	15,482	(3,564)	11,918							11,918
Charles W. Tobias Young Investigator Award				8,907	3,929	12,836	122,060	-	122,060	134,896
H.H. Uhlig Summer Fellowship	61,000	(5,000)	56,000	5,253	5,994	11,247	53,622		53,622	120,869
Srinivasan Young Investigator Award				(417)	(1,419)	(1,836)	28,010	1,355	29,365	27,529
Richard Smalley Research Award SES Research Young Investigator Award				22,729 2,305	889 533	23,618 2,838	25,000 11,025		25,000 11,025	48,618 13,863
Georgia Section Outstanding Student Award			-	2,305	533	2,030	1,680	1,500	3,180	3,313
Dokiya Symposia				17,310	(10,220)	7,090				7,090
Charitable Gift Annuity				11,892		11,892				11,892
Totals	<u>\$ 636,434</u>	\$ 6,293	\$ 642,727	\$ 410,627	\$ 13,569	\$ 424,196	<u>\$ 841,513</u>	\$ 33,870	\$ 875,383	\$ 1,942,306

Schedule 4